

1 Running Title: Atlantic hurricanes and climate change  
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9 **The energy budgets of Atlantic hurricanes**  
10 **and changes from 1970**

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26 **"Tropical Cyclone-Climate Interactions on All Time Scales"**  
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28 **Abstract**

29 Based on the current observational record of tropical cyclones and sea surface temperatures  
30 (SSTs) in the Atlantic, estimates are made of changes in surface sensible and latent heat fluxes  
31 and hurricane precipitation from 1970 to 2006. The best track dataset of observed tropical  
32 cyclones is used to estimate the frequency that storms of a given strength occur after 1970.  
33 Empirical expressions for the surface fluxes and precipitation are based on simulations of  
34 hurricane Katrina in August 2005 with the advanced Weather and Research Forecasting (WRF)  
35 model at 4 km resolution without parameterized convection. The empirical relationships are  
36 computed for the surface fluxes and precipitation within 400 km of the eye of the storm for all  
37 categories of hurricanes based upon the maximum simulated wind and the observed sea surface  
38 temperature and saturation specific humidity. Strong trends are not linear but are better depicted  
39 as a step function increase from 1994 to 1995, and large variability reflects changes in SSTs and  
40 precipitable water, modulated by El Niño events. The environmental variables of SST and water  
41 vapor are nonetheless accompanied by clear changes in tropical cyclone activity using several  
42 metrics.

43 **1. Introduction**

44 From a climate standpoint, key questions are: What role, if any, do hurricanes and tropical  
45 cyclones have in our climate system? Why do hurricanes exist? Why do they occur with  
46 observed characteristics of numbers, size, duration and intensity? How has the activity of tropical  
47 storms changed? These rather fundamental questions were the motivation for research by  
48 *Trenberth et al.* [2007] and *Trenberth and Fasullo* [2007] on a global basis. In this paper, we  
49 examine some of these questions with the focus on the North Atlantic in which both the  
50 observational record is particularly strong and our cyclone simulations are more representative,  
51 thus allowing relationships and trends to be assessed with a higher degree of confidence than is  
52 possible globally.

53  
54 Storm activity includes considerations of their number, size, duration, intensity and track, and the  
55 integrated effects matter for the climate system, while the characteristics matter enormously for  
56 society. Most information is available on numbers and tracks of storms through the “best track”  
57 data base in the Atlantic, and only recently has detailed information become available on other  
58 aspects. In particular, size estimates of tropical storms in the North Atlantic have been provided  
59 by *Kimball and Mulekar* [2004] but only after 1988. NOAA’s Accumulated Cyclone Energy  
60 (ACE) index [*Levinson and Waple*, 2004] approximates the collective intensity and duration of  
61 tropical storms and hurricanes during a given season and is proportional to maximum surface  
62 sustained winds squared. The power dissipation of a storm is proportional to the wind speed  
63 cubed [*Emanuel*, 2005a], as the main dissipation is from surface friction and wind stress effects,  
64 and is measured by a Power Dissipation Index (PDI). Consequently, the effects are highly  
65 nonlinear and one big storm may have much greater impacts on climate than several smaller  
66 storms. The PDI is very sensitive to data quality, and the initial Emanuel (2005a) report has been  
67 revised to show the PDI increasing by about 75% (versus about 100%) since the 1970s  
68 [*Emanuel*, 2005b]. *Sobel and Camargo* [2005] explore aspects of tropical storms in the Pacific  
69 Northwest that indicate a negative influence on the environment that affects later storms. Here  
70 we use further integrated metrics of 6-hourly activity related to energy exchanges and show  
71 changes over time for the Atlantic.

72  
73 In *Trenberth et al.* [2007], the bulk water budgets for some high-resolution simulated hurricanes  
74 were assessed and some inferences drawn regarding the energy transports and the overall energy  
75 budget. A detailed analysis was made of the bulk atmospheric moisture budget of Ivan in  
76 September 2004 and Katrina in August 2005 from simulations with the advanced Weather and  
77 Research Forecasting (WRF) model at 4 km resolution without parameterized convection and  
78 with specified observed sea surface temperatures (SSTs). The heavy precipitation, exceeding 20  
79 mm/h in the storms, greatly exceeded the surface flux of moisture from evaporation. Instead,  
80 vertically-integrated convergence of moisture in the lowest 1 km of the atmosphere from  
81 distances up to 1600 km was the dominant term in the moisture budget, highlighting the  
82 importance of the larger-scale environment. Simulations were also run for the Katrina case with  
83 SSTs increased by +1°C and decreased by -1°C as sensitivity studies. With increased SSTs, the  
84 hurricane expanded in size and intensified, the environmental atmospheric moisture increased at  
85 close to the Clausius-Clapeyron equation value of about 6% K<sup>-1</sup>, and the surface moisture flux  
86 also increased – mainly from Clausius-Clapeyron effects and the increases in intensity of the  
87 storm. Hence it was possible to deduce the role of some aspects of the environment on the storm.

88

89 *Trenberth and Fasullo* [2007] suggested that hurricanes effectively pump large amounts of heat  
90 out of the ocean into the atmosphere, and disperse it to regions where it can be radiated to space,  
91 thereby mitigating the heat buildup that would otherwise occur. In this perspective, the organized  
92 strong surface winds in hurricanes increase the surface evaporation significantly such that the  
93 latent heat losses by the ocean can exceed  $1,000 \text{ W m}^{-2}$  over large scales, a value which is an  
94 order of magnitude larger than the summertime climatological value. Based on the simulations of  
95 hurricane Katrina in August 2005 with the WRF model, empirical relationships between the  
96 maximum simulated wind and the surface fluxes and precipitation were derived.

97  
98 The best track dataset of global observed tropical cyclones was used to estimate the frequency  
99 that storms of a given strength occur over the globe after 1970. For 1990-2005 the total surface  
100 heat loss by the tropical ocean in hurricanes category 1 to 5 within 400 km of the center of the  
101 storms was estimated to be about  $0.53 \times 10^{22}$  J per year (0.17 PW). The enthalpy loss due to  
102 hurricanes computed based on precipitation was about a factor of 3.4 greater (0.58 PW), owing  
103 to the addition of the surface fluxes from outside 400 km radius and moisture convergence into  
104 the storms typically from as far from the eye as 1600 km. Globally these values are significant –  
105 for example the total meridional ocean heat transport at  $40^\circ\text{N}$  is about 0.5 PW – and correspond  
106 to  $0.33 \text{ W m}^{-2}$  for evaporation, or  $1.13 \text{ W m}^{-2}$  for precipitation. Changes over time reflect basin  
107 differences and a prominent role for El Niño, and the most active period globally was 1989 to  
108 1997. Strong positive trends from 1970 to 2005 occur in the inferred surface fluxes and  
109 precipitation, arising primarily from increases in storm intensity and SSTs.

110  
111 The *Trenberth and Fasullo* [2007] study was global in extent and the uncertainties in the  
112 hurricane best track data are quite large in several basins [*Landsea et al.*, 2006]. The Atlantic  
113 has the best observational record [*Kossin et al.*, 2007] owing to extensive aircraft and satellite  
114 observations after about 1970, which is the period of this study. Here we therefore use the  
115 methodology of *Trenberth and Fasullo* [2007] but focus on the Atlantic basin.

116  
117 In the Atlantic there are strong relationships between tropical storm numbers and SSTs in the  
118 main development region in the Tropics [*Emanuel*, 2005a; *Hoyos et al.*, 2006; *Sabbatelli and*  
119 *Mann*, 2007]. It is also well established that hurricanes in the Atlantic are greatly influenced by  
120 atmospheric conditions, including vertical wind shear, static stability, and atmospheric moisture,  
121 and these are influenced by atmospheric circulation throughout the global tropics, and especially  
122 by El Niño [e.g., *Elsner et al.*, 2000, 2001]. Hence changes in the Atlantic are not representative  
123 of global changes. Indeed, the large-scale tropical dynamics associated with SSTs and their  
124 gradients are important, and determine where conditions for storm formation and intensification  
125 will be most favorable. Monsoonal and Walker circulations extend influences elsewhere in the  
126 tropics, and thus less favorable regions suffer from vertical wind shear and atmospheric stability  
127 structures (such as inversions) associated with the atmospheric circulation that make conditions  
128 less conducive to vortex development [*Latif et al.*, 2007].

129  
130 We make use of the historical best track global tropical cyclone record which originates from the  
131 Tropical Prediction Center of NOAA and the Joint Typhoon Warning Center of the U. S.  
132 Department of Defense. Based on the empirical relationships between surface latent heat and  
133 enthalpy fluxes and maximum wind speed in the model, and with the observed frequency with  
134 which storms of certain intensities occur from the best track data, we estimate a value for the  
135 enthalpy and moisture loss by the ocean due to hurricanes and how this has changed over recent

136 decades for the North Atlantic. Values are computed based on the direct exchanges within 400  
137 km of the eye of the storms and also approximately for the whole storm based on the resulting  
138 precipitation.

139

## 140 **2. Empirical relationships**

141 The Katrina control simulation results were used to derive the empirical relationships for surface  
142 fluxes of sensible and latent heat and precipitation. These were run with the WRF [Davis *et al.*,  
143 2008]. A brief description of the model and the experiments run are given in Trenberth *et al.*  
144 [2007]. This version of WRF avoids the use of a cumulus parameterization by using the 4-km  
145 grid and treating deep convection and precipitation formation explicitly using a simple cloud  
146 scheme in which cloud water, rain and snow are predicted variables. As SSTs were specified, the  
147 model lacks feedback from the developing cold wake caused by the storm. In addition to  
148 running more cases, this is an area where future improvements could be made.

149

150 In the best track record, the information available about each storm is restricted although the  
151 position of the storm and maximum wind speed are available every 6 hours. Size information is  
152 not available prior to 1988. The median radius of the outermost closed isobar of Atlantic storms  
153 is 333 km, with 75% being within 407 km [Kimball and Mulekar, 2004], and 90% of the storms  
154 have the radius of the 17.5 m s<sup>-1</sup> winds within 370 km from the eye. Hence use is made of areal  
155 integrals to 400 km from the eye of the storm.

156

157 The empirical relationships between the storm-integrated surface fluxes over a 400 km radius  
158 from the model experiments with the maximum 10 m wind speed  $V_{max}$  suggest a fairly linear  
159 increase of both surface latent heat (LH) and sensible heat (SH) flux with  $V_{max}$ .  $V_{max}$  correlated  
160 better with the LH flux (0.99) than with wind (0.98), while the correlation was 0.96 with SH flux  
161 and 0.82 with precipitation. The poorer result in the latter arises from the dependence of  
162 precipitation on moisture convergence from as much as 1600 km from the center of the storm  
163 [Trenberth *et al.*, 2007]. Given the established physical linkages between these fields, it is not  
164 surprising that all of these associations are highly statistically significant (< 0.01 %). For  
165 precipitation and the sensible heat flux, these empirical results were used to apply to other cases.

166

167 There is a global constraint on evaporation  $E$  and precipitation  $P$  arising from the surface energy  
168 budget [Trenberth, 1998, 1999; Held and Soden, 2006] that limits the increases as surface  
169 temperatures change with global warming to about 2% K<sup>-1</sup>. This does not constrain transient  
170 fluxes, although it does have implications for overall frequency or duration of such events  
171 [Trenberth, 1998; Trenberth *et al.*, 2003].

172

173 In Trenberth *et al.* [2007] it was argued that the surface flux has a component that should  
174 respond to changing water-holding capacity as given by the Clausius-Clapeyron equation. A  
175 highly simplified bulk flux formula gives the evaporation as

$$176 \quad E = \rho_a C_L V (q_s(T_s) - q(T)) = \rho_a C_L V q_s(T_s) (1 - RH^*) \quad (1)$$

177 where  $C_L$  is the exchange coefficient,  $\rho_a$  is the air density,  $q$  is the specific humidity at  
178 temperature  $T$  or  $T_s = \text{SST}$ ,  $q_s$  is the saturation value of  $q$ ,  $RH$  is the relative humidity, and  $V$  is the  
179 wind speed. Here  $RH^* = RH q_s(T)/q_s(T_s)$ . The dominant dependencies for  $E$  are the saturation  
180 specific humidity at the SST, which is governed by Clausius-Clapeyron, and the wind speed  $V$ .  
181 Hence for transient changes, a component of  $E$  is likely to go up at about the same rate as  
182 observed in the atmosphere for the change in storage, or about 6% per K rise in atmospheric

183 temperature in the Tropics.  $E$  is also dependent on  $V$ . Although the  $RH^*$  term could be  
184 important, it is not available from observations and our experiments suggest that its effects are  
185 fairly small.

186  
187 To account for SST dependence and broaden the results to apply to other cases, *Trenberth and*  
188 *Fasullo* [2007] simplified the bulk flux formula (1) to give the evaporation as

$$189 \quad E \approx aVq_s(T_s) + \varepsilon \quad (2)$$

190 where  $a$  is a regression coefficient and  $\varepsilon$  is the error. SST is not recorded with the best track data  
191 and, accordingly, we have taken a single SST value for the center of each storm every 6 hours for  
192 the month of the storm from the HADISST monthly dataset [*Rayner et al.*, 2003] and assigned it  
193 to each storm and time. This does not capture the detailed daily variations of SST distribution  
194 across the storm, but it does capture the main changes with month and location that are  
195 dominant.

196  
197 FIG. 1 NEAR HERE

### 198 199 **3. Application to Best Track data**

200  
201 In the North Atlantic, the best track record is believed to be quite reliable after about 1944 owing  
202 to the advent of aircraft surveillance of tropical storms, although coverage was incomplete over  
203 the eastern part of the basin. The time series for named storms and hurricanes (Fig. 1) provide a  
204 context for the record after 1970 and reveal the marked increase in activity after 1994.

205  
206 We have computed tropical cyclone statistics and broken them up into 5 knot categories (it is  
207 desirable to use knots rather than conversions into other units owing to the way the original data  
208 were recorded; 1 knot =  $0.51 \text{ m s}^{-1}$ ). Hence we have exploited the best track dataset to examine  
209 in detail the frequency of occurrence of storms based on the recorded maximum wind speed and  
210 how that has changed over time from 1970 to 2006 (Fig. 2).

211  
212 We also sort out only those tropical cyclones between  $30^\circ\text{N}$  and  $30^\circ\text{S}$ . The categories used are  
213 given in Table 1 in  $\text{m s}^{-1}$  but are rounded and correspond to cat. 1: 64-82 kt; cat. 2: 83-95 kt; cat.  
214 3: 96-113 kt; cat. 4: 114-135 kt; and cat. 5:  $> 135$  kt. For the Atlantic for 1990 to 2006,  
215 hurricanes occur 8% of the time, or 22% of the time during July-August-September-October  
216 (JASO).

217  
218 FIG. 2 NEAR HERE

219  
220 Figure 2 shows the frequency distribution of maximum winds for Atlantic storms and also the  
221 distribution of named storms as a function of latitude. Unique to the Atlantic is the bimodal  
222 distribution with latitude, with peak occurrences at  $16$  to  $18^\circ\text{N}$  and near  $30^\circ\text{N}$ . The higher latitude  
223 storms are weaker with maximum winds mostly less than  $50 \text{ m s}^{-1}$ . The biggest change when  
224 storms poleward of  $30^\circ\text{N}$  are excluded is for the weaker named tropical storms. Although the  
225 frequency of maximum winds generally falls off with wind speed, there are peaks near  $33$ - $35 \text{ m s}^{-1}$   
226 and  $60 \text{ m s}^{-1}$ .

227  
228 Figure 3 shows the linear trends of SST and total column water vapor for the core of the  
229 hurricane season (JASO) from 1988 to 2006. This period is chosen because it corresponds to

230 the time of availability of SSM/I water vapor retrievals, which are deemed to be the most reliable  
231 estimates of water vapor variability over ocean [Trenberth *et al.*, 2005]. There is a strong pattern  
232 resemblance between the two fields and the general global relationship found by Trenberth *et al*  
233 [2005] was close to that expected from the Clausius-Clapeyron equation of 6 to 7% per K air  
234 temperature and 7.8% per K of SST for 30°N to 30°S. In the Pacific, the patchy nature of the  
235 changes relates to El Niño variability, so that the trends depend on the period of record. In  
236 contrast, rising values are ubiquitous across the tropical Atlantic. Nevertheless, even in the  
237 Atlantic the trends of several metrics of tropical storms are not very linear (see Figs. 1 and 4).  
238 Warming and increased water vapor are especially apparent for the main development region of  
239 the tropical Atlantic, and we use the averages over 10 to 20°N to reveal the strong relationship in  
240 Fig. 5 (shown later) and how the changes have come about. The relationship for the Atlantic  
241 from 1988 to 2006 is 2.3 mm K<sup>-1</sup> or ~7% K<sup>-1</sup>, in line with expectations based on Clausius-  
242 Clapeyron.

243  
244 FIG. 3 NEAR HERE  
245

246 To make an assessment of the main component of the energy budget associated with hurricanes,  
247 we use (i) the surface heat fluxes from (2) and (ii) the precipitation amount as estimated  
248 empirically from the Katrina simulation. Figure 4 shows the inferred integrated surface fluxes  
249 for only the ocean over the 400 km radius. Shown separately are the contributions for reports of  
250 tropical storms and hurricanes; while their total is given in Fig. 5. The year to year fluctuations  
251 are greater for the hurricane component. For the total surface flux, the hurricanes make up about  
252 63% of the total overall – increasing from 59% before 1994 to 67% after 1994. For  
253 precipitation, the ratio is 58% overall – increasing from about 52% to 62% after 1994.

254  
255 FIG. 4 NEAR HERE  
256

257 For hurricanes, peak values of surface fluxes and precipitation in the Atlantic (Fig. 4) occur in  
258 2004 and 1995, with 2005 ranked third. In contrast, globally, peak values occurred in 1997, when  
259 the 1997-98 El Niño played a major role in enhancing tropical cyclone activity in the Pacific,  
260 while activity in the Atlantic was suppressed, and the second highest year of global activity was  
261 1992, also an El Niño year. In general, tropical storm activity in the El Niño years is relatively  
262 low in the Atlantic and local SST plays a smaller role in storm intensification, as can be seen in  
263 Figs. 4 and 5 by the bars indicating the El Niño events occurring during the northern hurricane  
264 season.

265  
266 The derived surface fluxes and precipitation from Fig. 4 are combined to provide their sum in  
267 Fig. 5, along with other indicators for just the JASO season. For SST and water vapor from 10 to  
268 20°N the highest values are in 2005, although column water vapor is also very high in 1995. The  
269 numbers of storms peak even more strongly in 2005. The energy fluxes though show a different  
270 time sequence highlighting the importance of not just numbers but also duration and intensity of  
271 storms and the underlying SST. A detailed examination of the probability distribution for 2004  
272 versus 2005 shows that while more storms occurred in 2005, the main increase was for storms  
273 with maximum winds of 22 to 40 m s<sup>-1</sup>, while in 2004 more storms occurred with maximum  
274 winds from 40 to 60 m s<sup>-1</sup>. Presumably the size of storms is also a key factor but this has not  
275 been addressed in this analysis. However, the 2005 season was more active outside of JASO.  
276

FIG. 5 NEAR HERE

Otherwise, there is strong relationship with local SST, as found by *Hoyos et al.* [2006] and *Sabbatelli and Mann* [2007], with linear regressions from 1988 to 2006 of 7% K<sup>-1</sup> for water vapor, 123% K<sup>-1</sup> for total surface heat flux, and 90% K<sup>-1</sup> for precipitation associated with the cumulative contribution of both hurricanes and tropical storms.

The estimated hurricane precipitation latent heat release from 0 to 30°N is about 3 times as large as the surface flux, with their difference balanced primarily by the transport of latent energy from outside the 400 km cylinder. This ratio from the integral of hurricanes in Fig. 4b is lower than the ratio for Katrina (3.9) or Ivan (4.95) [*Trenberth et al.*, 2007]. However, the regressed precipitation latent heat estimate is also too low as it was computed over the ocean only, and the land precipitation component is missing. Indeed, much of the heavy precipitation may occur after the storm has made landfall and is weakening, yet this has been omitted from values in Figs. 4 and 5. As the hurricane precipitation inside 400 km radius is typically accompanied by suppression of precipitation in surrounding areas owing to the hurricane-related circulation, it partially constitutes a reorganization of rainfall.

In addition to the annual average values, Figs. 4 and 5 also reveal upward trends that are statistically significant at < 1% level for both surface latent heat and precipitation, where significance is gauged from comparison with both the distribution of trends generated by random recombination of the yearly values, and with randomly generated time series of equal variance, as well as other methods. Comparing the pre- and post-1994-95 periods also yields an increase in fluxes for the 1995-2006 period that exceeds the 99% confidence limit. For comparison with the total (tropical storm plus hurricane) flux estimates, for the same months, the evolution of SST and water vapor, and the total number of tropical storms and hurricanes have been plotted in Figs. 5b,c. For hurricane precipitation (Fig. 4b), the linear trend from 1988 to 2006 corresponds to 3.7% per year, approximately 14 times as large as the trend in independent estimates of water vapor in the Atlantic from 10 to 20°N, and 28 times as large as the trend in water vapor of 1.3% decade<sup>-1</sup> over the global ocean overall [*Trenberth et al.*, 2005].

However, the changes in Fig. 5 over time are not linear in nature, and they feature higher values after 1994, although with relatively low values still in El Niño years. There was an unusual prolonged El Niño from about 1990 to 1995 (or a series of three El Niño events between which SSTs in the Pacific failed to return to normal) [*Trenberth and Hoar*, 1996], that suppressed Atlantic activity, and the bonanza year in 1995 (e.g., Fig. 5c) may have partially been a rebound effect as the pent up energy in the ocean was finally released when atmospheric conditions became more favorable. This was followed shortly thereafter by the 1997-98 El Niño event, as the biggest on record by several measures. It was a period when the tropical cyclone activity was most prominent in the Pacific. Activity was again suppressed in the North Atlantic in the 1997 El Niño season (Fig. 5c) in spite of this being the most active global year overall. On the other hand, the most active seasons by our energy metrics (Fig. 4 and 5a) are 1995 and 2004, and during the latter there was a weak El Niño event that developed late in the season.

All metrics in Fig. 5 reveal a significant change across 1994/95 for the JASO season. Modest SST increases from 27.5±0.1 to 28.0±0.1°C (where the error bars are ±2 standard errors) in the 10 to 20°N zone are accompanied by column water vapor changes from 33.5±0.7 to 34.9±0.4



324 mm, or 1.4 mm (4.1±3.2%) and thus 8.2% K<sup>-1</sup>, fairly consistent with Clausius-Clapeyron (as  
325 noted earlier, the change per unit of SST is greater than for air temperature). On the basis of the  
326 SST relationship, the mean columnar water vapor from 1970-1987 can be further estimated at  
327 33.4 mm. Numbers of tropical storms (not reaching hurricane strength) change from 3.4±1.2 to  
328 5.2±2.4 per year, and numbers of hurricanes increase from 4.5±0.9 to 7.5±1.6 per year, giving a  
329 total number of named storms increase from 7.9±1.9 to 12.7±3.8, or 43% of the mean.  
330 Meanwhile, in units of 10<sup>21</sup> J, the surface enthalpy flux increases from 0.41±0.10 to 1.05±0.29  
331 (an increase of 105% of the 1970-2006 mean) and the precipitation flux goes from 1.36±0.33 to  
332 3.63±1.01, or 109% of the mean. Hence the increase in number of storms, although important, is  
333 not the only factor in the observed changes. From Clausius-Clapeyron alone, one expects a 6 to  
334 8% increase in precipitation per K of SST increase [Trenberth *et al.*, 2007], and the difference of  
335 this value with our calculated fluxes and rainfall highlights the increases in intensity and  
336 duration, in addition to numbers.

337

#### 338 4. Discussion

339 The basic source of energy for tropical cyclones is enthalpy fluxes from the ocean, mainly in the  
340 form of evaporation of moisture, while cyclone activity is limited mostly by surface drag.

341 Tropical cyclones therefore play a role in the climate system of moderating temperatures at the  
342 surface and in the ocean in the Tropics through evaporative heat losses [Trenberth and Fasullo,  
343 2007]. The tropical storm produces a net cooling of the ocean, but it also deepens the mixed  
344 layer by many tens of meters, and lowers the SST locally by as much as 5°C [Emanuel, 2001,  
345 2003]. Most of the cooling is from entrainment caused by turbulence generated from the strong  
346 shear of the near-inertial currents across the base of the mixed layer. Walker *et al.* [2005] show  
347 that the cold wake left behind hurricane Ivan in 2004 produces SST cooling of 3-7°C in two  
348 areas along Ivan's track that are related to the depth of the mixed layer and upper ocean heat  
349 content. Similar results for hurricane Frances in 2004 are given by Chen *et al.* [2007] and for  
350 Katrina in 2005 by Davis *et al.* [2008]. Emanuel [2001] has argued that much of the  
351 thermohaline circulation is actually driven by global tropical cyclone activity through vertical  
352 mixing, and increased mixing in the upper ocean layers by tropical storms is supported by  
353 observational evidence [Sriver and Huber, 2007].

354

355 In this study, we quantify crude estimates of the actual enthalpy exchange from the ocean to  
356 atmosphere in the Atlantic using several metrics. For the equator to 30°N, the latent heat flux as  
357 the net ocean loss within 400 km of the eye of the hurricanes in the Atlantic changes from 0.18 to  
358 0.58×10<sup>21</sup> J per year for JASO 1970 to 1994 versus 1995 to 2006. When tropical storms are  
359 included the surface enthalpy flux changes from 0.41 to 1.05×10<sup>21</sup> J per year, or equivalently  
360 0.04 and 0.10 PW for JASO. For precipitation, the total values are 1.36 and 3.63×10<sup>21</sup> J per year  
361 (0.13 and 0.34 PW). These increased intensities in the later time period represent a transition  
362 from the earlier data record that exceeds the 99% confidence interval based on a *t*-test. At the  
363 same time the SST increased by 0.5°C while the column water vapor increased by 4.1%. In  
364 contrast, the mean SST from 1970 to 1987 is less than 0.1°C lower than for the 1988-1994  
365 period. The trends in the Atlantic over the last thirty-seven years are thus not very linear but  
366 rather are better characterized by a rapid transition occurring in the mid-1990s. The net surface  
367 tropical storm fluxes after that time are a substantial fraction of the estimated meridional heat  
368 transports in the ocean (of order 1.2 PW in the Atlantic [Bryden *et al.*, 1991]).

369

370 *Emanuel* [1987, 2003] argued that increasing greenhouse gases alter the energy balance at the  
371 surface of tropical oceans in such a way as to require a greater turbulent enthalpy flux out of the  
372 ocean (largely in the form of greater evaporation), thereby requiring a greater degree of  
373 thermodynamic disequilibrium between the tropical oceans and atmosphere. It is therefore  
374 expected that global warming will be accompanied by an increase in tropical storm activity  
375 [*Trenberth*, 2005]. However, this could be manifested as increases in numbers, intensity, duration,  
376 and size. The perceptions of variability and change can depend a lot on the metric used (e.g., see  
377 Fig. 5) and integrated metrics should be more robust and meaningful, but are generally not  
378 available. Our surface flux metrics integrate over the lifetime of the storm as long as its maximum  
379 winds exceed 39 kt, and thus appropriately take the duration and varying intensity into account.  
380 The total number of hurricanes is potentially sensitive to a few storms that only momentarily cross  
381 the threshold intensity. It is encouraging that our overall trends generally reinforce those given by  
382 the ACE and PDI indices. The SST and water vapor metrics are given for the 10 to 20°N region,  
383 but many storms occur outside of this domain (e.g., Fig. 2). The differences between Figs. 1 and  
384 5c also highlight the JASO season perspective versus the whole season. For instance in 2005, 2  
385 hurricanes and 5 tropical storms occurred either in June or after October.

386  
387 The dynamics and thermodynamics suggest that tropical storms are likely to become more intense  
388 and possibly greater in size [*Trenberth and Fasullo*, 2007] but may also be fewer in number. In  
389 part the latter arises from the much greater surface heat flux out of the ocean, and cooling and  
390 mixing associated with a bigger storm, so that the net effect on the heat budget of one big storm  
391 may accomplish what several smaller storms might otherwise do. In this context the nonlinearities  
392 of surface impacts – the kinetic energy goes up as the square of the wind speed and the PDI goes  
393 with the cube of the surface wind speed [*Emanuel*, 2005a] – are a factor, and in addition, the  
394 transports and stabilization of the atmosphere are greater in more intense storms.

395  
396 The climatic influence of tropical cyclones depends more on area and time-integrated quantities  
397 than on local, instantaneous values. Here we have provided some initial estimates of some of  
398 these quantities although they are based upon empirical formulae that are likely to contain biases.  
399 The use of maximum sustained wind may be useful to classify hurricane damage, but it is not  
400 obviously relevant to large-scale climatic effects of hurricanes, except to the extent that  
401 maximum wind correlates with other parameters, which we found to be the case [*Trenberth and*  
402 *Fasullo*, 2007]. However, it is possible that our results, based on limited simulations of Katrina,  
403 are not representative in general and, because the available observational data do not include size  
404 and integrated metrics, it is not yet possible to address this issue, so that it may be better to  
405 regard the results in Figs. 4 and 5 as depicting a “hurricane surface flux index” or “hurricane  
406 precipitation index”. Other studies using the ACE and PDI also do not yet account for changes  
407 in size of storms.

408  
409 We have found that hurricanes pump a considerable amount of heat out of the oceans into the  
410 atmosphere every year and that the amount is apparently generally increasing over time after  
411 1970 but also depends strongly on ENSO [*Sobel and Camargo*, 2005; *Trenberth and Fasullo*,  
412 2007]. These facts represent a fundamental role for hurricanes in the climate system. Locally,  
413 outgoing longwave radiation decreases from the high cold cloud tops but with compensation  
414 elsewhere, often in association with a Madden-Julian Oscillation [*Sobel and Camargo*, 2005].  
415 The climate system as a whole likely cools as there is a transport of energy away from the tropics  
416 by the tropical storm circulation links to higher latitudes, where the energy can be radiated to

417 space [Trenberth and Stepaniak, 2003a,b; Trenberth and Fasullo, 2007]. It is therefore  
418 suggested that the storms act to systematically cool the ocean and thus play a vital role in  
419 climate. The evaporative cooling is only a small component of the cold wake, in the immediate  
420 vicinity of the hurricane track, whereas the enhanced evaporation extends out to a radius of order  
421 1600 km. The hurricane values in Fig. 4a thus also provide an initial rough estimate of the effects  
422 that have been omitted from surface flux and precipitation climatologies that have an insufficient  
423 consideration of hurricanes.

424

425 Figure 5 also provides insight into the results from Emanuel [2005a,b] and Sriver and Huber  
426 [2006] for the Atlantic using the PDI, and Webster et al. [2005] who found a large increase in  
427 numbers and proportion of hurricanes reaching categories 4 and 5 globally since 1970 even as  
428 total number of cyclones and cyclone days decreased slightly in most basins. These results have  
429 been challenged by several studies [Landsea, 2005; Landsea et al., 2006; Klotzbach, 2006] that  
430 have questioned the quality of the data and the start date of 1970, but other studies have found  
431 that the record is quite reliable, especially after 1985 [Emanuel, 2005b; Fasullo, 2006; Kossin et  
432 al., 2007].

433

434 Observed and potential changes in hurricanes with global warming are discussed in detail in  
435 Trenberth [2005], Emanuel [2005a, b] and Webster et al. [2005] who show that intense storms  
436 are observed to be increasing and with longer lifetimes, in line with theoretical and modeling  
437 expectations, and this is also evident in our preliminary results for energy exchange globally  
438 [Trenberth and Fasullo, 2007] and for the Atlantic (Figs. 4, 5). Empirically there is a very  
439 strong relationship between intensity and potential destructiveness of such storms with SSTs in  
440 the genesis regions in the Tropics [Emanuel, 2005a, b]. Our results use a novel technique of  
441 exploiting model results from simulations to make extrapolations to the global domain by also  
442 utilizing the best track data. They are only as good as the best track data and accordingly subject  
443 to future revision, and can no doubt be improved upon. Moreover, they depend on relationships  
444 established during Katrina which, while adjusted for SST effects, may not apply to all other  
445 storms. When reprocessed data on tropical storms are available, it would be desirable to redo  
446 these statistics. Nonetheless they provide some high level diagnostics on aspects of the  
447 variability of hurricane impacts that are likely to reflect real world changes. The enthalpy flux  
448 and precipitation time series given here are also likely to provide a legitimate index of the  
449 changing role of hurricanes in the climate system that complement the PDI and other indices.

450

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533 **Figure captions**

534 Fig. 1. The record of numbers of named storms and hurricanes for the Atlantic from 1944 to  
535 2006 based on the best track data. The smoothed curves show decadal variability using a 13  
536 point filter with end values computed using reflected values.

537 Fig. 2. For July to October, frequency distribution of a) maximum wind speeds (top) and b)  
538 storm reports exceeding 39 kt as a function of latitude (bottom) for the Atlantic based on best  
539 track storm reports by 5 knot category for 1990 to 2006. Storm reports between 30°N and  
540 30°S are shaded.

541 Fig. 3. For July to October, linear trends from 1988 to 2006 of a) column water vapor  
542 (precipitable water; bottom) in % decade<sup>-1</sup>, and b) SST in °C decade<sup>-1</sup>.

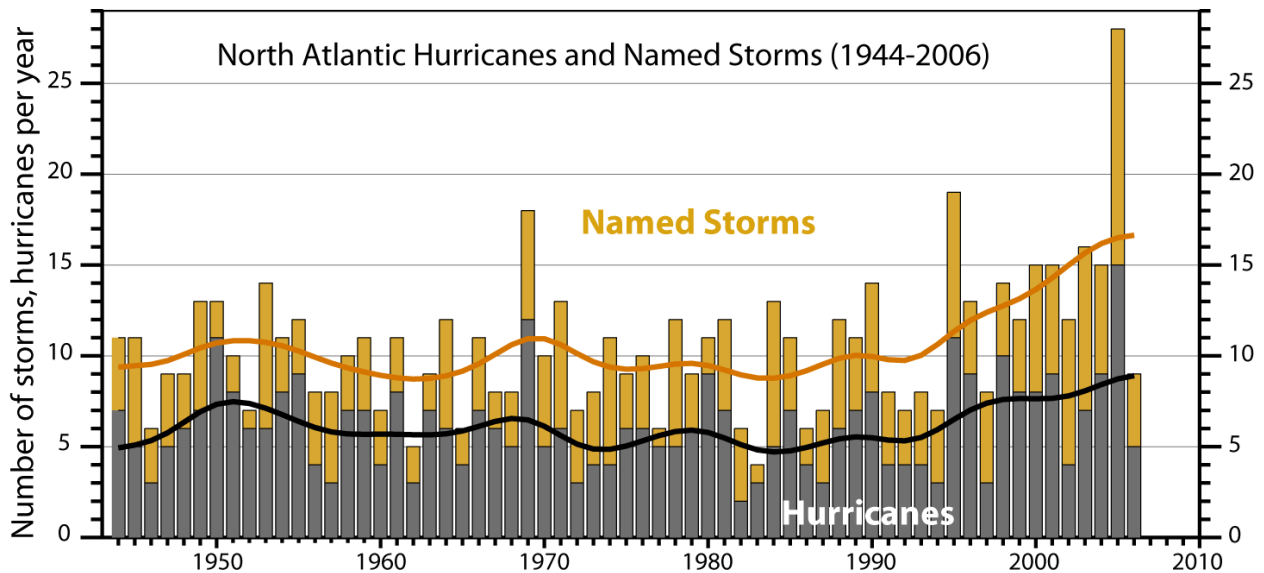
543 Fig. 4. Time series of July-August-September-October (a) inferred sensible heat (SH) (light  
544 blue), latent heat (LH) (dark blue) and total surface enthalpy fluxes (black) (left axis), and  
545 precipitation (green, right axis) from named tropical storms below hurricane strength, and (b)  
546 hurricanes, all in units of energy (10<sup>21</sup> J). Mean values for 1970 to 1994 and 1995 to 2006 are  
547 indicated for each curve. The black or grey bars under the abscissa in (4b) indicate El Niño  
548 events, with the two weaker events in grey.

549 Fig. 5. Time series of July-August-September-October (a) inferred total (from named tropical  
550 storms plus hurricanes) surface SH, LH and enthalpy fluxes (left axis) and precipitation (right  
551 axis) in units of energy (10<sup>21</sup> J), (b) SST anomalies (°C, black) and total column water vapor  
552 (mm, red), and (c) numbers of tropical storms (gold) and hurricanes (black). Mean values for  
553 1970 to 1994 and 1995 to 2006 are indicated for each curve. The black or grey bars under  
554 the abscissa in (5c) indicate El Niño events, with the two weaker events in grey.

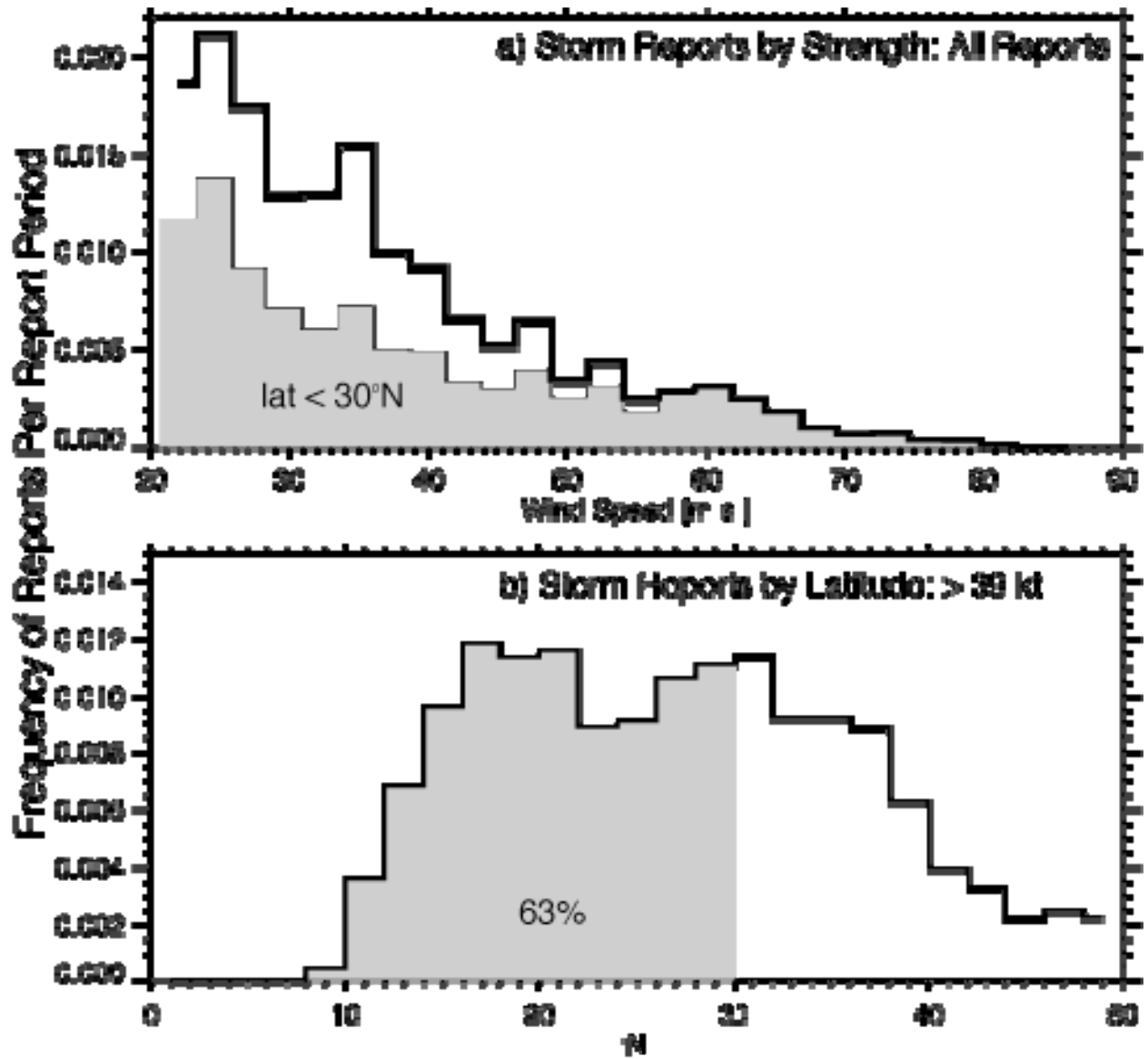
555 Table 1. The best track frequency of tropical cyclone reports for the North Atlantic basin  
 556 from 1990-2006 of given peak wind strength ( $\text{m s}^{-1}$ ) by Tropical Storm (TS) or hurricane  
 557 category is given in % along with the value for just 0 to  $30^\circ\text{N}$ . Also given are the surface  
 558 fluxes as latent heat (LH), sensible heat (SH) and their sum as the enthalpy flux in  $\text{W m}^{-2}$ ,  
 559 and precipitation in  $\text{mm h}^{-1}$ , for the Katrina simulations when it was in each category based  
 560 on the maximum 10 m winds.  
 561

	TS 18-32	Cat. 1 33-42	Cat. 2 43-49	Cat. 3 50-58	Cat. 4 59-69	Cat. 5 >70
Best track frequency	12.3	4.4	1.5	1.0	0.9	0.1
Best track frequency [0- $30^\circ\text{N}$ ]	7.3	2.1	1.0	0.8	0.9	0.1
LH flux		548	623	682	766	865
SH flux		80	85	101	129	154
Enthalpy flux		628	708	783	895	1019
Precipitation		3.20	2.99	4.31	4.71	5.09

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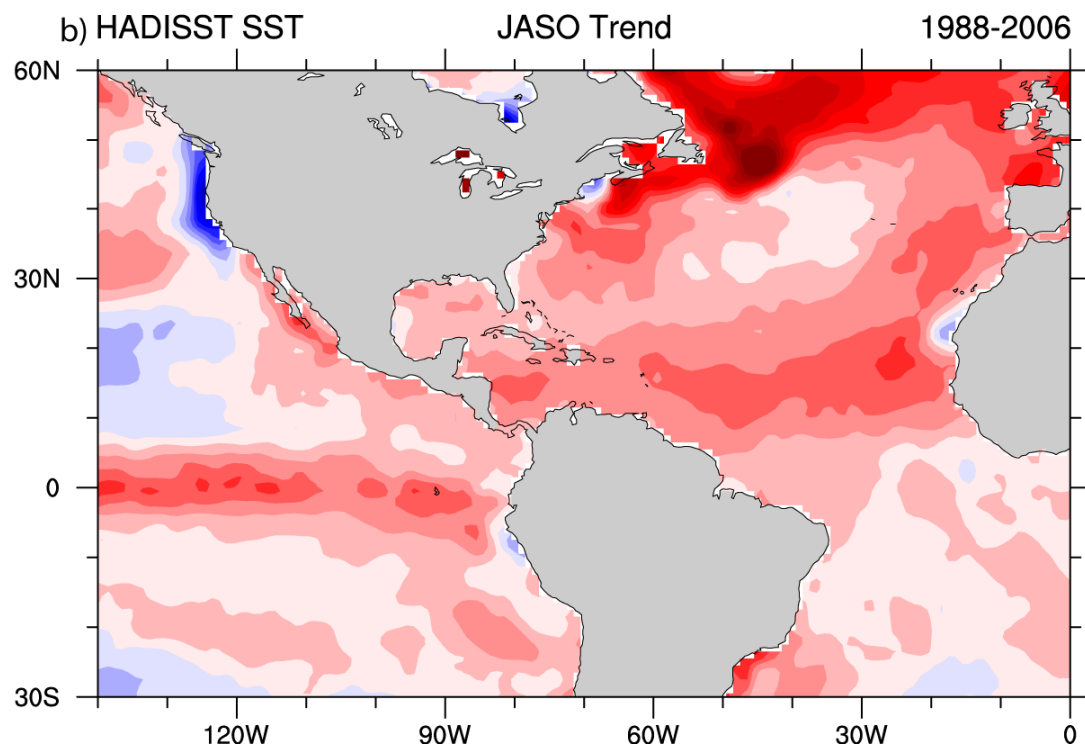
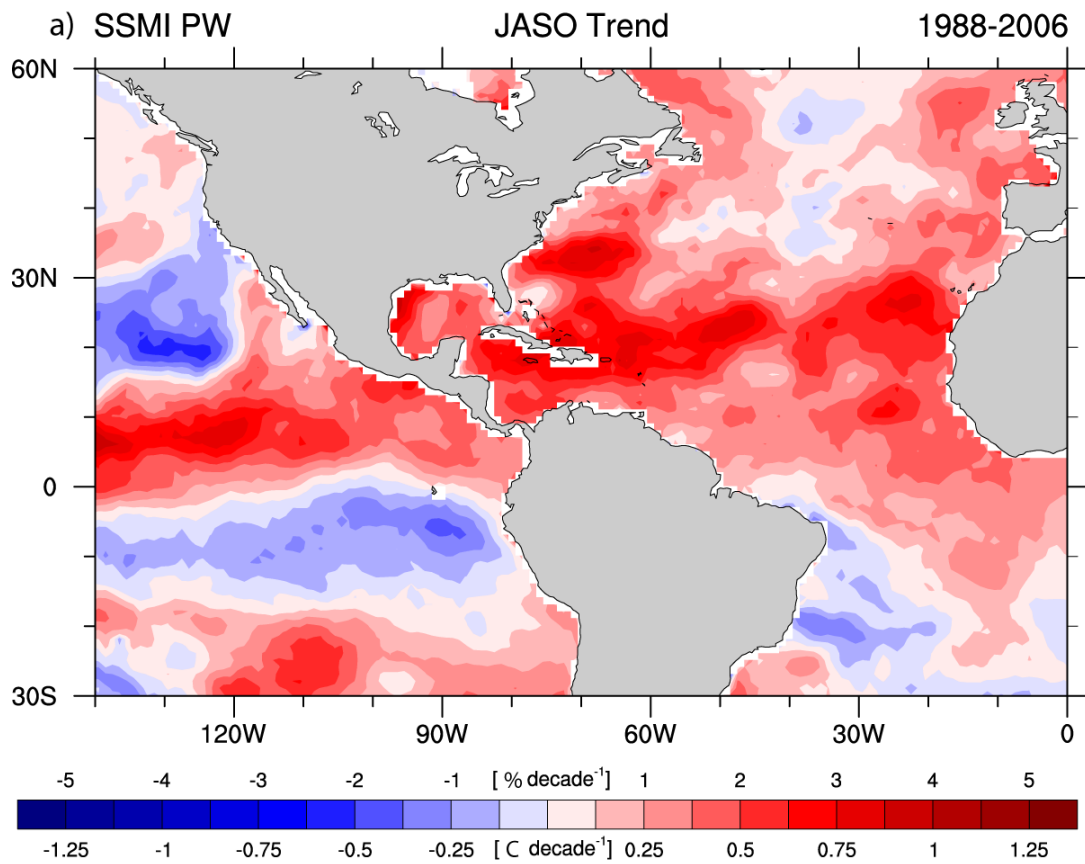


568 Fig. 1



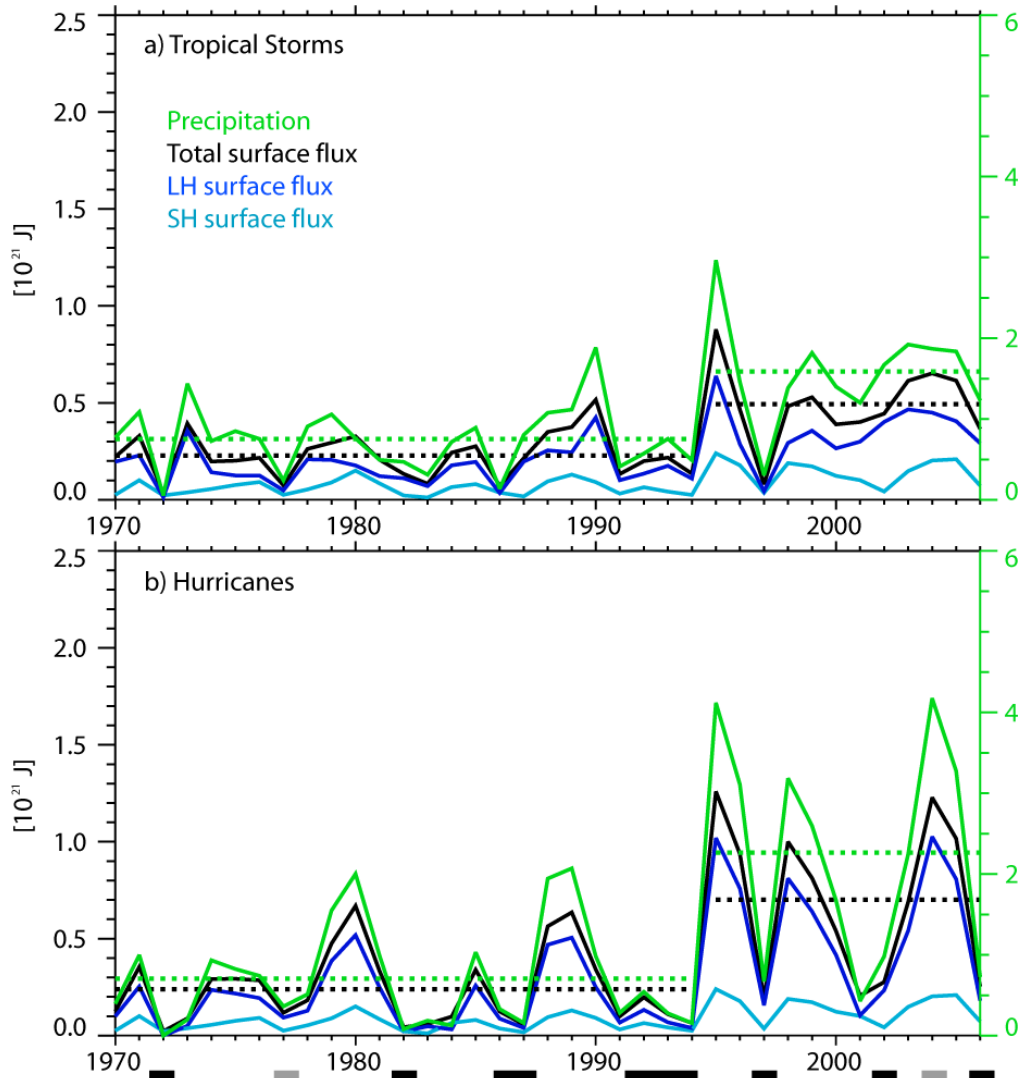
569  
570 Fig. 2





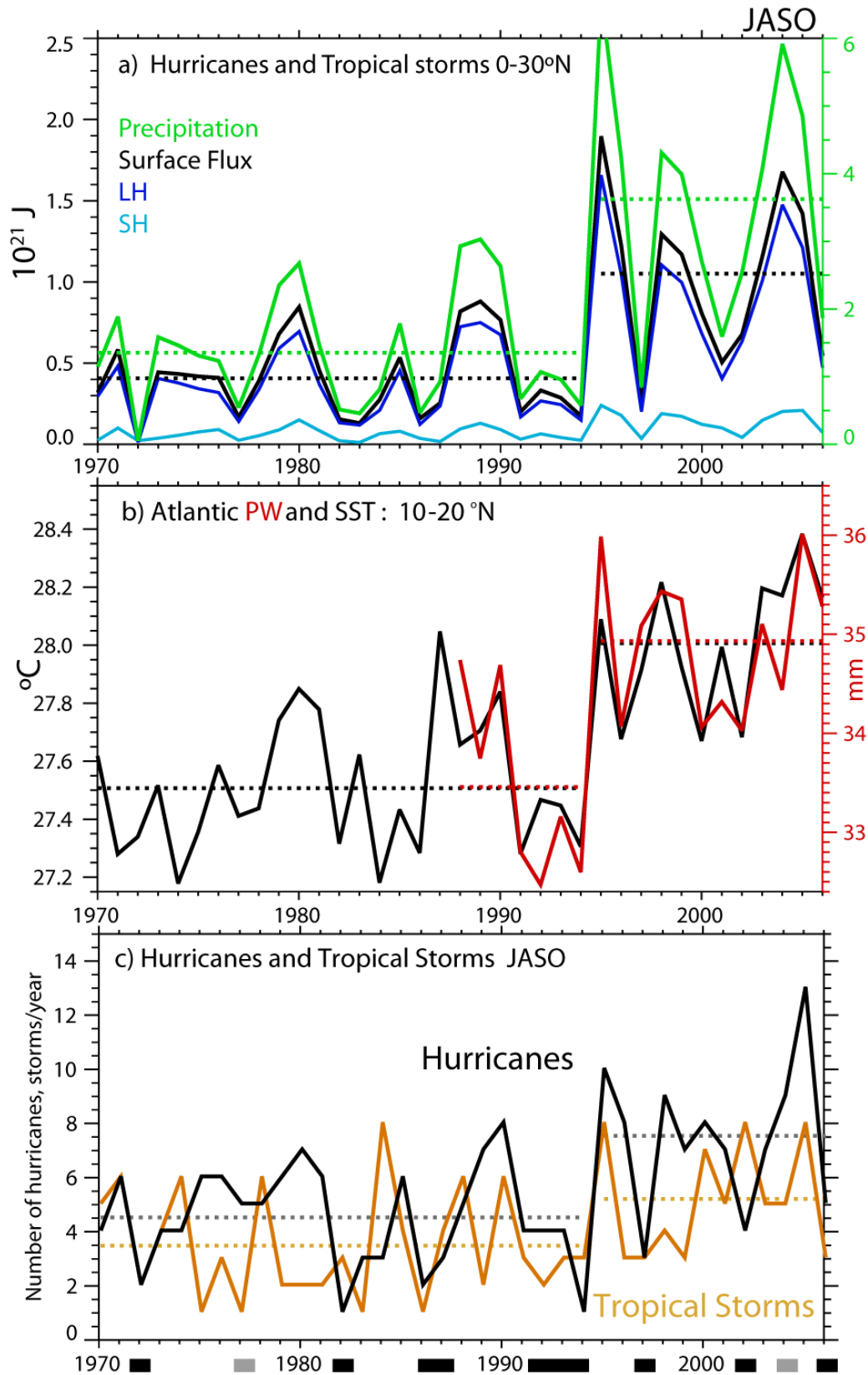
571  
572 Fig. 3

### Surface Hurricane Flux and Rainfall: 0-30°N



573  
574

Fig. 4



575  
576

Fig. 5