

# Towards an Understanding of Atmospheric Balance

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Why is the extra-tropical troposphere approx. quasi-geostrophic ?

The stability of quasi-geostrophic flow with respect to ageostrophic perturbations

## Derivation of (2-layer, f\_plane) PE in terms of Normal Modes

Errico JAS 1981

$$\begin{aligned} \frac{d}{dt} b_{\mathbf{K}} = \sum_{\mathbf{L}, \mathbf{M}} [ & C_1 b_{\mathbf{L}}^* b_{\mathbf{M}}^* + C_2 g_{\mathbf{L}}^* g_{\mathbf{M}}^* + C_3 g_{\mathbf{L}}^* a_{\mathbf{M}}^* \\ & + C_3^* g_{\mathbf{L}}^* d_{\mathbf{M}}^* + C_4 a_{\mathbf{L}}^* a_{\mathbf{M}}^* + C_4^* d_{\mathbf{L}}^* d_{\mathbf{M}}^* \\ & + C_5 a_{\mathbf{L}}^* d_{\mathbf{M}}^*], \end{aligned}$$

$$\frac{d}{dt} g_{\mathbf{K}} = \sum_{\mathbf{L}, \mathbf{M}} [C_6 b_{\mathbf{L}}^* g_{\mathbf{M}}^* + C_7 b_{\mathbf{L}}^* a_{\mathbf{M}}^* + C_7^* b_{\mathbf{L}}^* d_{\mathbf{M}}^*],$$

$$\begin{aligned} \frac{d}{dt} a_{\mathbf{K}} = i\omega_{\mathbf{K}} a_{\mathbf{K}} + \sum_{\mathbf{L}, \mathbf{M}} [ & C_8 b_{\mathbf{L}}^* g_{\mathbf{M}}^* \\ & + C_9 b_{\mathbf{L}}^* a_{\mathbf{M}}^* + C_{10} b_{\mathbf{L}}^* d_{\mathbf{M}}^*], \end{aligned}$$

$$d_{\mathbf{K}} = a_{-\mathbf{K}}^*.$$

$$C_1 = -\frac{1}{2} \mathbf{L} \times \mathbf{M} (L^{-2} - M^{-2}),$$

$$C_2 = -\frac{1}{2} \mathbf{L} \times \mathbf{M} (\omega_L^{-2} - \omega_M^{-2}),$$

$$\begin{aligned} C_3 = -(M^2 \omega_M^2 \omega_L^2)^{-1} [ & \mathbf{L} \times \mathbf{M} (M^2 - L^2) \\ & - i\omega_M (L^2 \mathbf{M} \cdot \mathbf{K} + M^2 \mathbf{L} \cdot \mathbf{K})], \end{aligned}$$

$$\begin{aligned} C_4 = \frac{1}{2} \omega_M^{-2} \omega_L^{-2} [ & \mathbf{L} \times \mathbf{M} (M^{-2} - L^{-2}) (1 + \omega_M \omega_L) \\ & + i(\omega_L + \omega_M) (M^{-2} \mathbf{M} \cdot \mathbf{K} + L^{-2} \mathbf{L} \cdot \mathbf{K})], \end{aligned}$$

$$\begin{aligned} C_5 = \omega_M^{-2} \omega_L^{-2} [ & \mathbf{L} \times \mathbf{M} (M^{-2} - L^{-2}) (1 - \omega_M \omega_L) \\ & + i(\omega_L - \omega_M) (M^{-2} \mathbf{M} \cdot \mathbf{K} + L^{-2} \mathbf{L} \cdot \mathbf{K})], \end{aligned}$$

$$C_6 = -\mathbf{L} \times \mathbf{M} L^{-2} \omega_M^{-2} (\omega_M^2 - \omega_L^2 + 1),$$

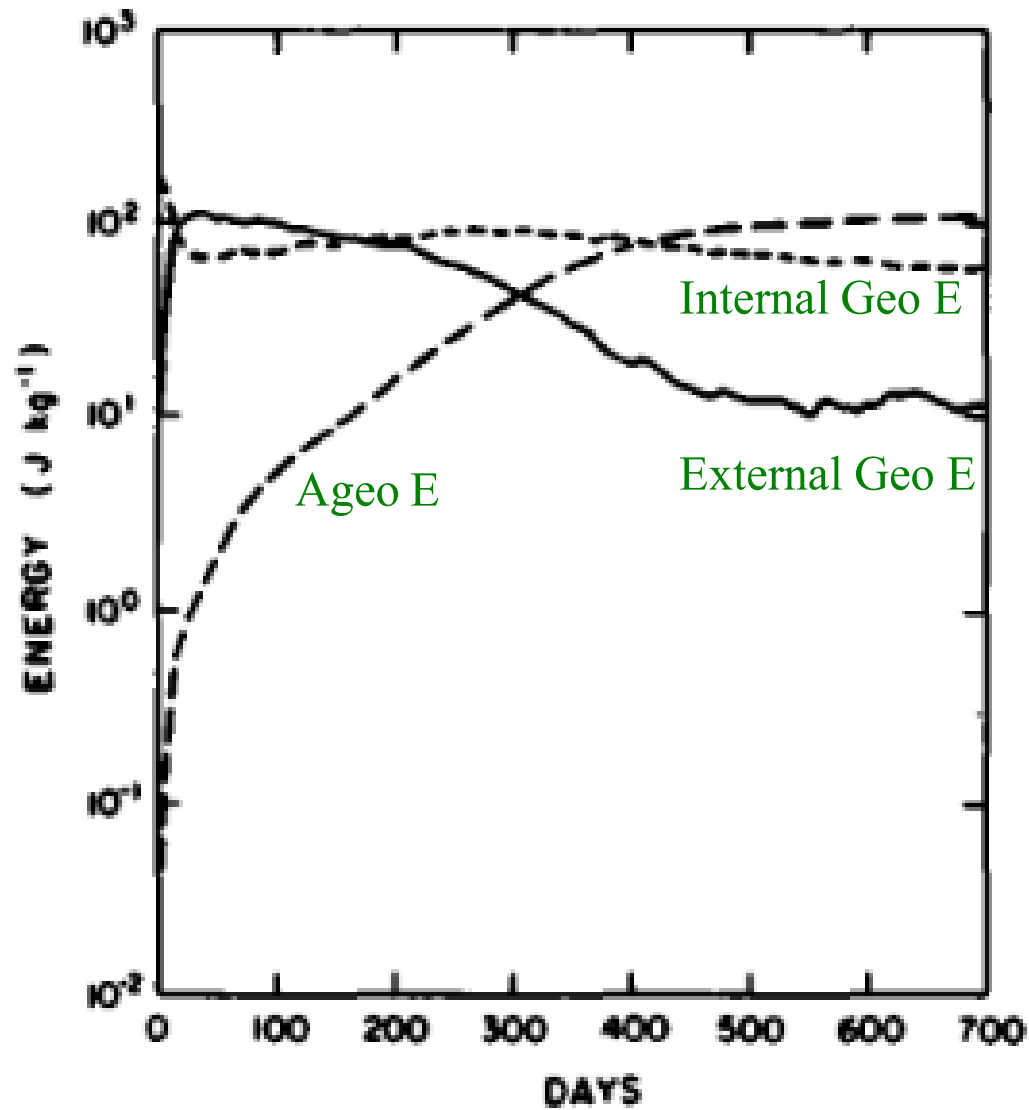
$$C_7 = \omega_M^{-2} M^{-2} (\mathbf{L} \times \mathbf{M} + i\omega_M \mathbf{K} \cdot \mathbf{M}),$$

$$C_8 = -\omega_M^{-2} L^{-2} \mathbf{L} \times \mathbf{M} (\mathbf{K} \cdot \mathbf{L} - i\omega_{\mathbf{K}} \mathbf{L} \times \mathbf{M}),$$

$$\begin{aligned} C_9 = (2\omega_M^2 L^2 M^2)^{-1} \{ & \mathbf{L} \times \mathbf{M} [L^2 (1 - \omega_{\mathbf{K}} \omega_M) \\ & - \omega_{\mathbf{K}} (\omega_{\mathbf{K}} M^2 - \omega_M K^2)] \\ & + i[2\omega_{\mathbf{K}} (\mathbf{L} \times \mathbf{M})^2 + \omega_M L^2 \mathbf{K} \cdot \mathbf{M}] \}, \end{aligned}$$

$$\begin{aligned} C_{10} = (2\omega_M^2 L^2 M^2)^{-1} \{ & \mathbf{L} \times \mathbf{M} [L^2 (1 + \omega_{\mathbf{K}} \omega_M) \\ & - \omega_{\mathbf{K}} (\omega_{\mathbf{K}} M^2 + \omega_M K^2)] \\ & + i[2\omega_{\mathbf{K}} (\mathbf{L} \times \mathbf{M})^2 - \omega_M L^2 \mathbf{K} \cdot \mathbf{M}] \}. \end{aligned}$$

Demonstration of equipartition in an adiabatic model  
Errico *Tellus* 1984



What are the characteristics of atmospheric balance?

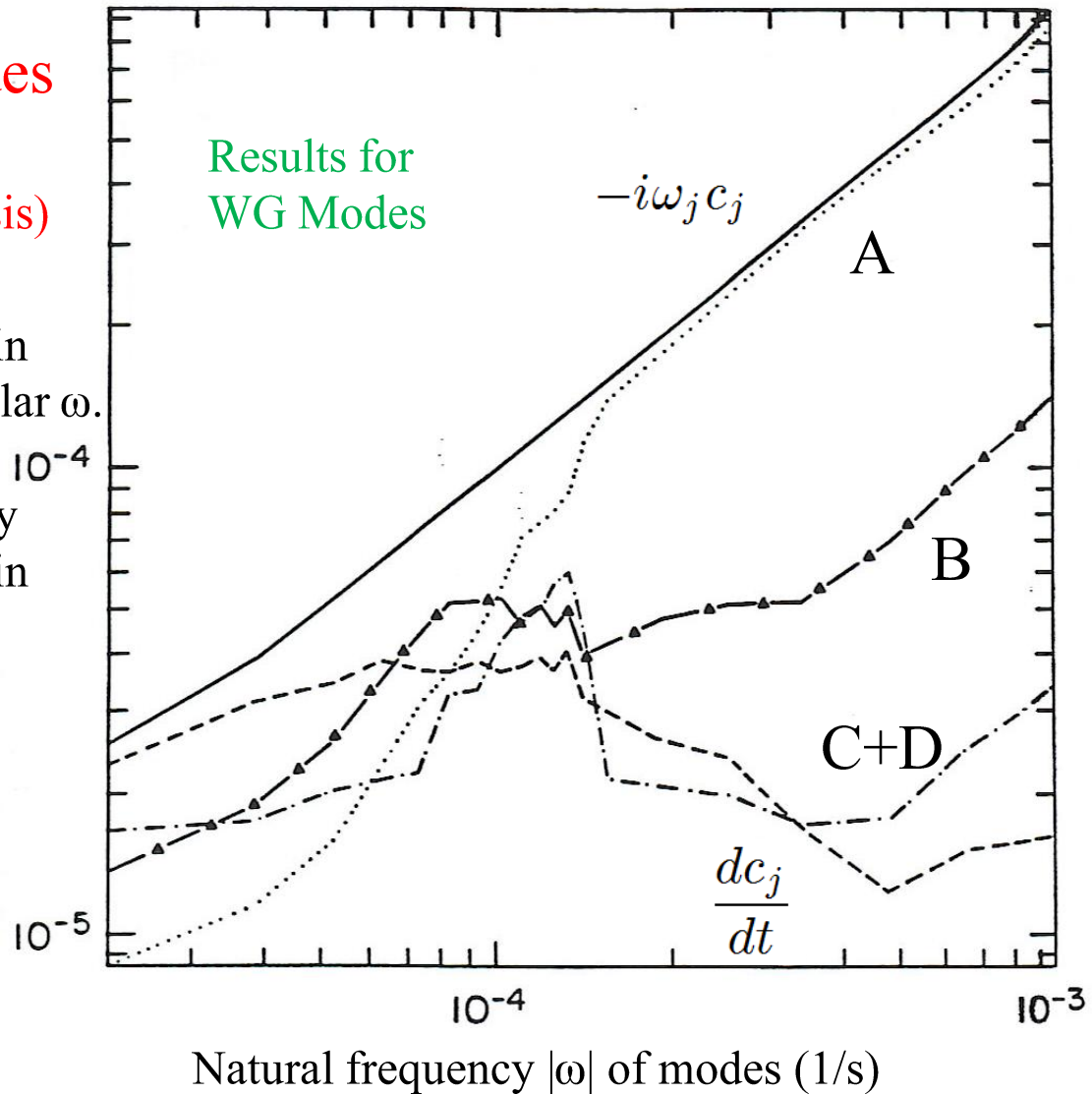
To which normal modes should balance be applied?

$$\frac{dc_j}{dt} = -i\omega_j c_j + A(r,r) + B(r,g) + C(g,g) + D$$

**Balance of WG modes  
in a climate model  
(a sophisticated scale analysis)**

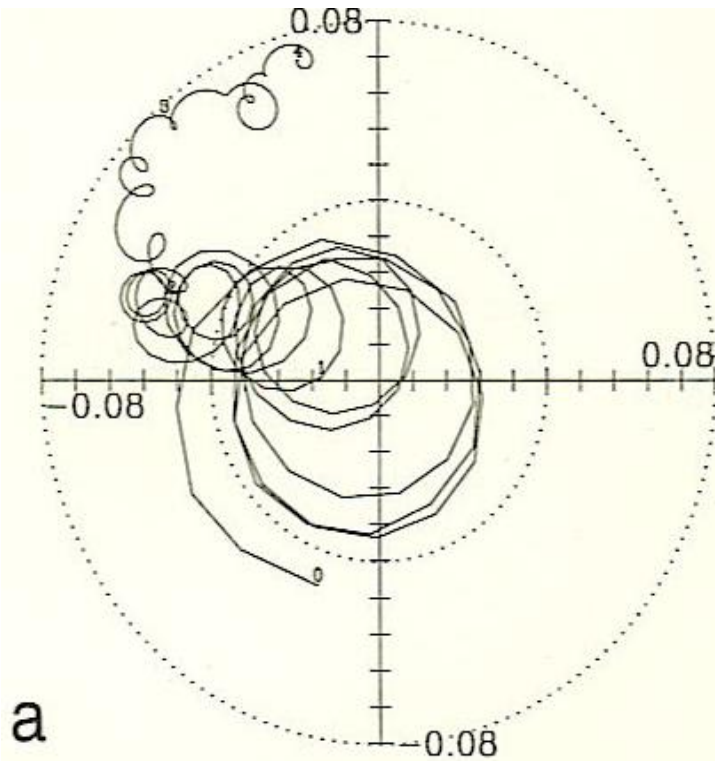
Term magnitudes averaged in  
time and within bins of similar  $\omega$ .

Plotted values normalized by  
mean energy of modes within  
the  $\omega$  bin, so units are 1/s

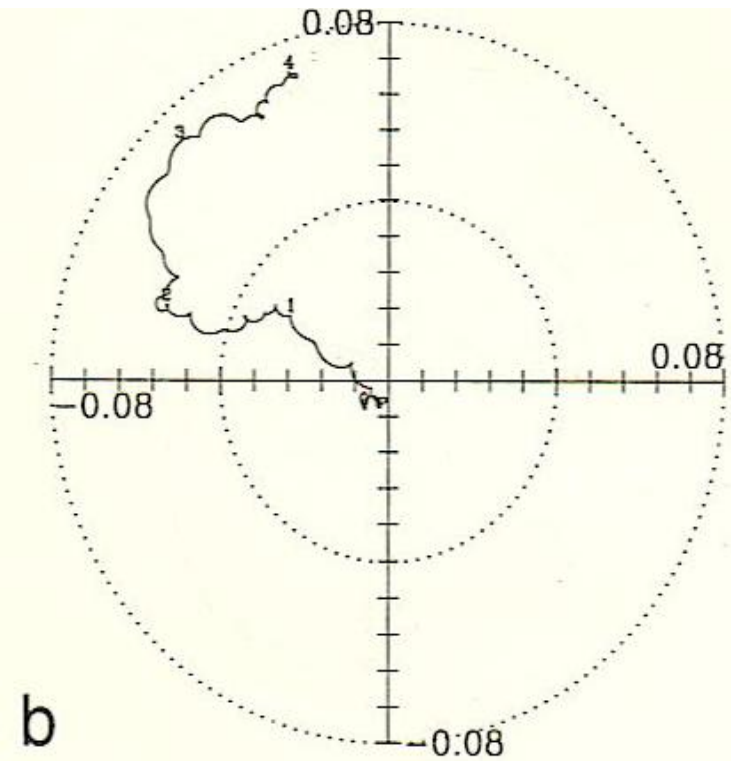


# Harmonic Dial for External $m=4$ Mode, Period=3.7h

4-day forecast without NNMI



With NNMI



Errico and Williamson 1988 *MWR*

Errico 1997 *J Japan Met Soc*

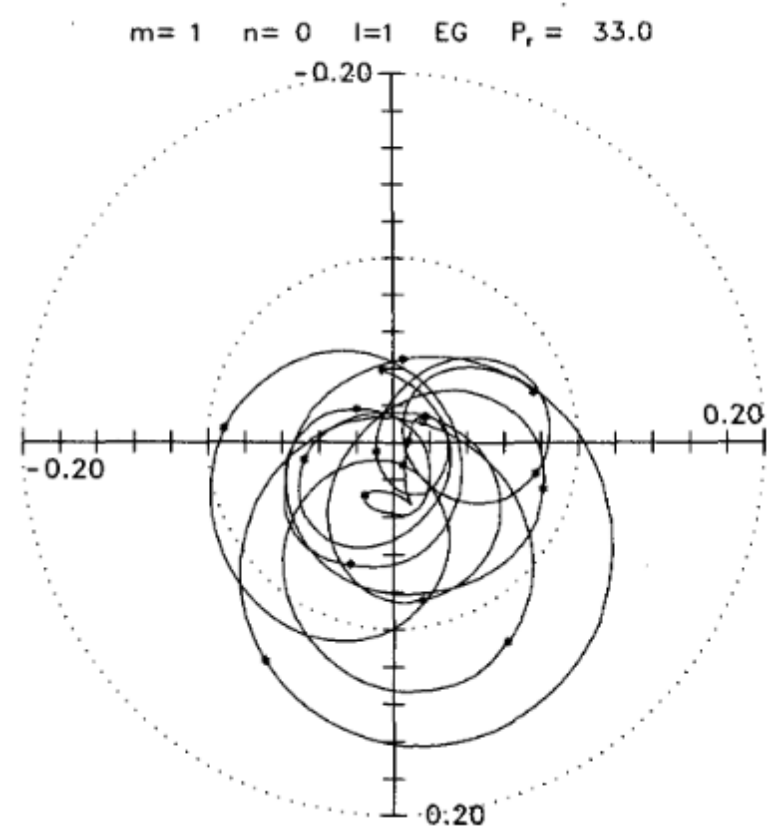
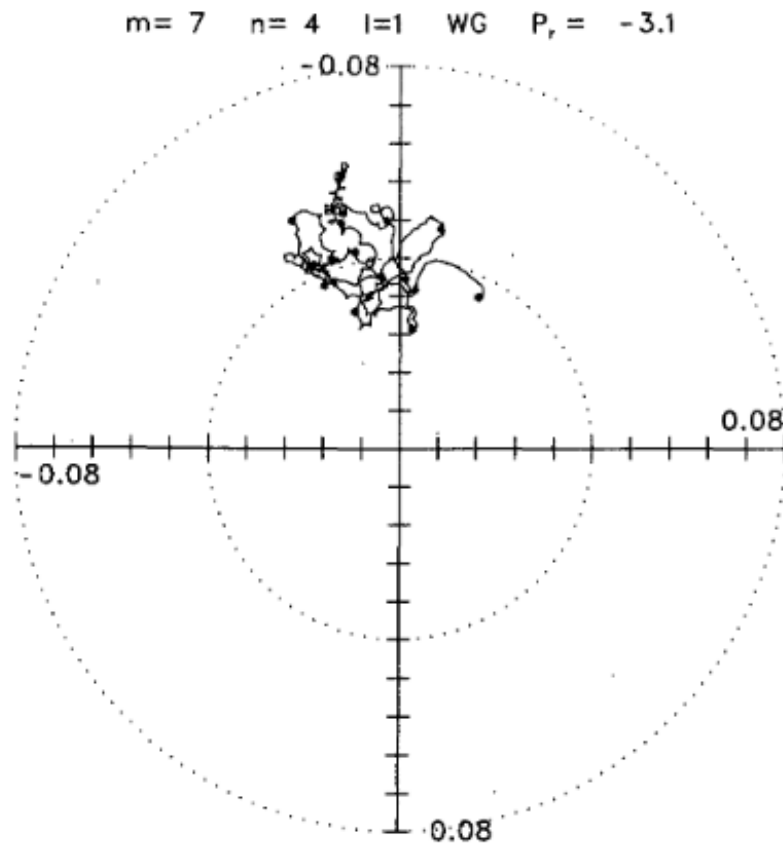
How do moist diabatic processes affect balance ?

How should NMMI consider diabatic heating?



Behavior of gravitational modes in a climate model:  
Time series (harmonic dials) of complex mode amplitudes  
Errico MWR 1989

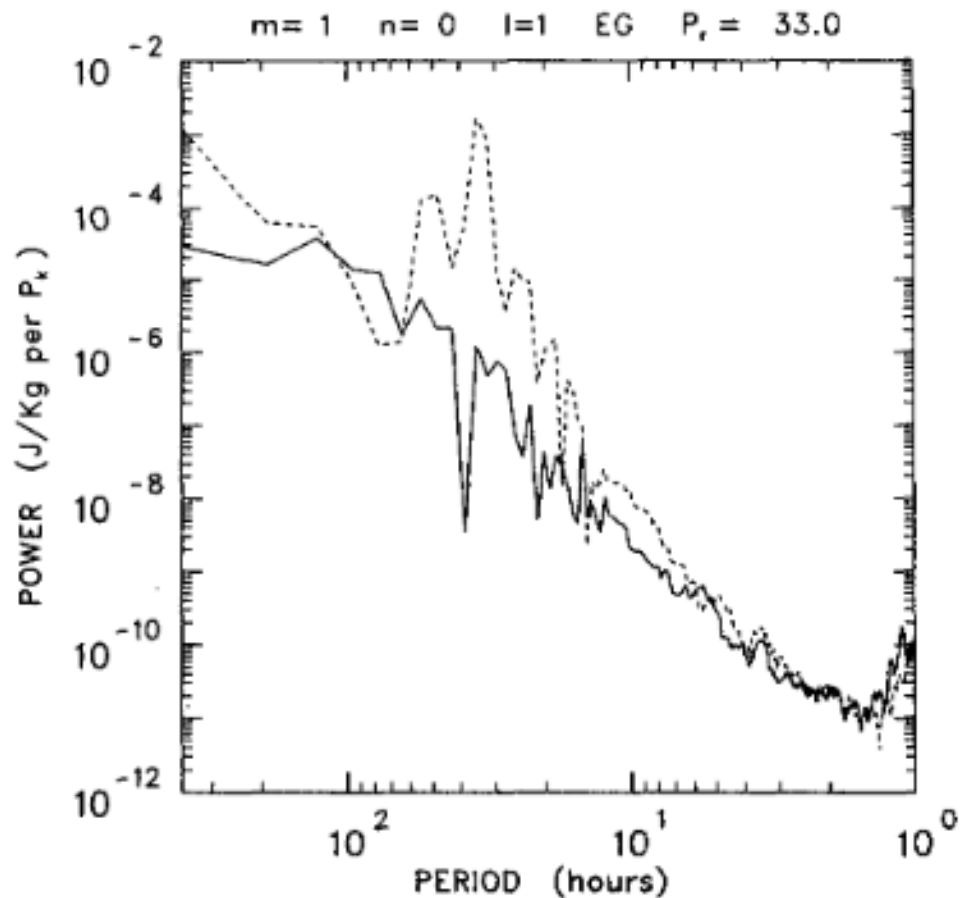
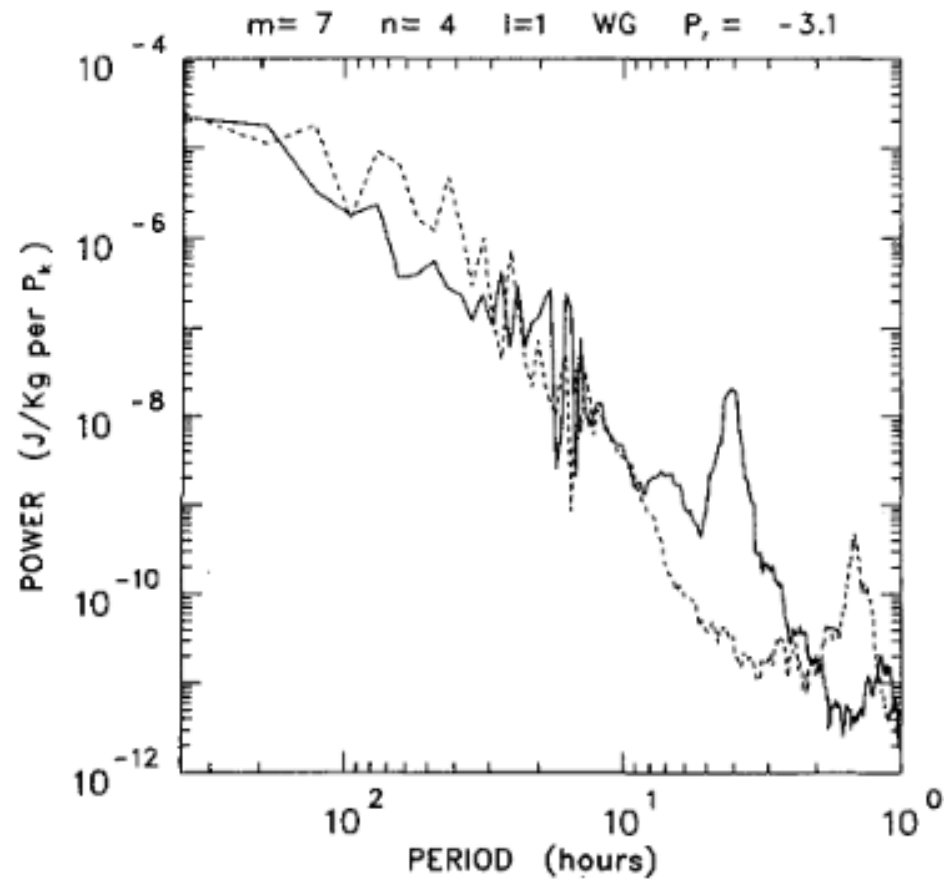
16-day simulation shown



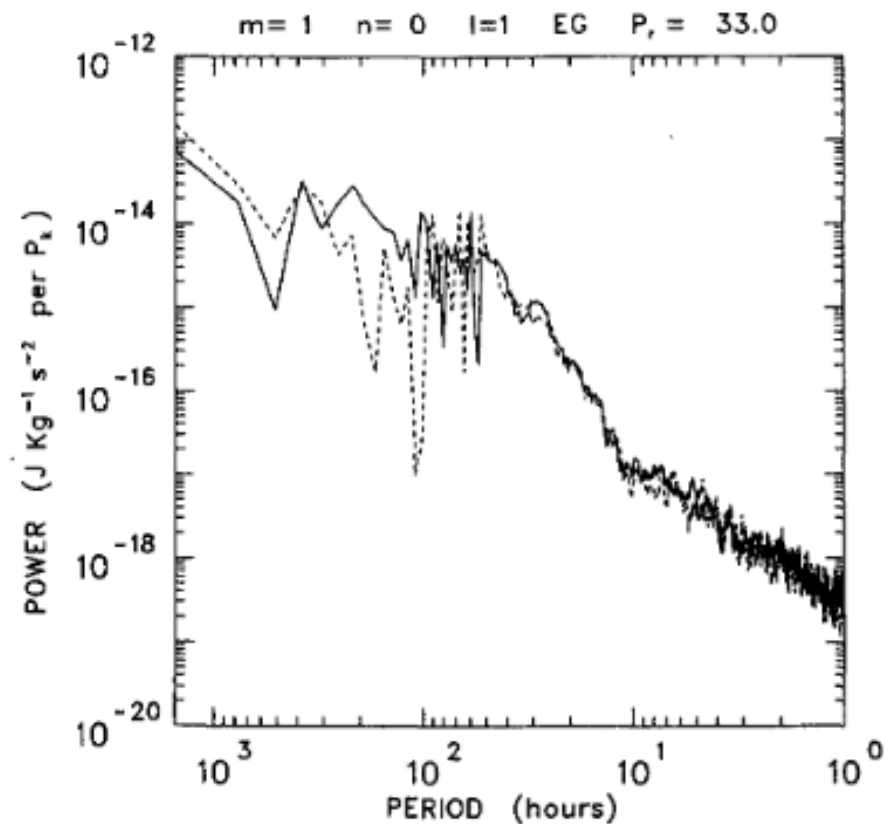
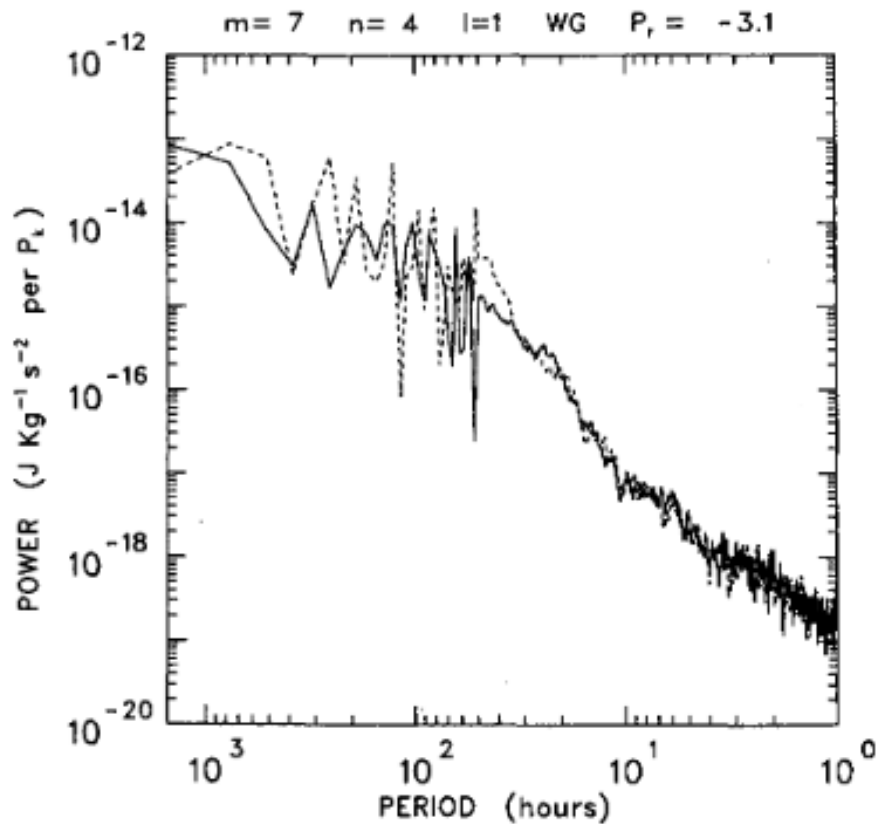
Behavior of gravitational modes in a climate model:  
Power spectra of complex mode amplitudes  
Errico MWR 1989

Solid: Westward propagating

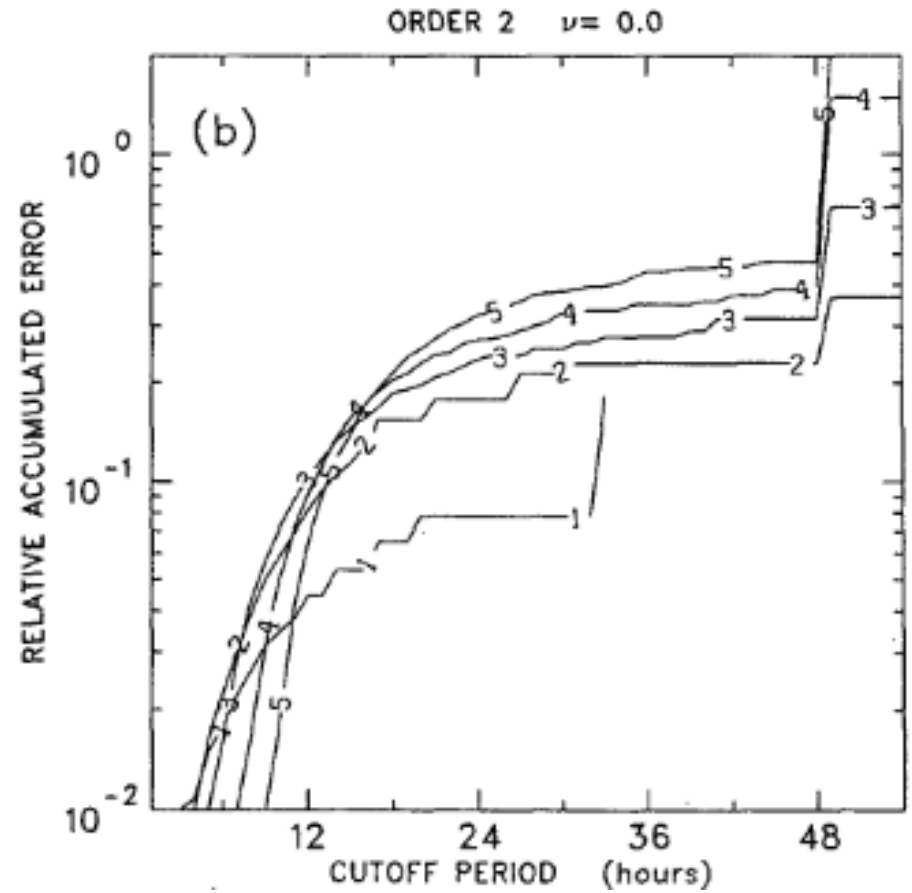
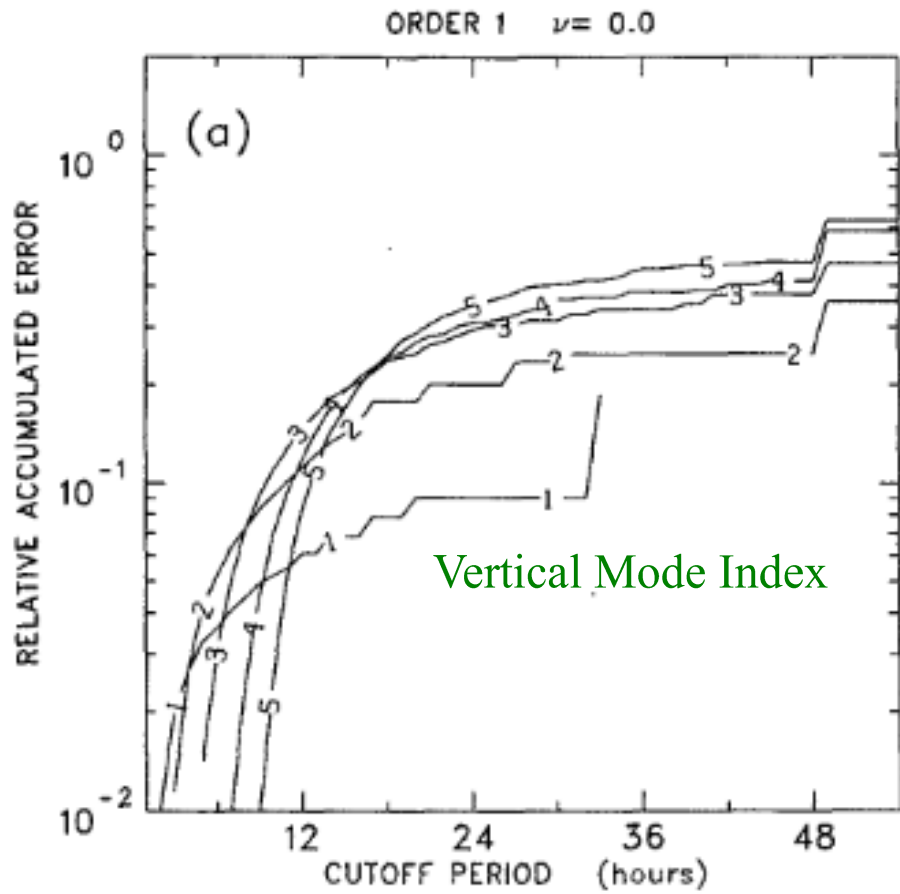
Dashed: Eastward propagating



Behavior of gravitational modes in a climate model:  
Power spectra of convective heating  
Errico MWR 1989



Higher-order MACHENHAUER schemes  
Errico *MWR* 1989



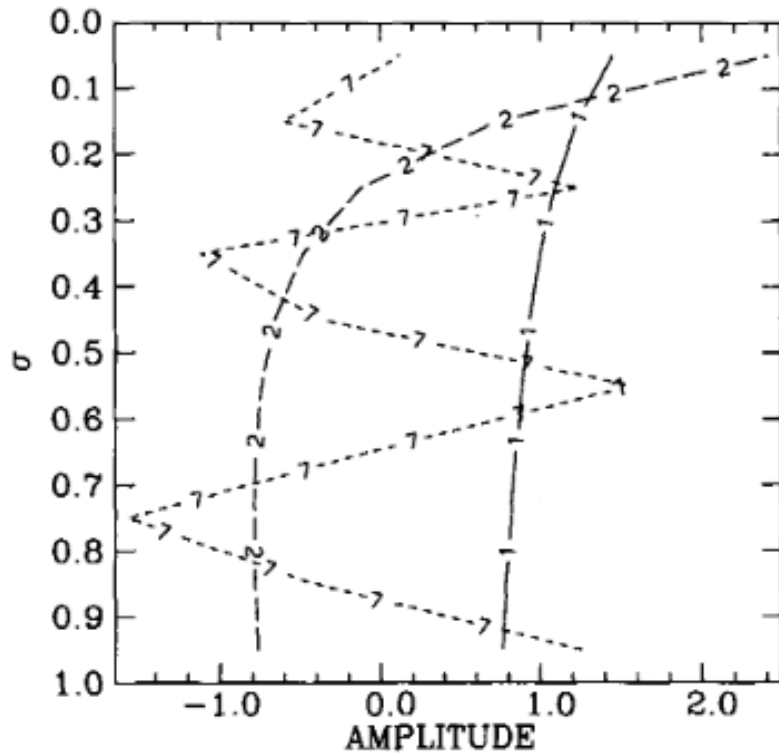
What are some aspects of normal modes that make their interpretations awkward?

The nature of vertical modes and comparison with singular vectors.

## Vertical modes in discrete models

10 level MAMS

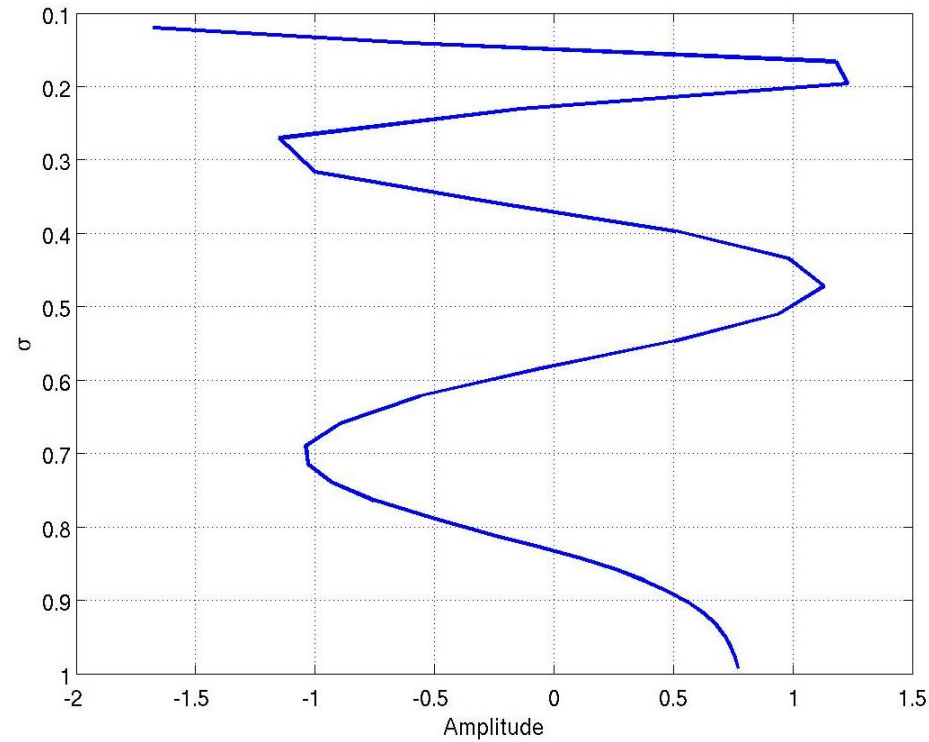
Modes 1, 2, 7 (H=10,000, 2050, 13 m)



72 level GEOS-5

Mode 29 (H=13m)

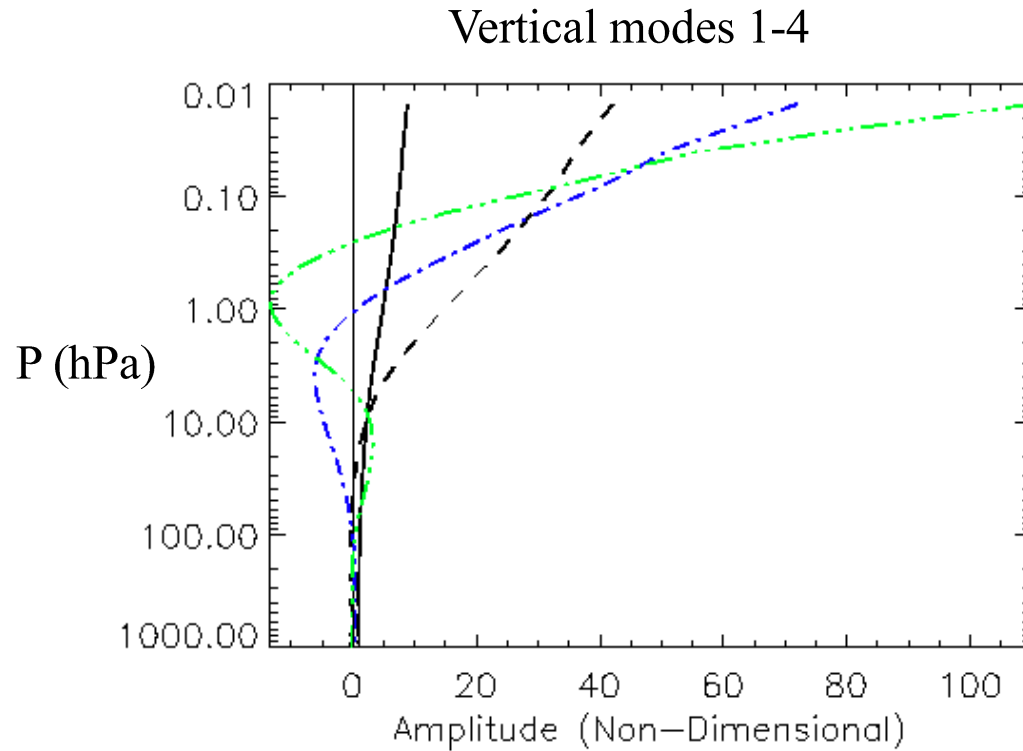
(Notice only plotted up to  $\sigma=0.1$ )



23 zero crossings above for  $\sigma < 0.1$

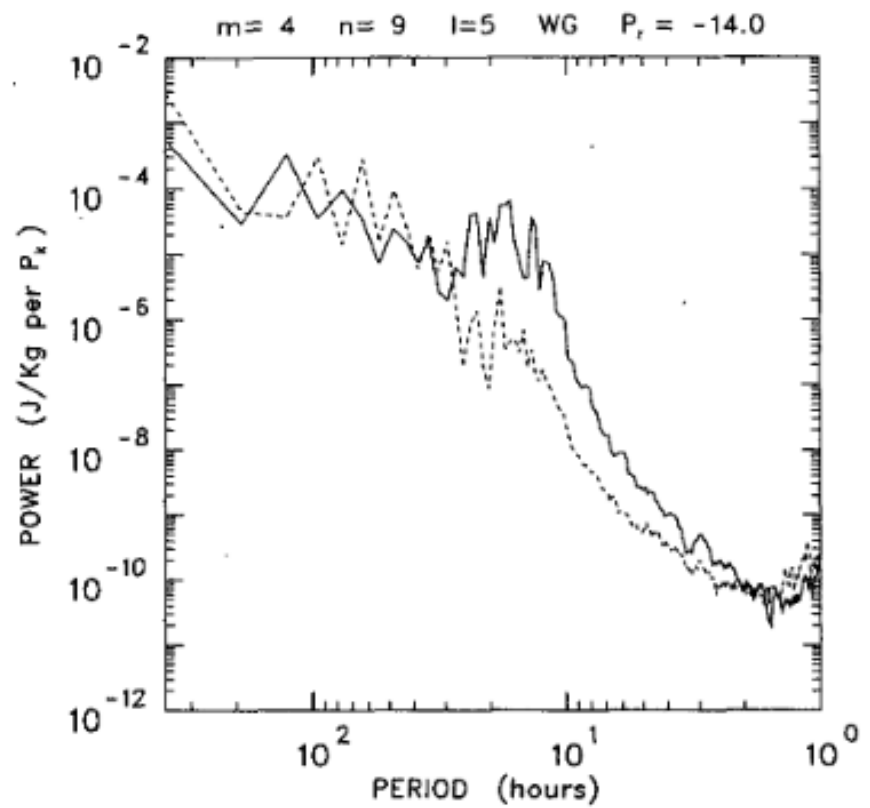
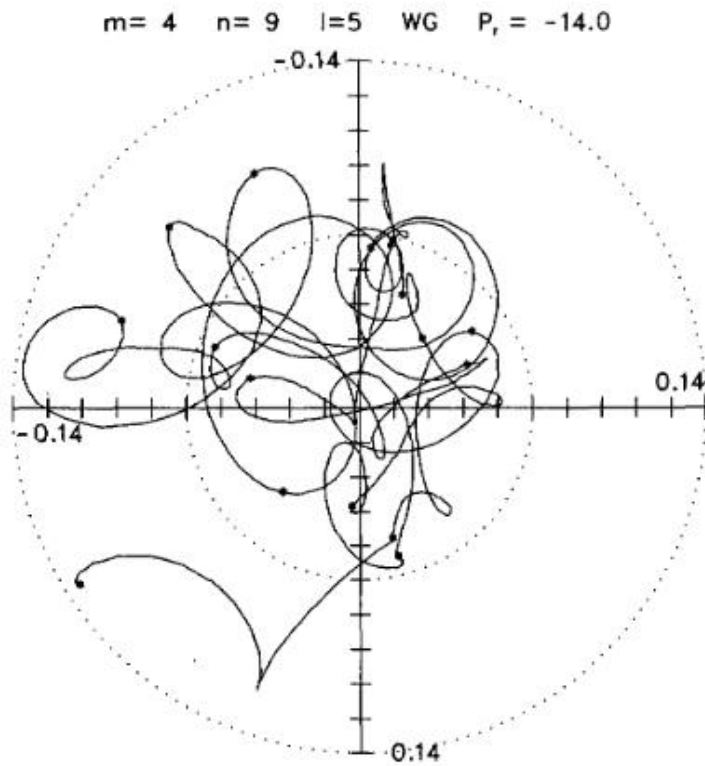
# High amplitude modes in the upper atmosphere

72 level GEOS-5 model with top at 0.01 hPa



## Modal interaction

Errico and Williamson *MWR* 1988, Errico *MWR* 1989



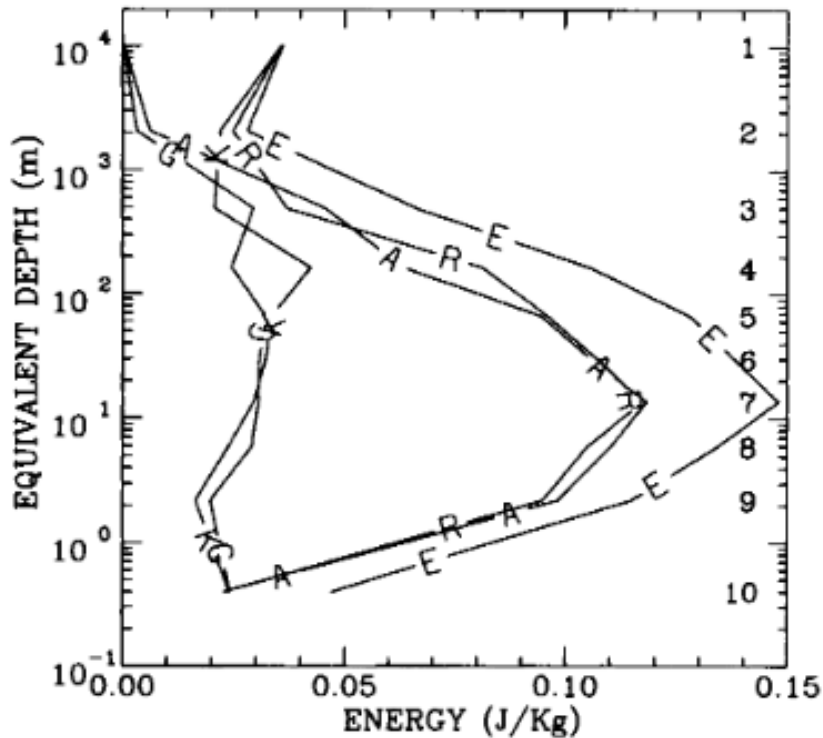


# The balance of a singular vector

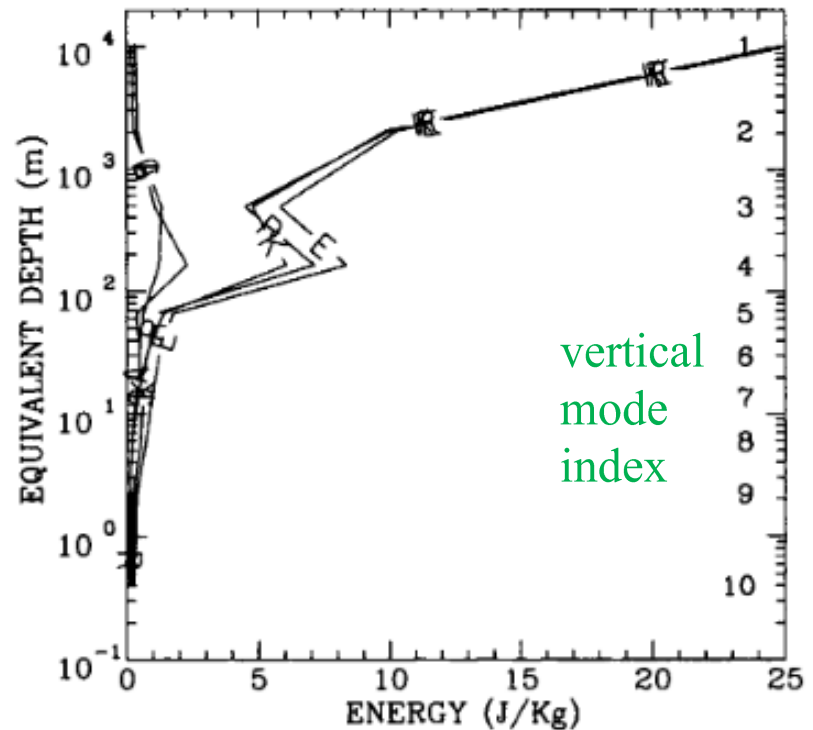
Errico *QJRM*S 2000

Perturbation “energy” contributed by each vertical mode  
R=rotational, G=gravitational, A=APE, K=KE, E=A+K=R+G

Time=0



Time=24 hr



# The balance of a singular vector

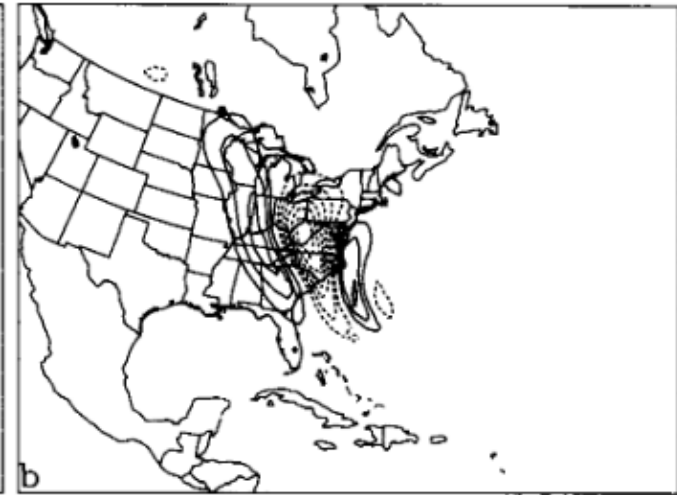
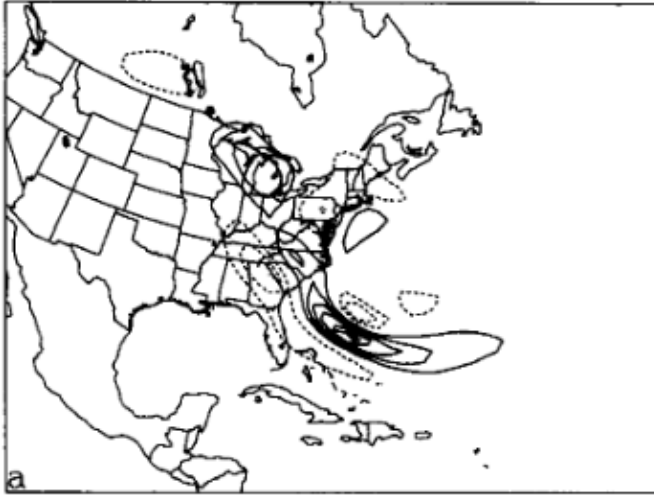
Errico *QJRMS* 2000

Leading SV at  $t=0$  hr,  $p=500$  hPa,  $\lambda^2=55$

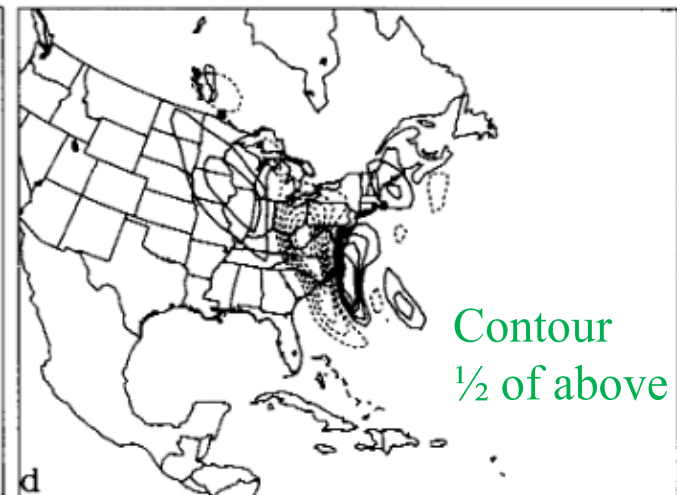
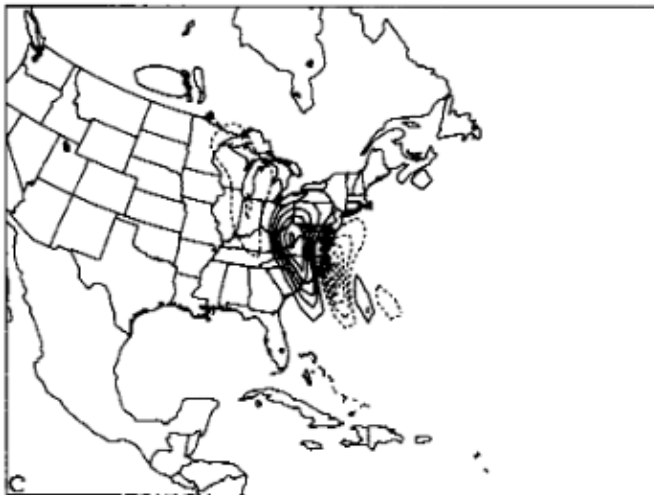
V field

T field

Rotational  
(geostrophic)  
component



Gravitational  
(ageostrophic)  
component



Contour  
 $\frac{1}{2}$  of above

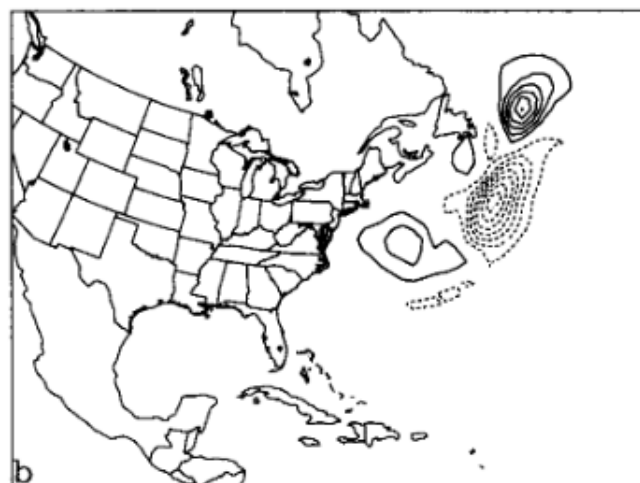
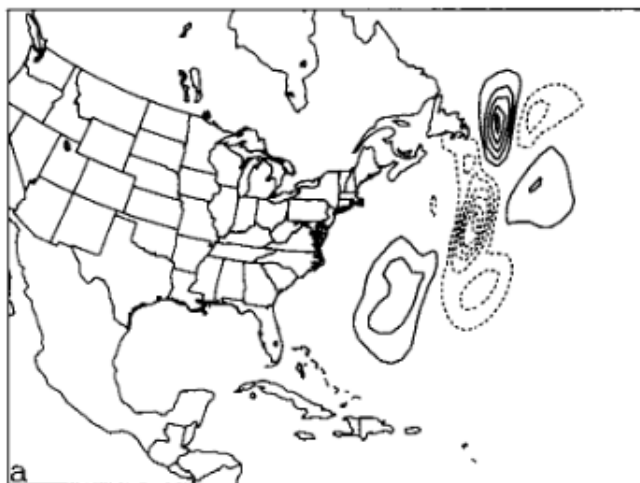
# The balance of a singular vector

Errico *QJRMS* 2000

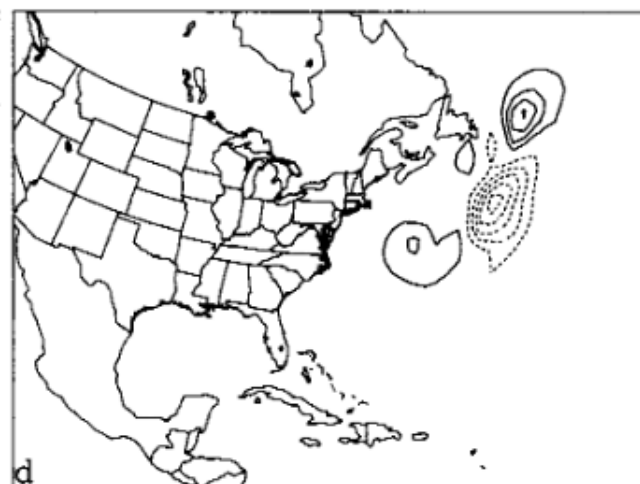
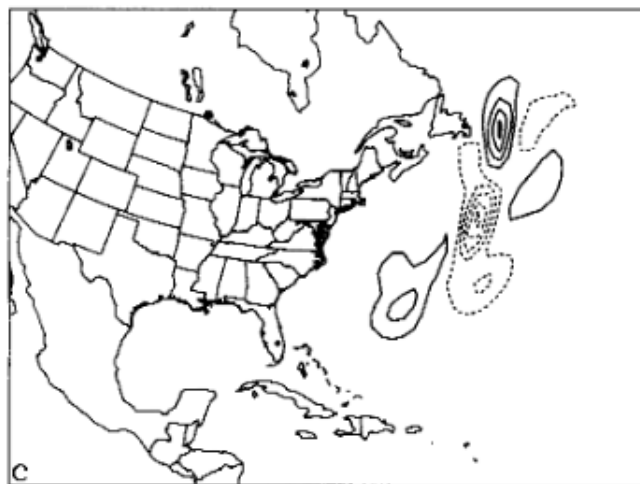
Leading SV at  $t=24$  hr,  $p=500$  hPa,  $\lambda^2=55$

v field

T field



Rotational  
(geostrophic)  
component



Gravitational  
(ageostrophic)  
Component

(contours  $\frac{1}{2}$   
those above)

# Summary

1. Much can be learned from some old works
2. The standard Normal Modes provide useful concepts and tools
3. The standard Normal Modes have limitations
  - a. the universality of vertical modes
  - b. internal modes (when  $C$  not  $\gg U$ )
  - c. more realistic basic states (e.g. as for SVs)
4. Is Initialization still an issue ?
5. There is more to understand
  - a. time scales of moist diabatic processes
  - b. effects of top boundary conditions, non-hydrostatic behavior
  - c. SV behavior