

**Implementing plant hydraulics in the
NCAR Community Land Model (CLM)**
and the implications for vegetation water stress

Daniel Kennedy, Pierre Gentine
Columbia University

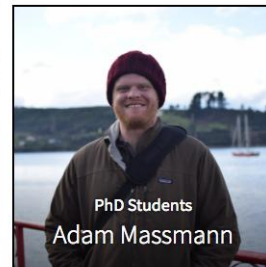
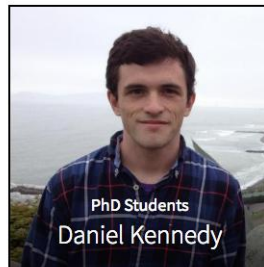
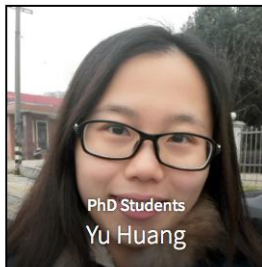
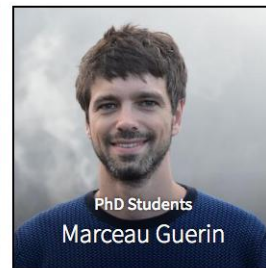
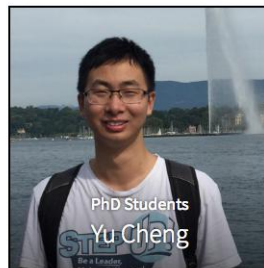
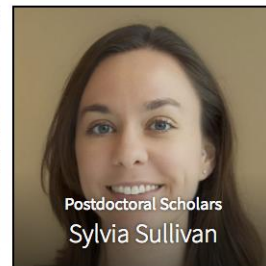
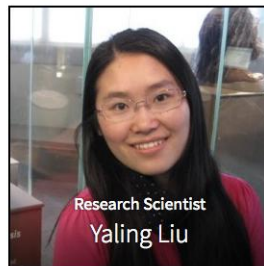
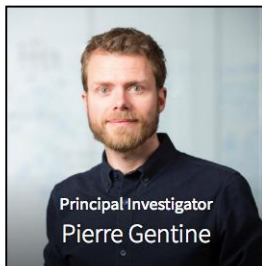


COLUMBIA

ENGINEERING

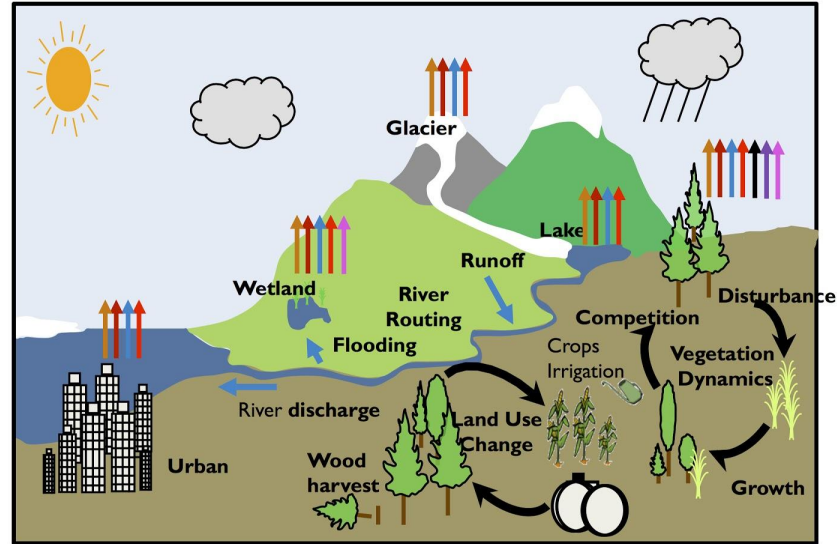
Gentine Lab

“Investigating the terrestrial carbon and water cycles using multiscale modeling and observations”



Community Land Model (CLM)

Motivation:
Land is the critical interface through which humanity affects, adapts to, and mitigates global environmental change



Comprehensive representations of land biogeophysics, hydrology, plant physiology, biogeochemistry, anthropogenic land use, and ecosystem dynamics

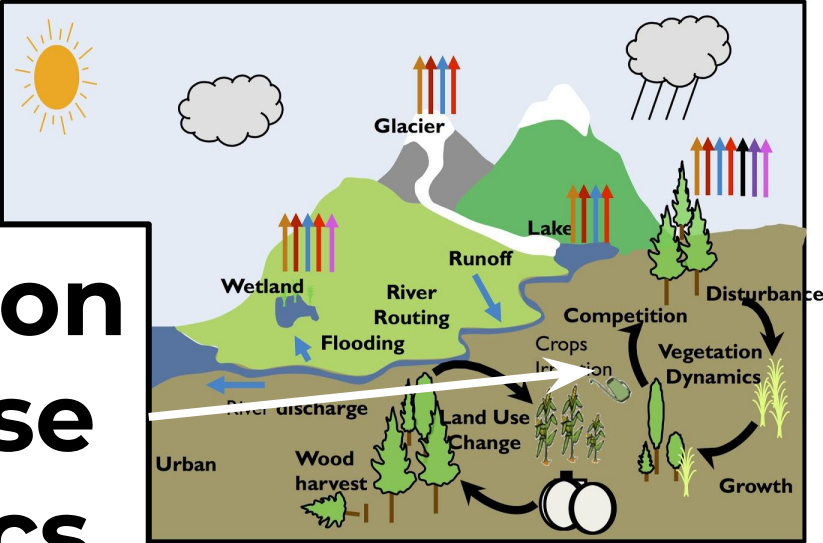


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**Vegetation
water use
dynamics**



Comprehensive representations of land biogeophysics, hydrology, plant physiology, biogeochemistry, anthropogenic land use, and ecosystem dynamics



Vegetation adjust to evolving environmental conditions

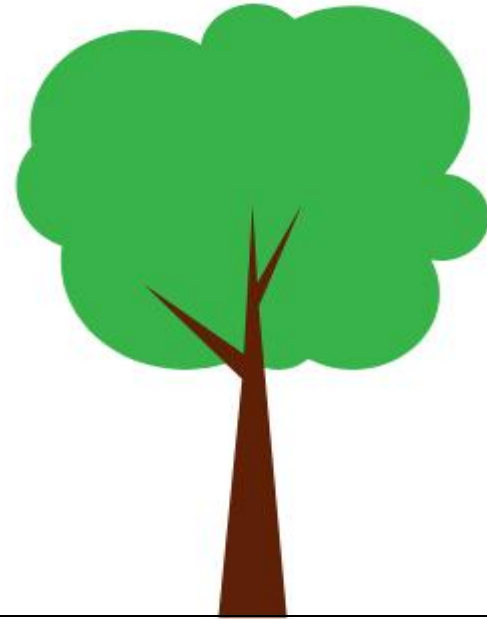
- leaf area and orientation
- biochemistry
- stomatal conductance
- ... among others

How does this affect the terrestrial carbon/water cycles?

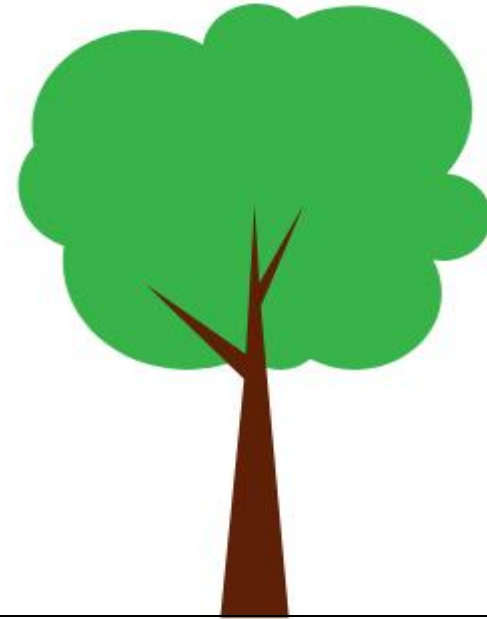
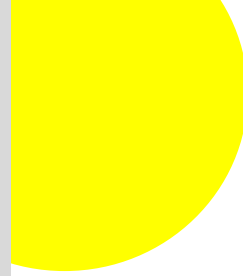
Dry leaves avoiding the sun
ZF2 Flux Tower: Manaus, Brazil
Photo Credit: Dr. Charlie Koven

Cover of New Phytologist, 219:3
Special Issue on
Drought Impacts on Tropical Forests

Plant Hydraulic Stress



Plant Hydraulic Stress



T_a

q_a

$\psi_{\text{soil},1}$

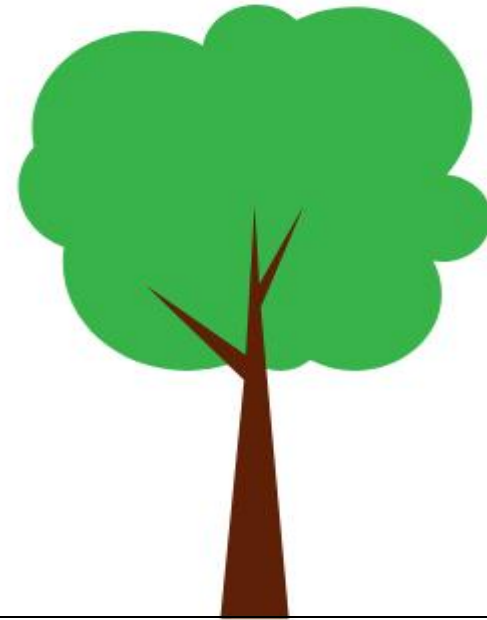
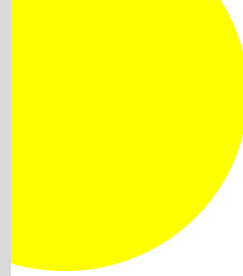
$\psi_{\text{soil},2}$

⋮

$\psi_{\text{soil},n}$

Plant Hydraulic Stress

fundamentally has
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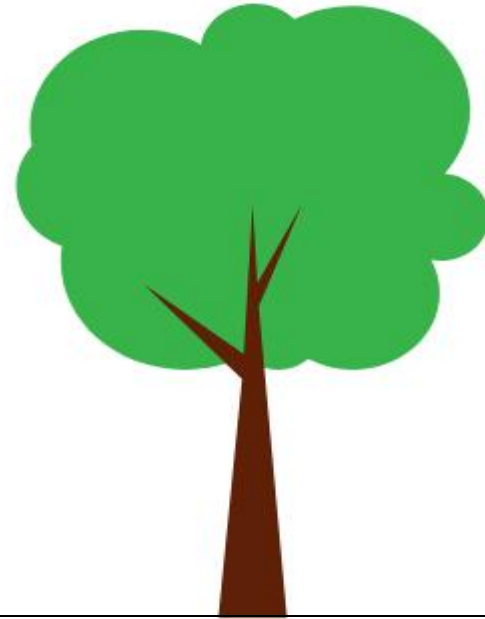
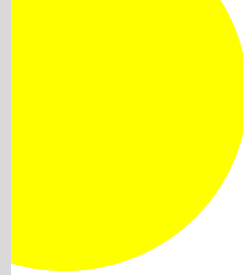
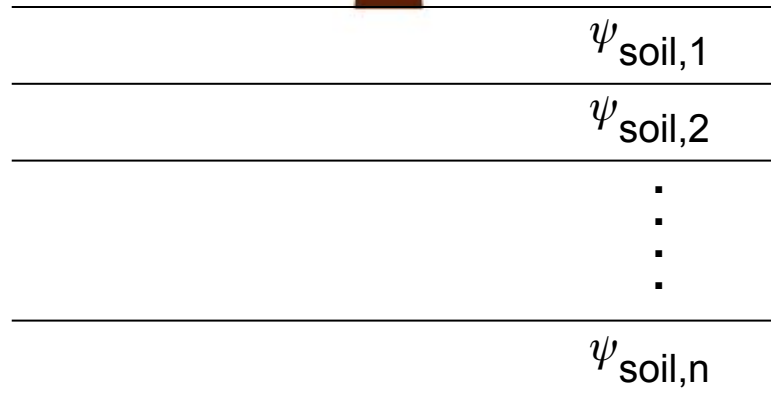
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Plant Hydraulic Stress

fundamentally has two jobs:

- how much water stress (β)?

$$\beta \sim [0,1]$$

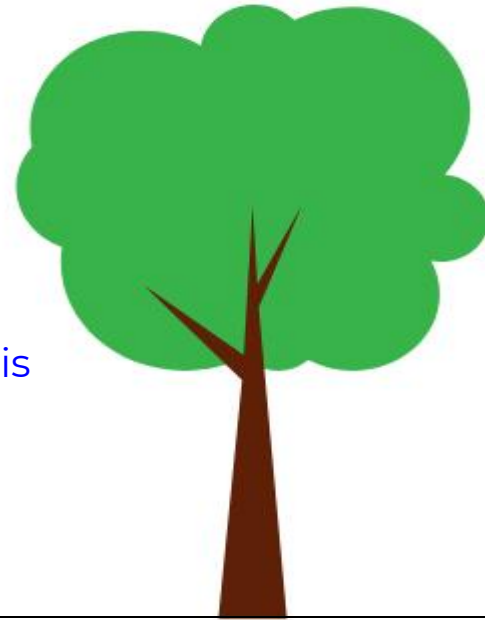
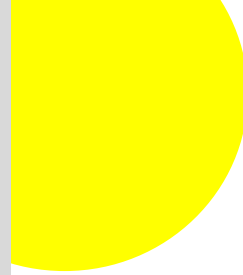
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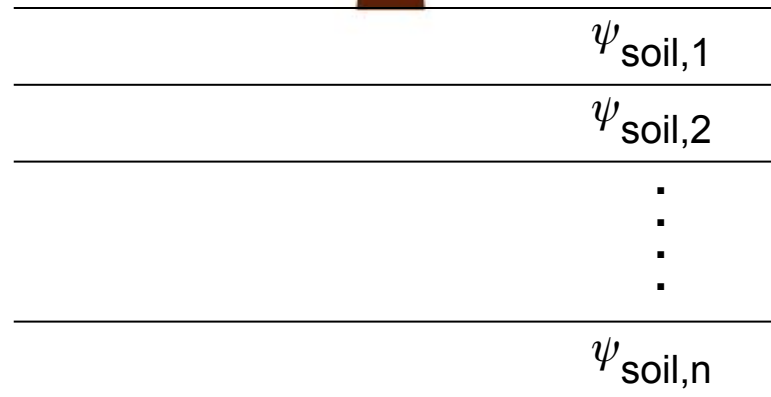
- how much water stress (β)?

$\beta \sim [0,1]$
→ Photosynthesis
→ Transpiration



T_a

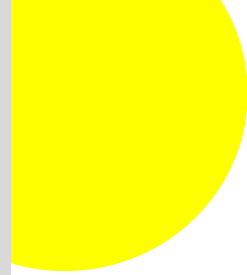
q_a



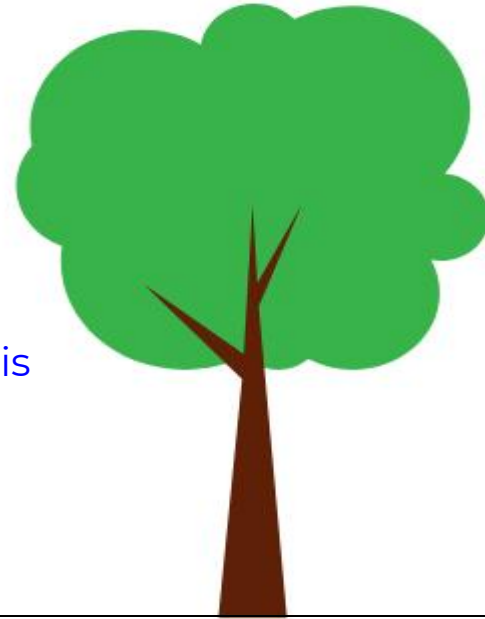
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- where is the transpiration coming from?

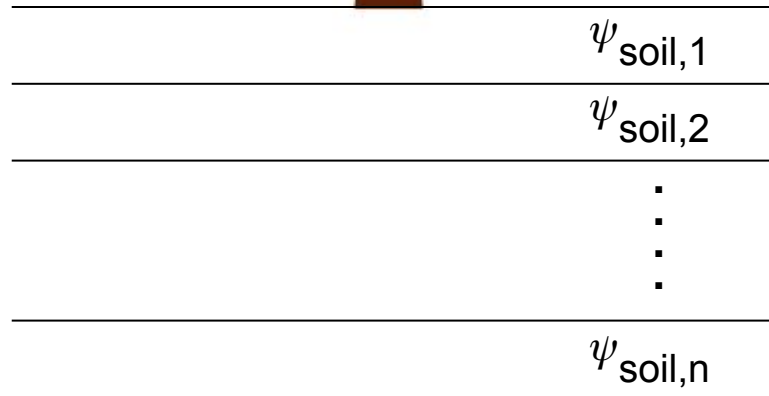


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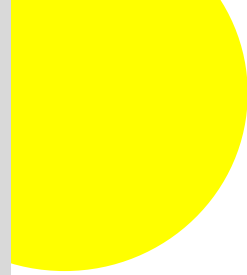
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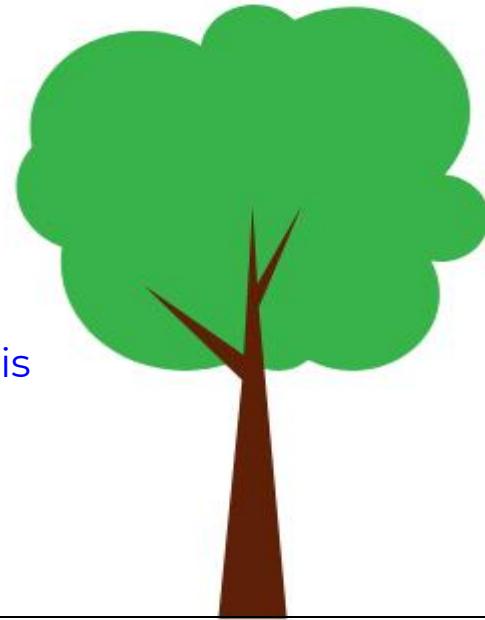
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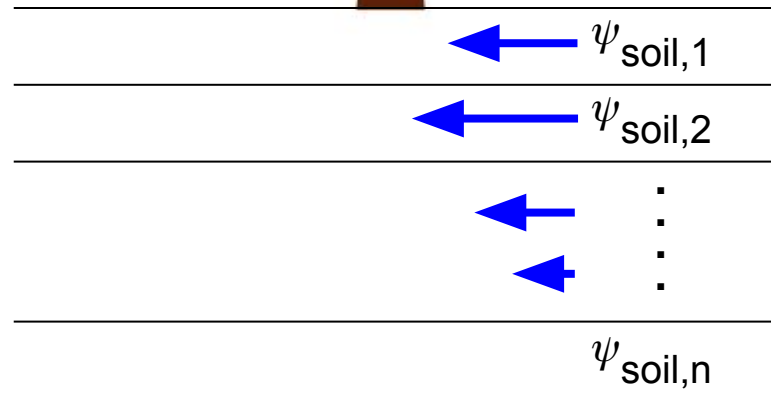


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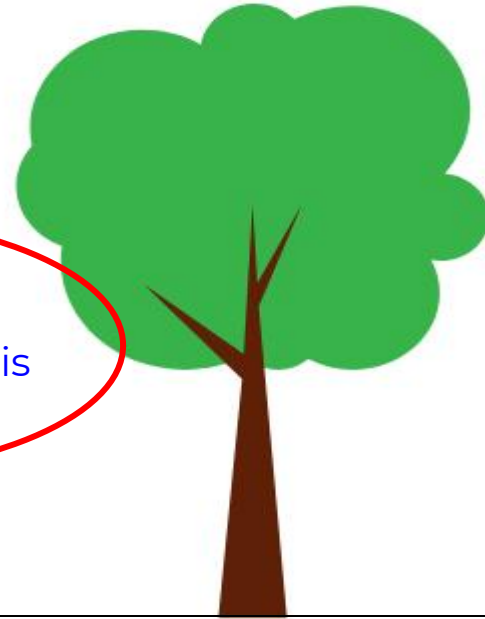
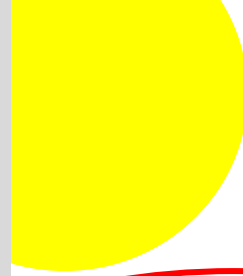
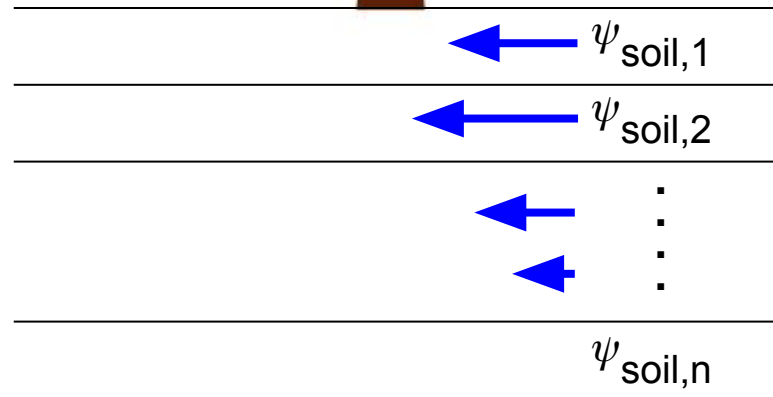
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 T_a q_a 

CLM5 uses the Medlyn stomatal conductance model*

g_s : stomatal conductance

g_0 : Medlyn intercept parameter

g_1 : Medlyn slope parameter

D: Vapor pressure deficit

A: Photosynthesis

C_a : CO₂ concentration

$$g_s^* \approx g_0 + \left(1 + \frac{g_1}{\sqrt{D}}\right) \frac{A}{C_a}$$

*See:

Medlyn et al. 2011 (GCB)

Franks et al. 2018 (GCB)

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What's missing?

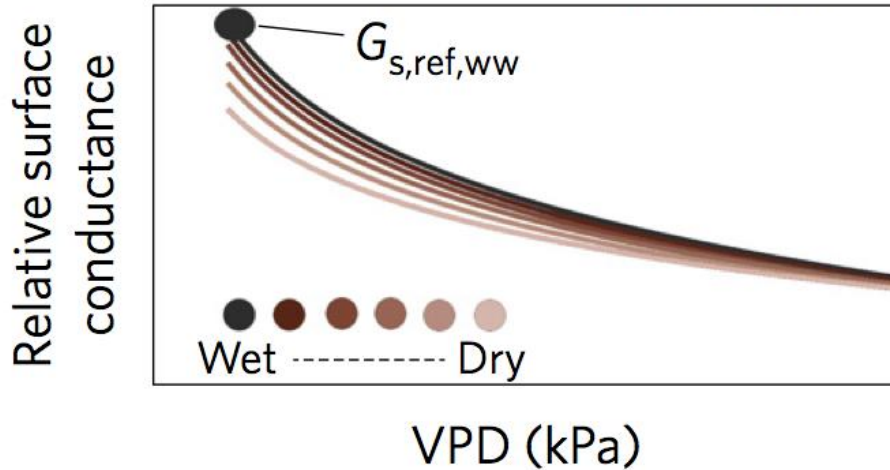
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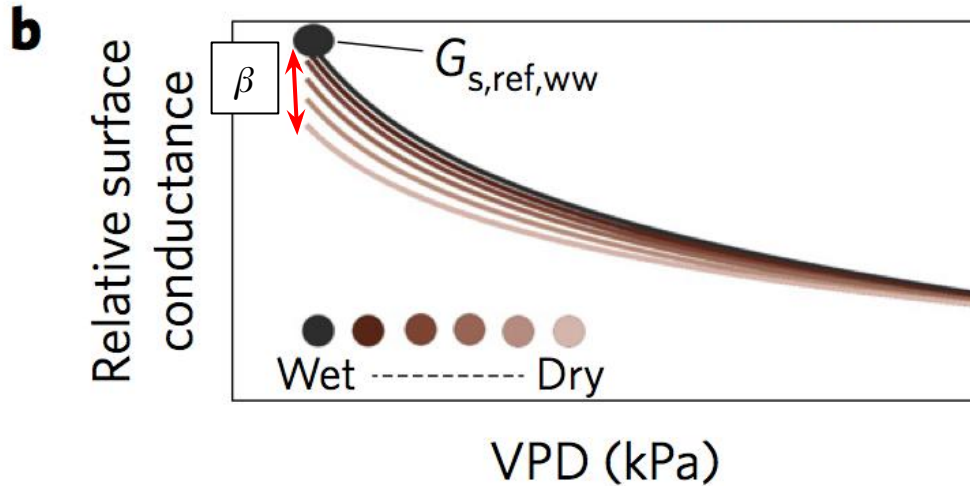
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Stomatal conductance models often need: a water stress factor (β) to account for soil moisture

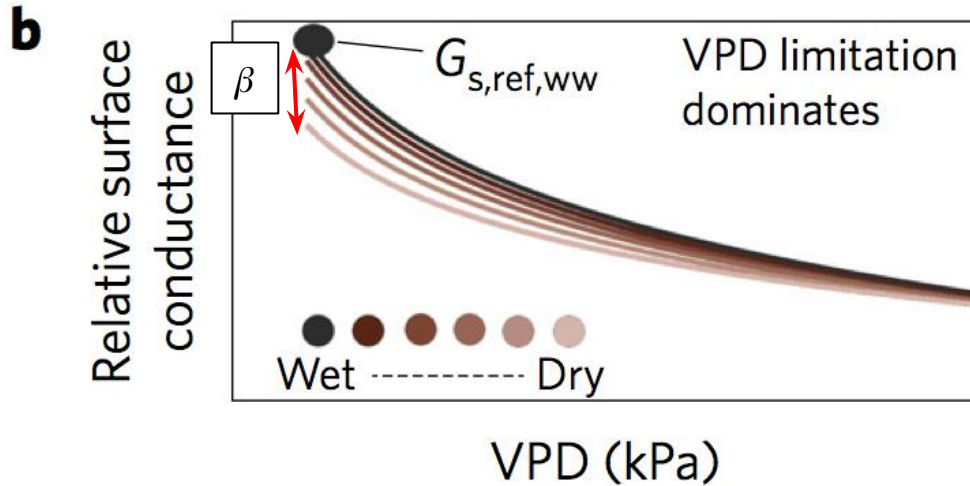
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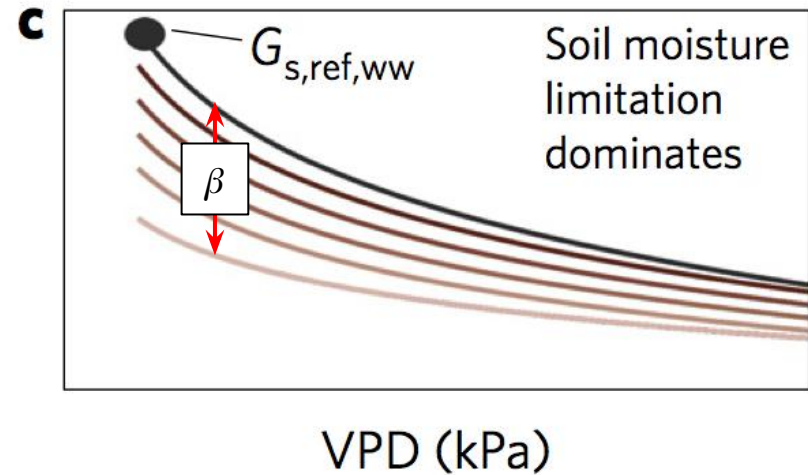
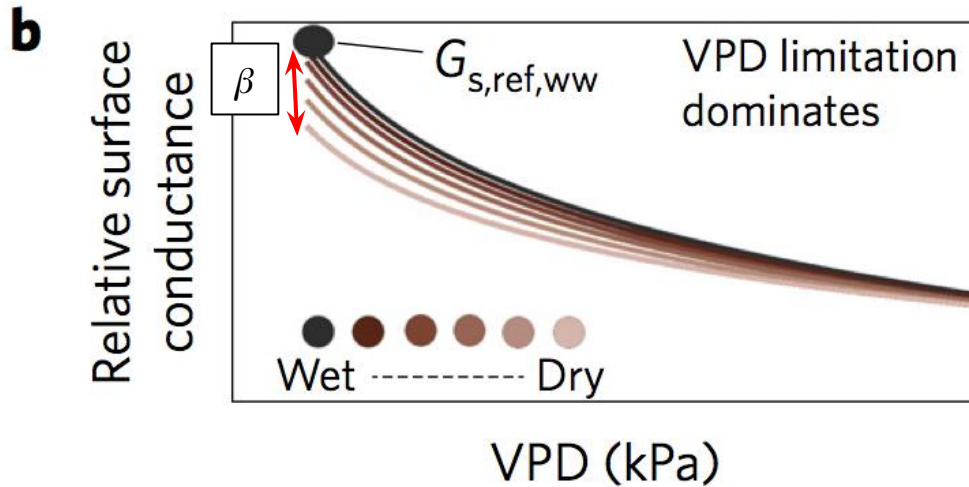
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CLM Method for calculating β (before CLM5)

$\psi_{\text{soil},i}$: water potential in soil layer i

r_i : root fraction in soil layer i

p_c :
soil water potential when stomates
are fully closed (parameter)

p_o :
soil water potential when stomates
are fully closed (parameter)

$$\beta = \sum_{i=1}^{nlevsoi} r_i \cdot \frac{\Psi_{\text{soil},i} - p_c}{p_o - p_c}$$

CLM Method for calculating β (before CLM5)

Shortcomings:

- ψ_{soil} measurements not readily available to constrain empirical relationship
- Does not comport with plant hydraulic theory
- Cannot represent the spectrum of water use strategies

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How I got started with CLM

- March, 2015
- LMWG Winter Meeting (happening again next week)
- Not yet using CLM in any capacity
- Toy model results on what it might look like to implement a β function that is more in line with plant hydraulic theory



ISOHYDRICITY AND ANISOHYDRICITY IN CLM: A PROTOTYPE STUDY

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My pitch:

1. Prognose leaf water potential (ψ_{leaf})
2. Use ψ_{leaf} to calculate β

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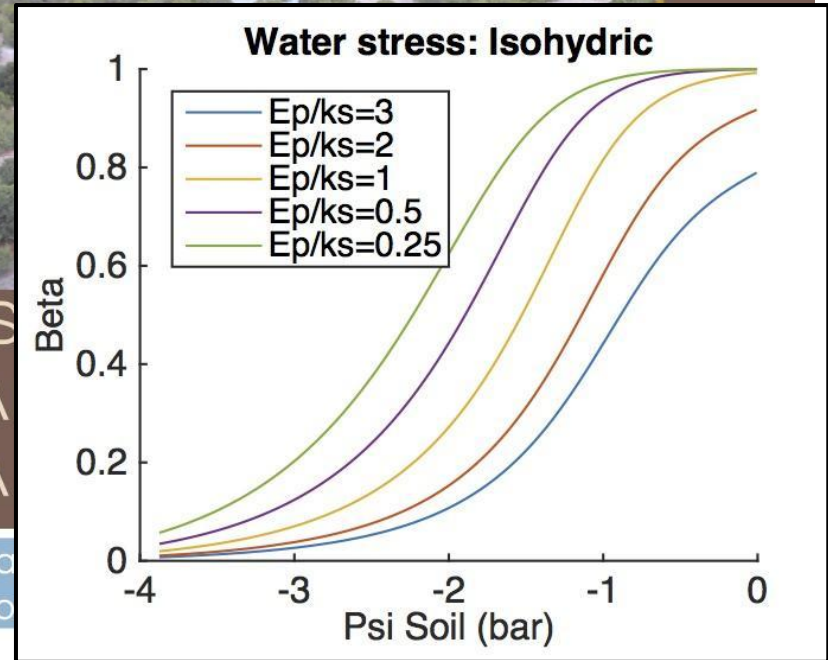
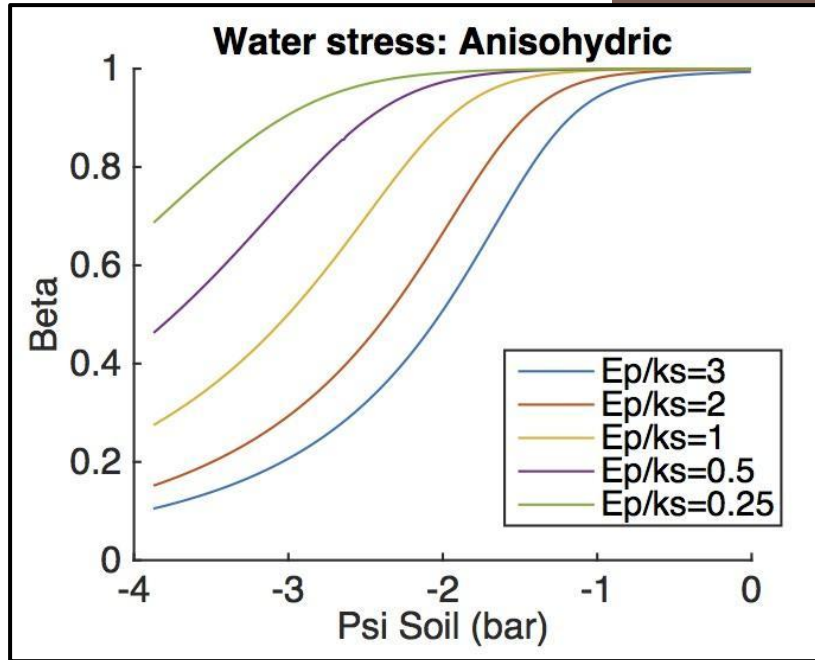


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Water balance errors

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subroutine setExposedvegpFilter(bounds, frac_veg_nosno)
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! !DESCRIPTION:
! Sets the exposedvegp and noexposedvegp filters for one clump.
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! The exposedvegp filter includes points for which frac_veg_nosno > 0. noexposedvegp
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! includes urban or lake points!
!
! Should be called from within a loop over clumps.
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! Only sets this filter in the main 'filter' variable, NOT in
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!
! Note that this is done separately from the main setFilters routine, because it may
! need to be called at a different time in the driver loop.
!
! !USES:
use decompMod, only : BOUNDS_LEVEL_CLUMP
!
! !ARGUMENTS:
type(bounds_type), intent(in) :: bounds
integer, intent(in) :: frac_veg_nosno( bounds%begp : ! fraction of vegetation not covered by snow
!
! !LOCAL VARIABLES:
integer :: nc ! clump index
integer :: fp ! filter index
integer :: p ! patch index
integer :: fe, fn ! filter counts

character(len=*), parameter :: subname = 'setExposedvegpFilter'
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SHR_ASSERT(bounds%level == BOUNDS_LEVEL_CLUMP, errMsg(sourcefile, __LINE__))
SHR_ASSERT_ALL((ubound(frac_veg_nosno) == (/bounds%ndp/)), errMsg(sourcefile, __LINE__))

nc = bounds%clump_index

fe = 0
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p = filter(nc)%nolakeurbanp(fp)
if (frac_veg_nosno(p) > 0) then
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~~Water balance errors~~

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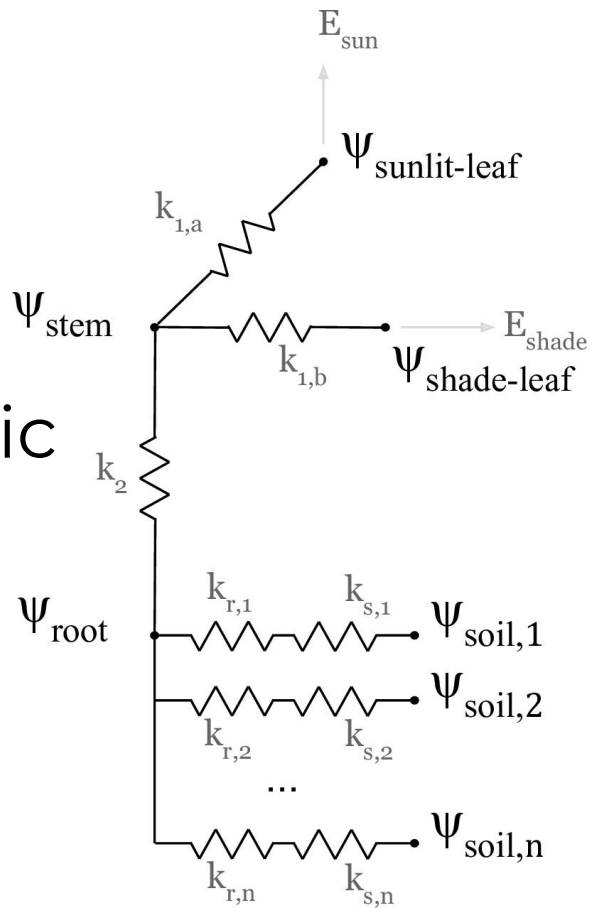


Success!

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- June-Sept 2016 - extended visit to finalize PHS
- July 2016 - PHS is accepted for CLM5 as the default vegetation water use scheme

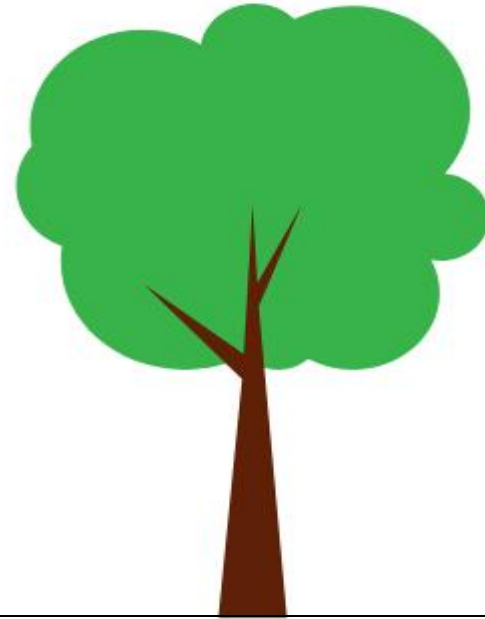
Plant Hydraulic Stress



Plant Hydraulic Stress

model vegetation water potential

- CLM already models soil water potential over a discretized soil column



$\psi_{\text{soil},1}$

$\psi_{\text{soil},2}$

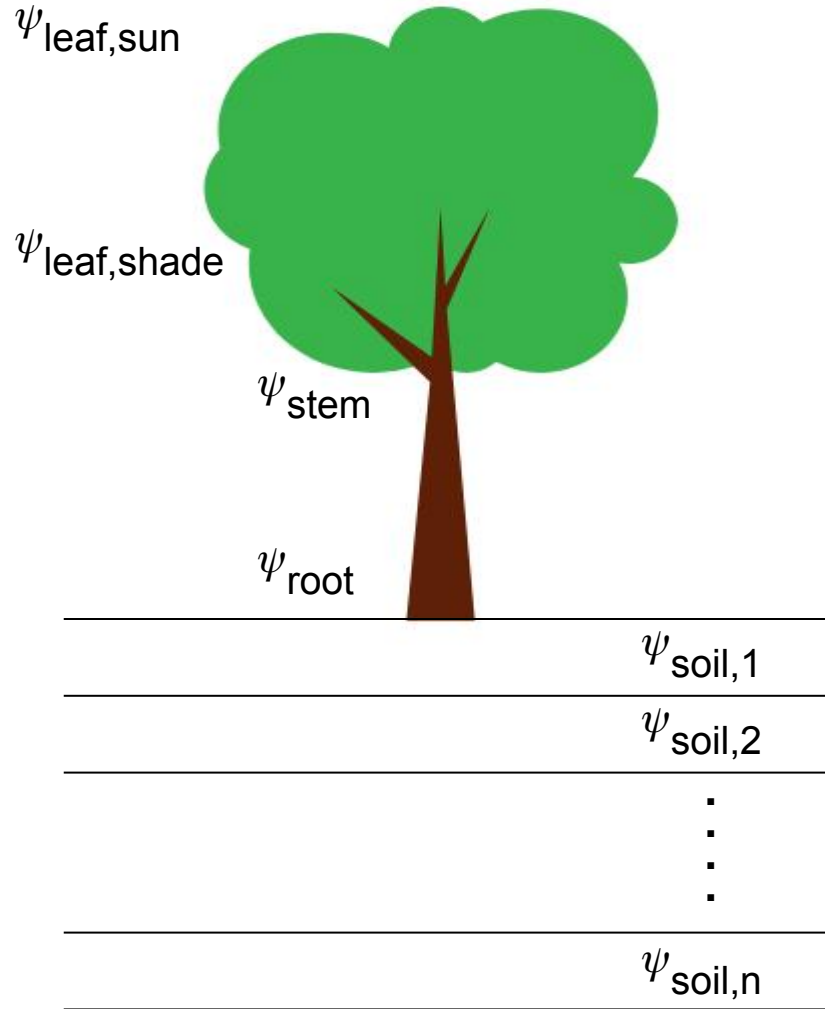
⋮

$\psi_{\text{soil},n}$

Plant Hydraulic Stress

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- We add four new water potential nodes through the vegetation

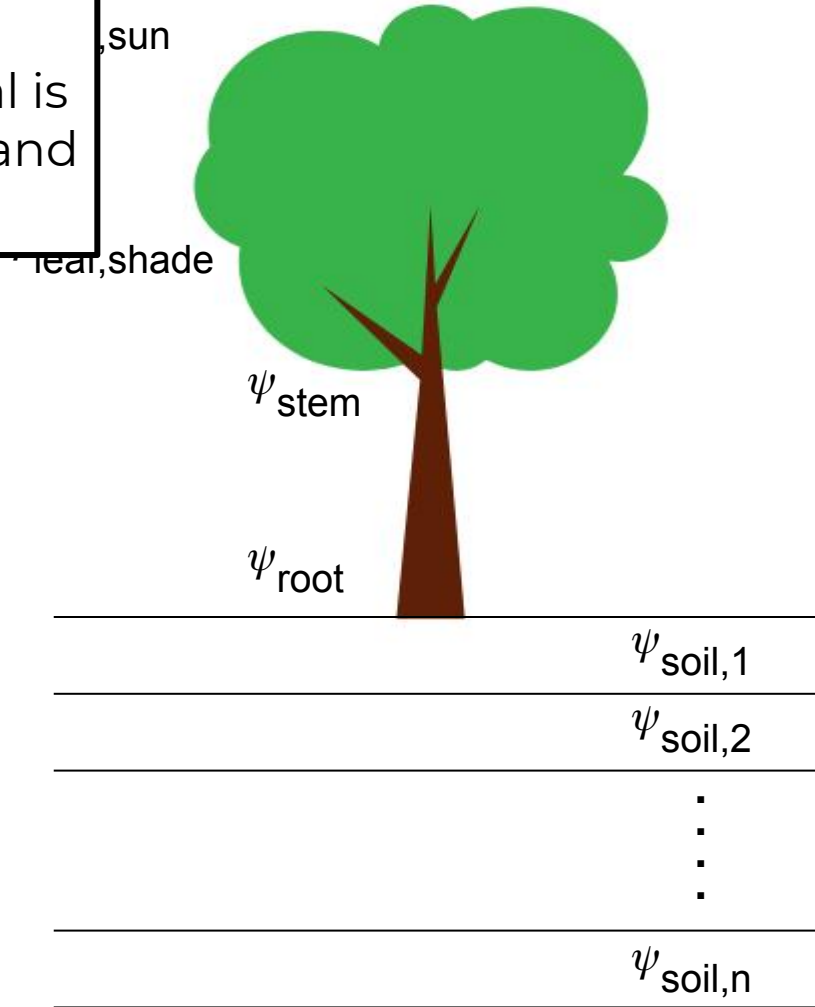


Plant Hydraulic Stress

1) Modeling veg. water potential is interesting in and of itself

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Plant Hydraulic Stress

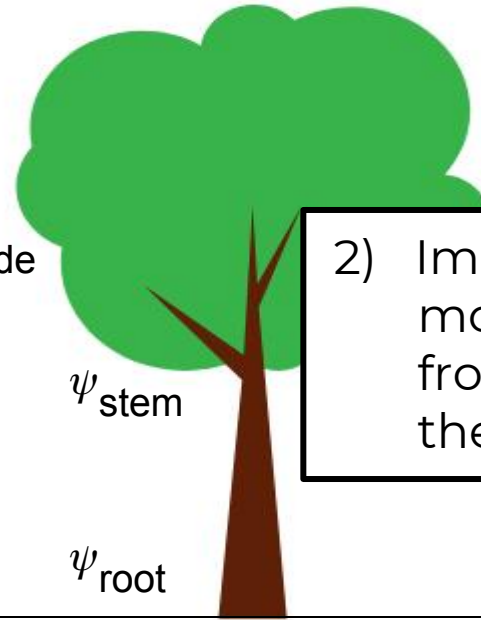
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1) Modeling veg. water potential is interesting in and of itself

sun

leaf, shade



ψ_{stem}

ψ_{root}

2) Improvement in model structure from hydraulic theory

$\psi_{\text{soil},1}$

$\psi_{\text{soil},2}$

⋮

$\psi_{\text{soil},n}$

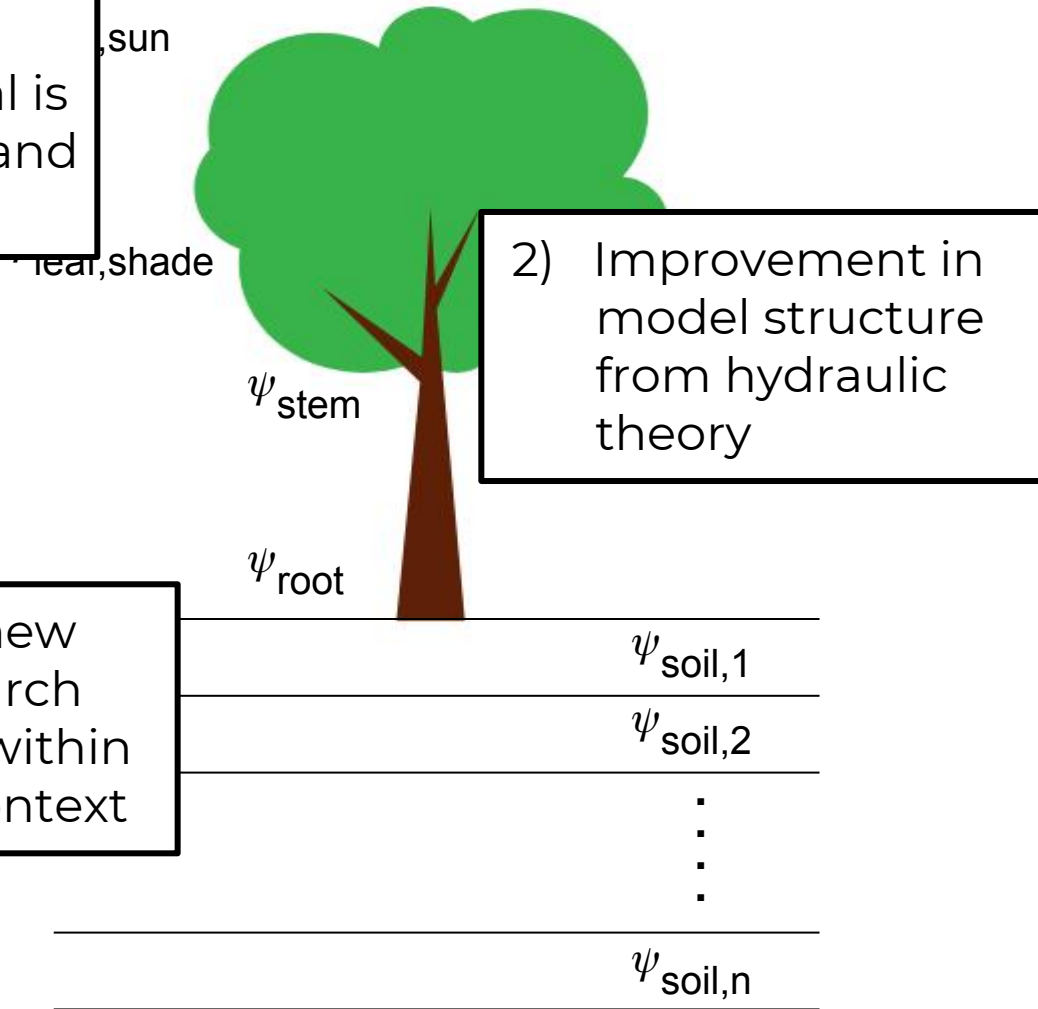
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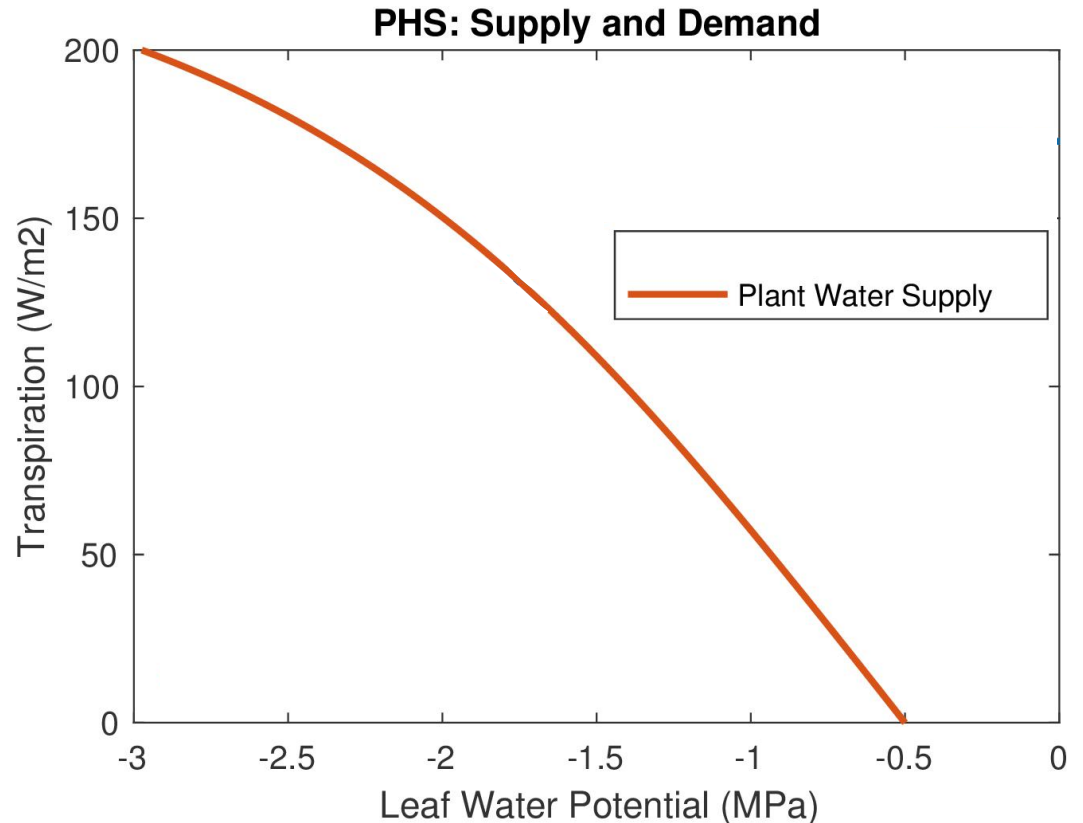
1) Modeling veg. water potential is interesting in and of itself

3) Enables a new set of research questions within the ESM context



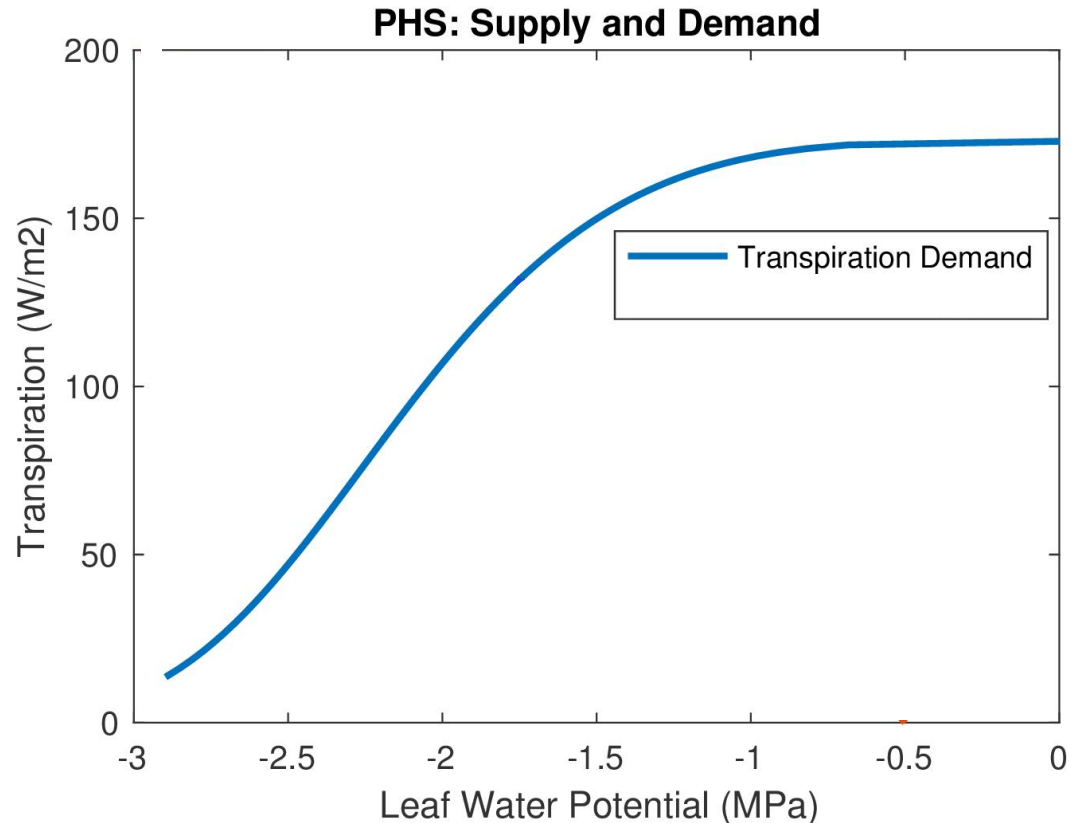
PHS transpiration solution

- Supply increases when you lower leaf water potential



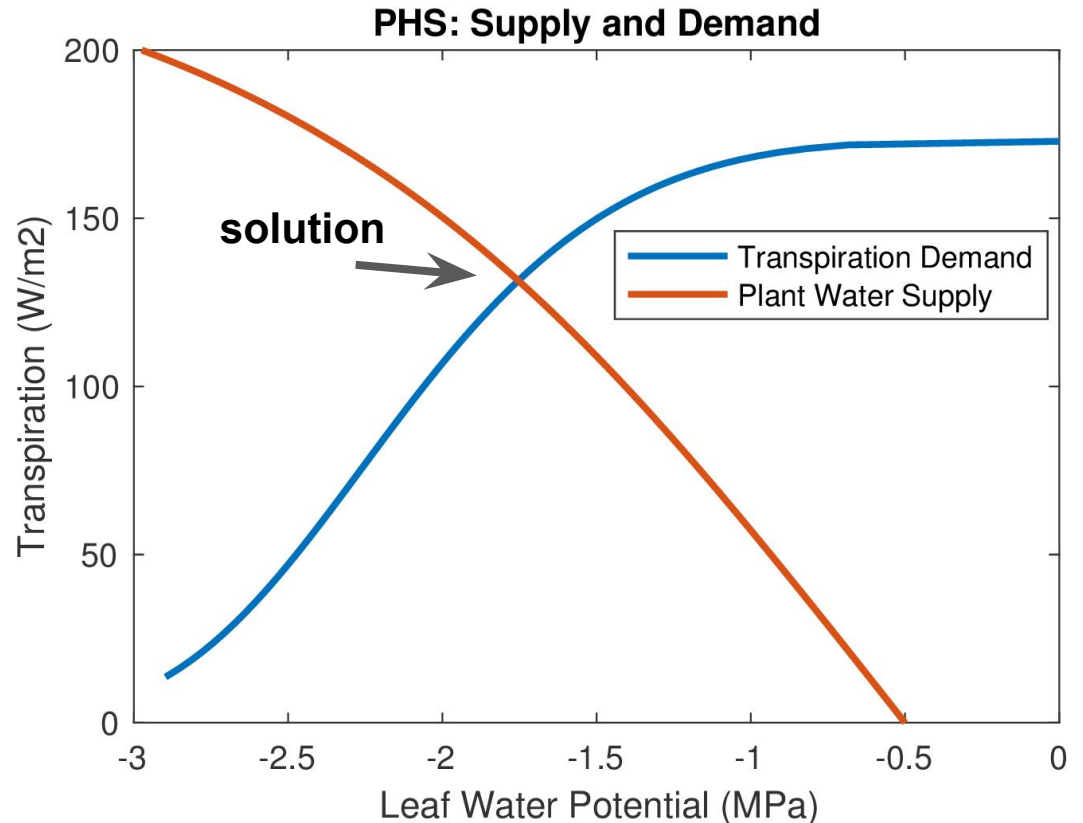
PHS transpiration solution

- Supply increases when you lower leaf water potential
- But water stress also increases
- Which leads to lower transpiration demand



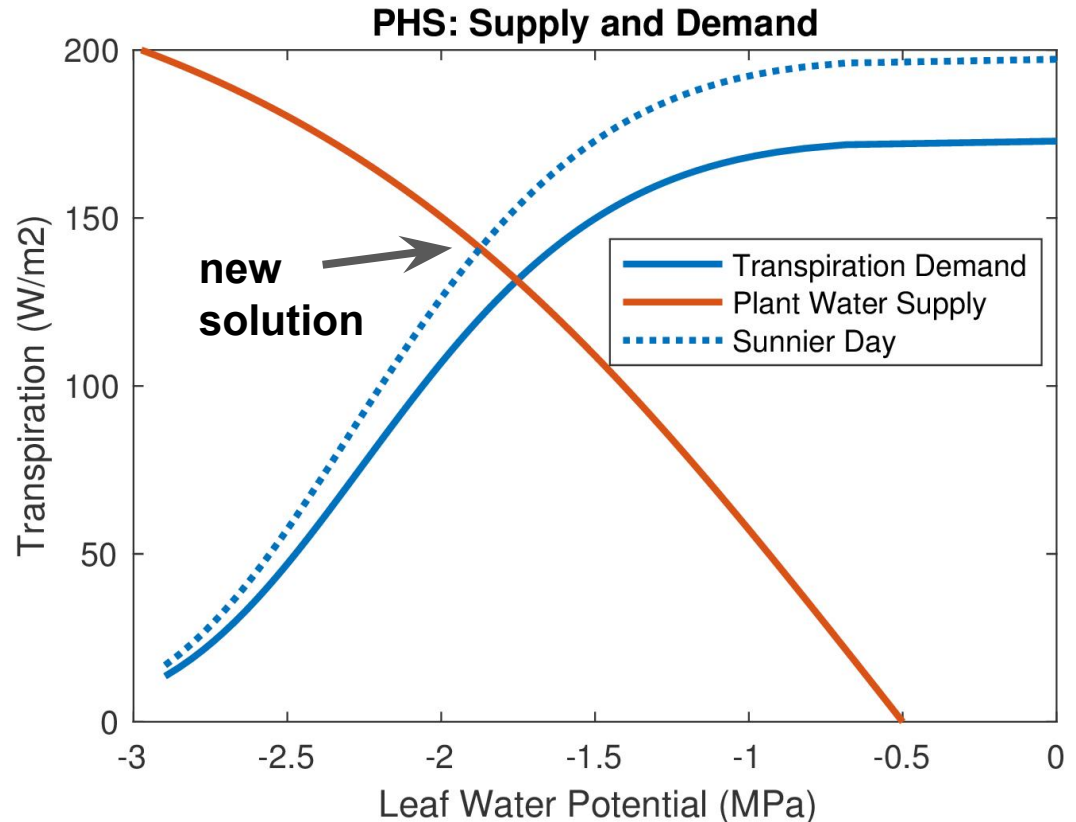
PHS transpiration solution

- Supply increases when you lower leaf water potential
- But water stress also increases
- Which leads to lower transpiration demand
- Transpiration solution matches supply with demand



What about a sunnier day?

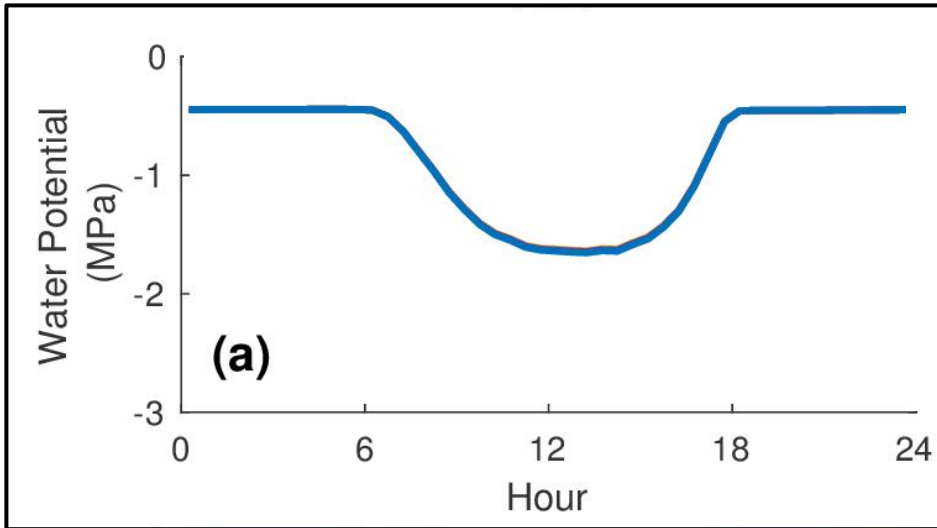
- Still matches supply with demand
- More light leads to increase in unstressed GPP/Transpiration
- New solution
- More transpiration
- Lower leaf water potential
- (associated with lower β)



CLM5 models veg. water potential

Reflecting the expected:

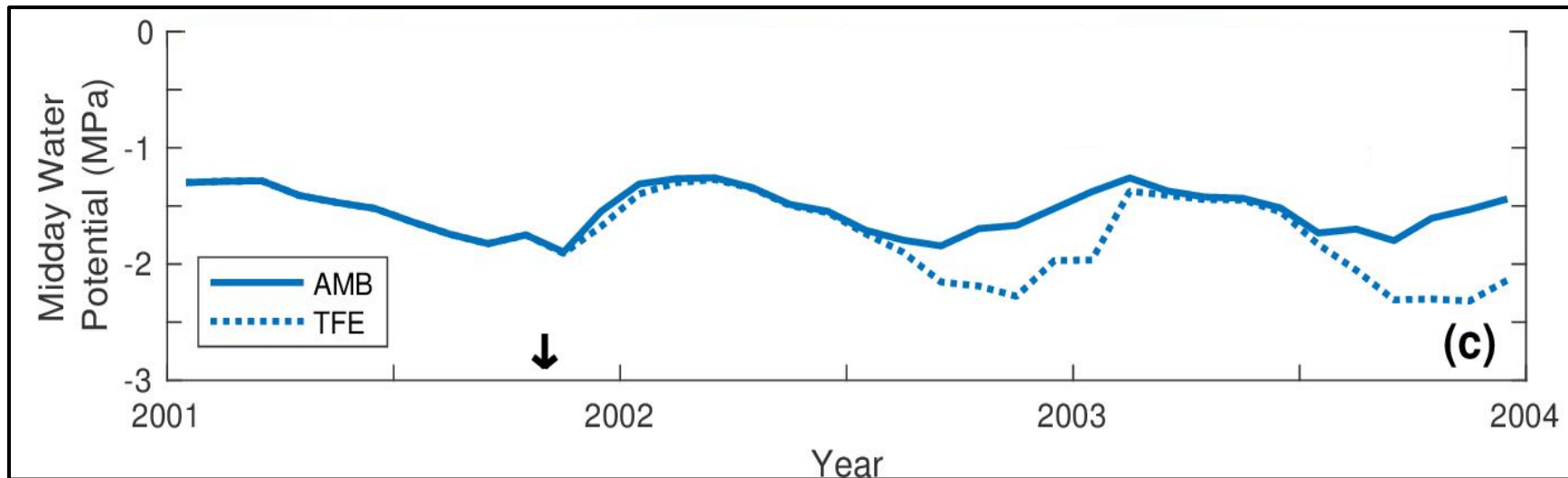
diurnal cycle



CLM5 models veg. water potential

Reflecting the expected:

seasonal cycle



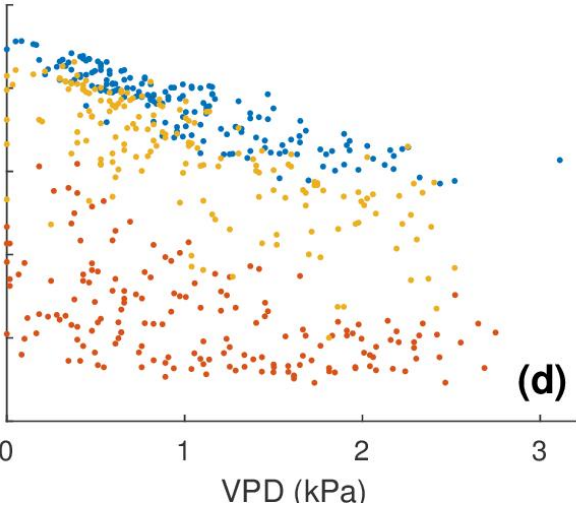
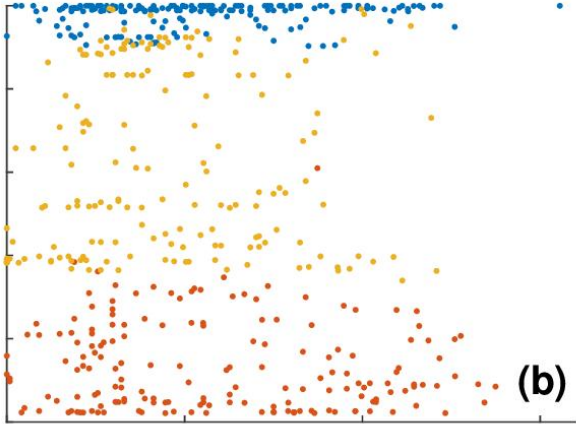
Caxiuana National Forest, Brazil

Soil Moisture Stress

- driven by soil moisture
- (CLM4.5)

Plant Hydraulic Stress

- responds to both:
 - soil moisture
 - VPD
- CLM5

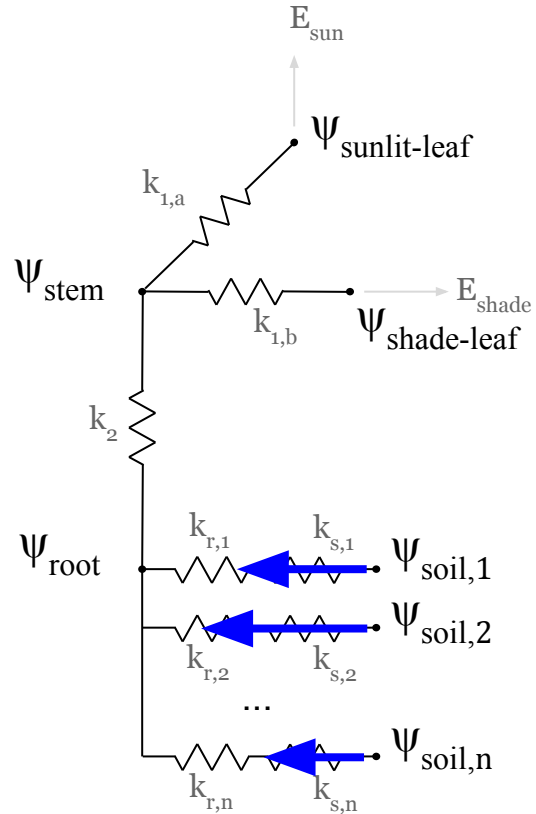


Stress vs. VPD (and soil moisture)

The stress function now depends on atmospheric dryness (vapor pressure deficit)

Data subdivided by root-zone soil moisture

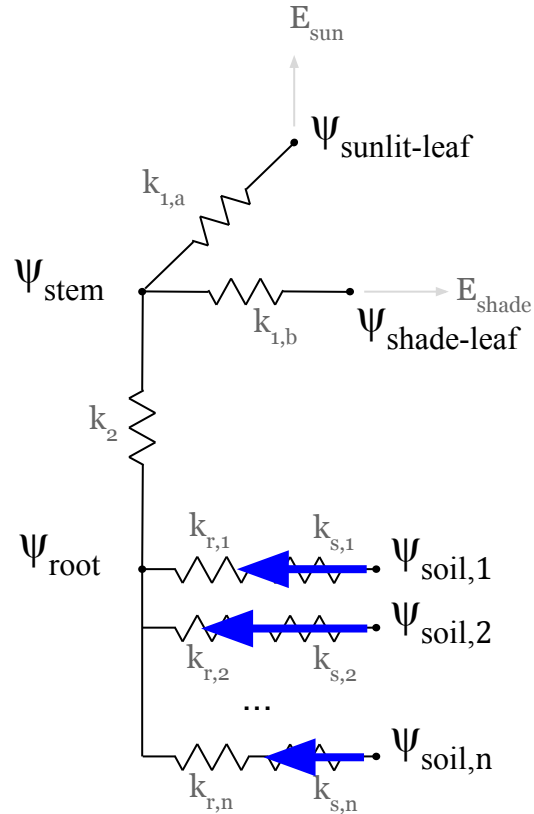
Root Water Uptake



Root Water Uptake

Governed by Darcy's Law

- $q = -k \Delta\psi$
- $q_i = -k_i (\psi_{\text{root}} - \psi_{\text{soil},i})$

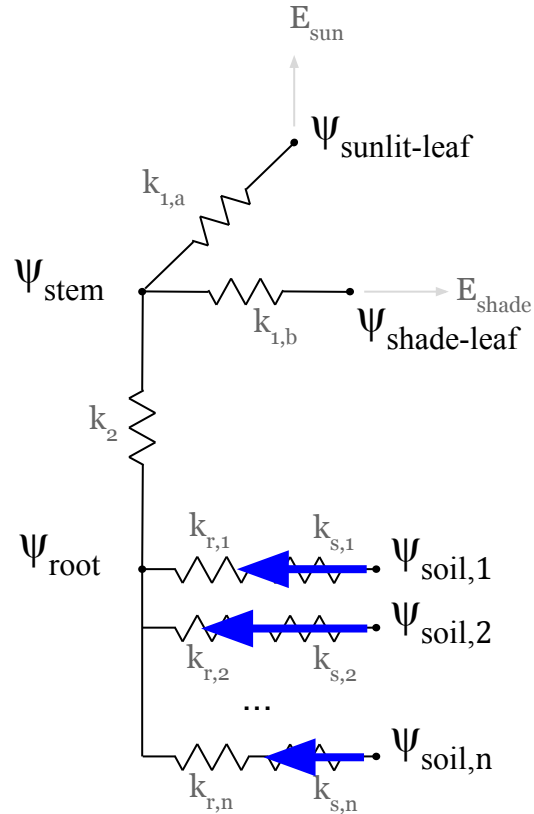


Root Water Uptake

Doable with PHS

Governed by Darcy's Law

- $q = -k \Delta\psi$
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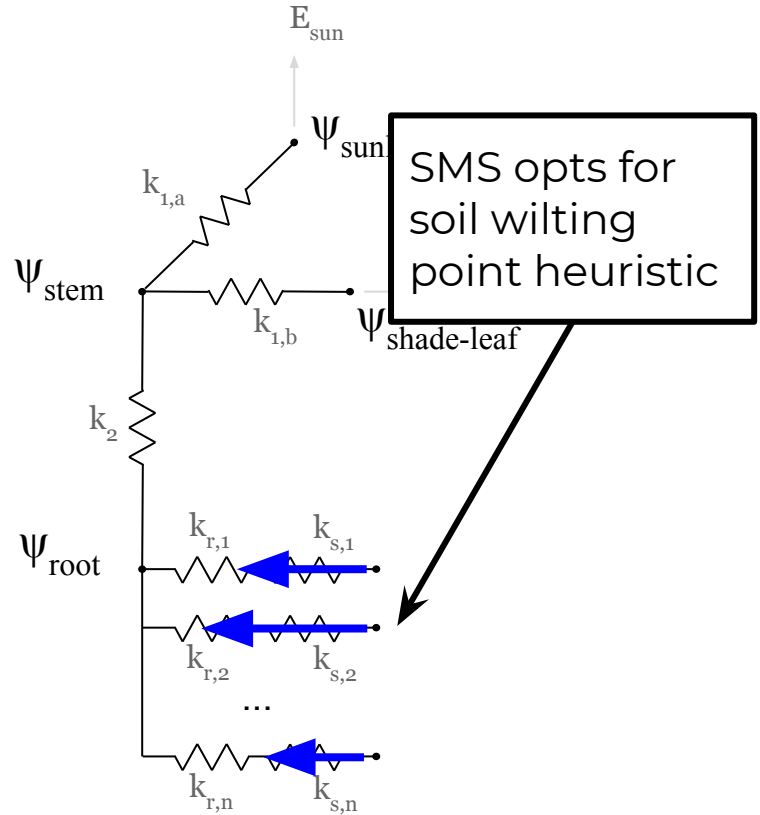


Root Water Uptake

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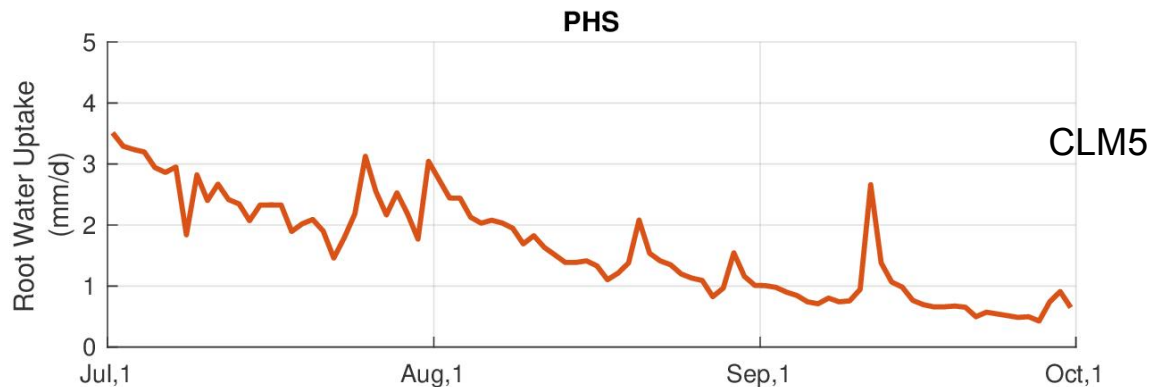


PHS implements mechanistic Root Water Uptake (RWU)

Same soil parameters
Same root distribution

Higher layers dry out
and water contribution falls off

Caxiuana, 2002



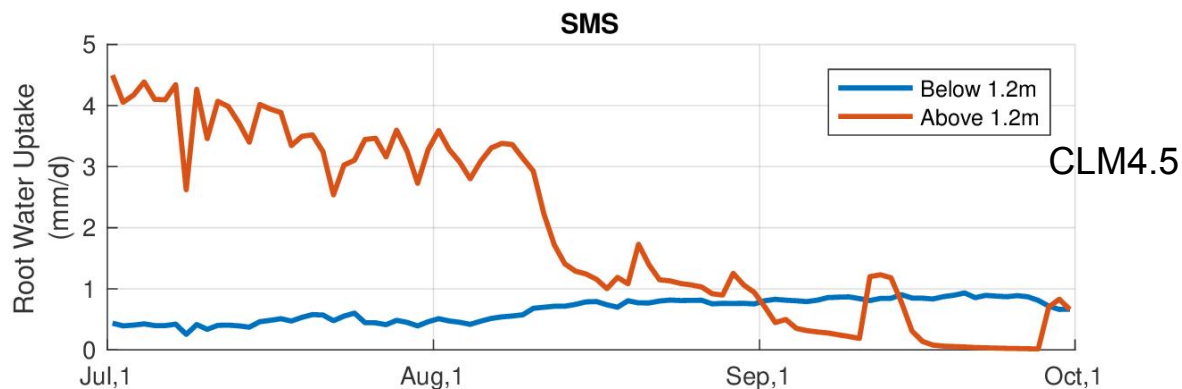
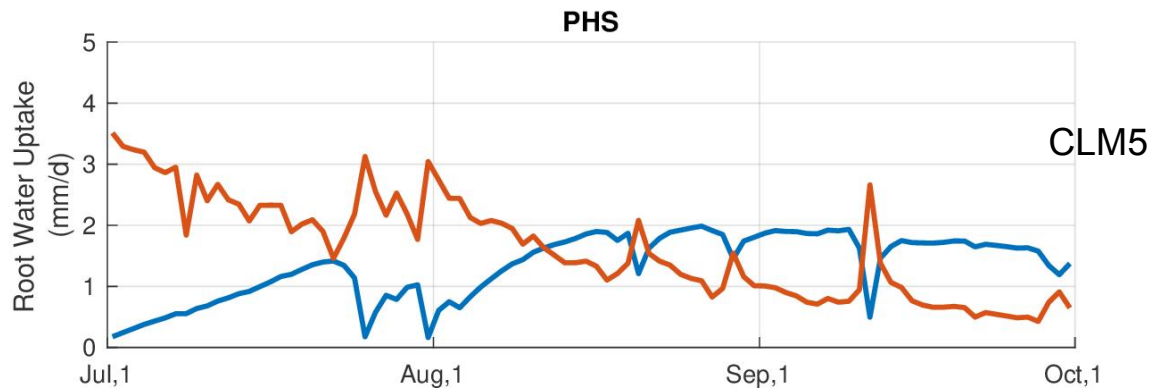
PHS implements mechanistic Root Water Uptake (RWU)

Same soil parameters
Same root distribution

Higher layers dry out
and water contribution falls off

PHS partially compensates with water from deep soil

Caxiuana, 2002



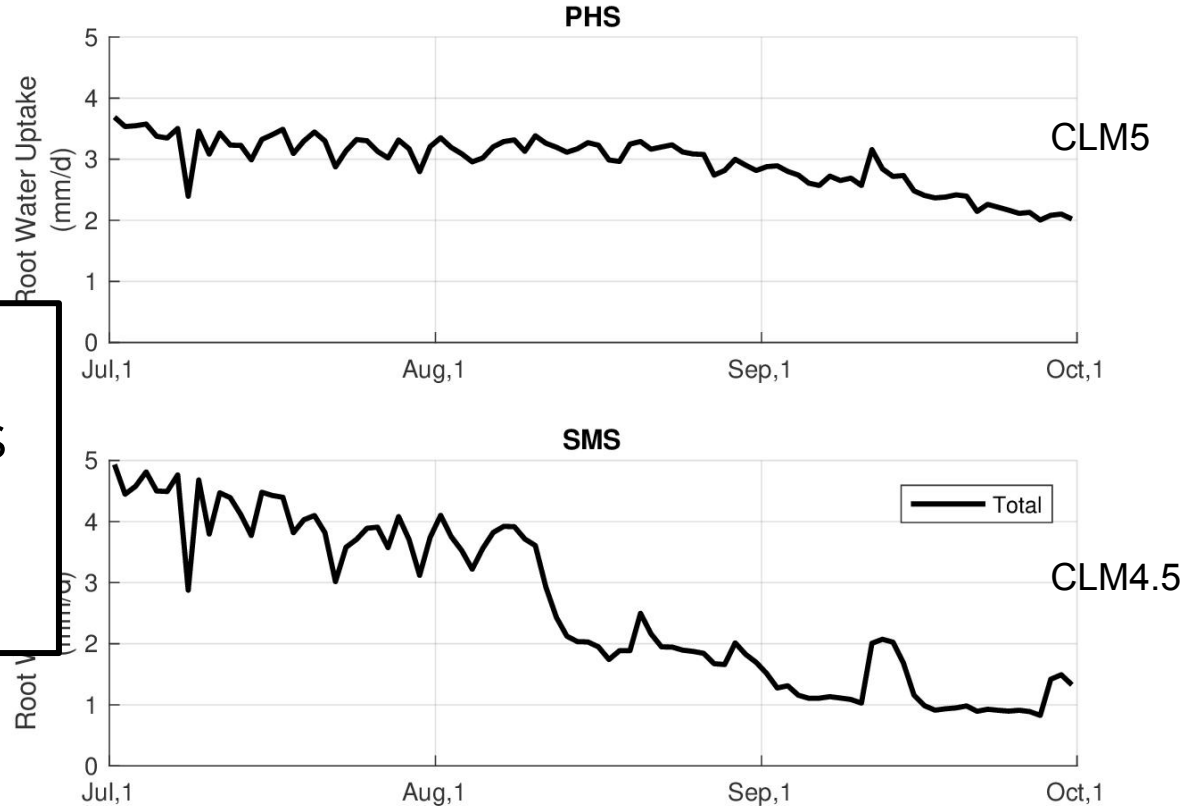
PHS implements mechanistic Root Water Uptake (RWU)

Same soil parameters
Same root distribution

High and fall
PHS
CO
water from deep soil

SMS missing flexibility to access reserves of soil water at depth

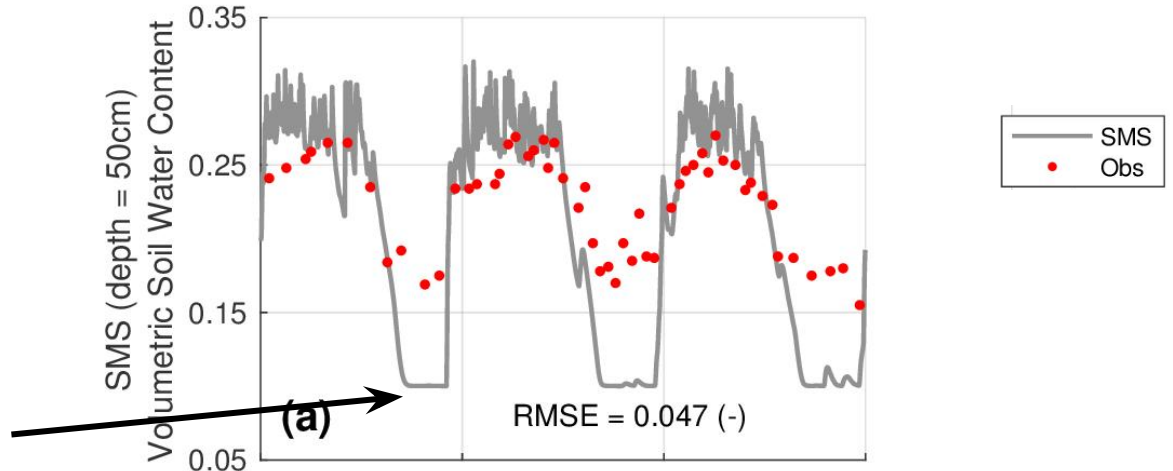
Caxiuana, 2002



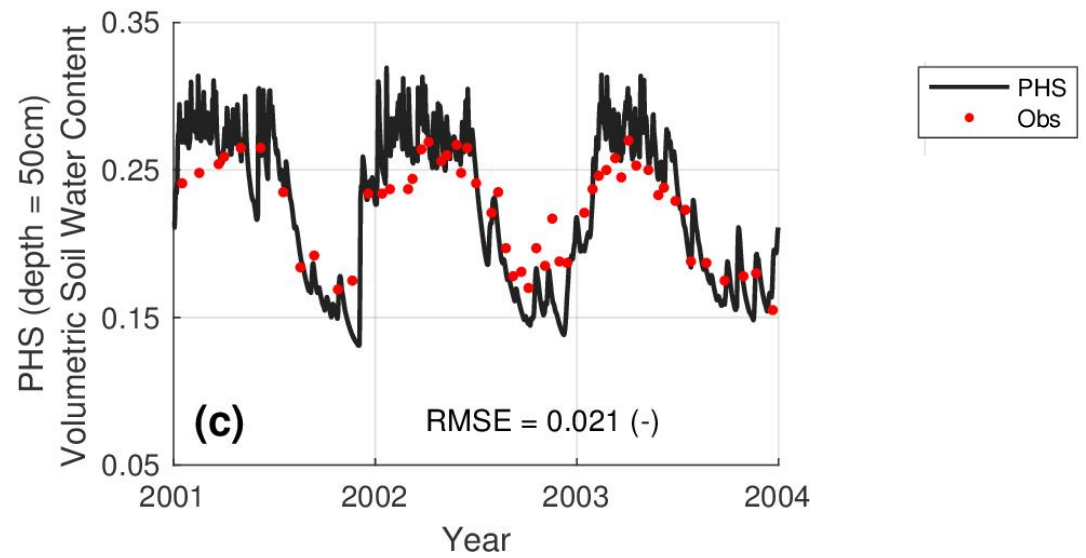
PHS yields improved soil moisture dynamics

SMS root zone is too dry during dry episodes

SMS



PHS



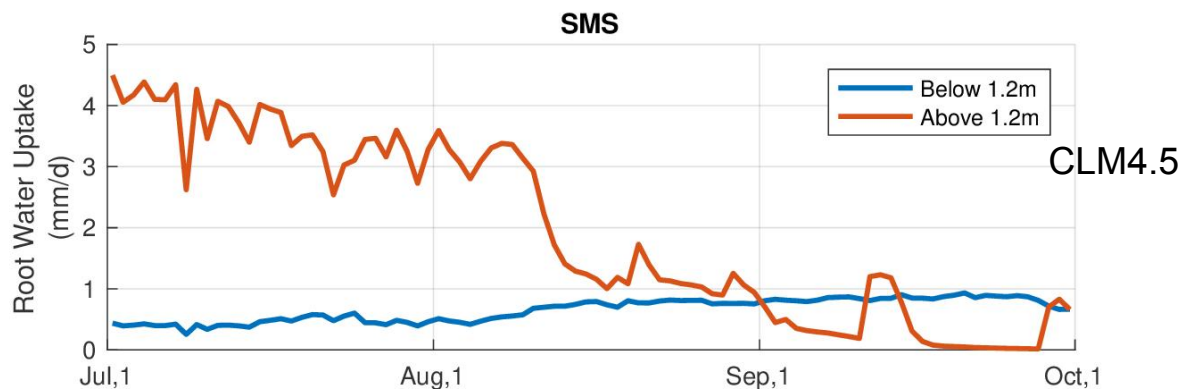
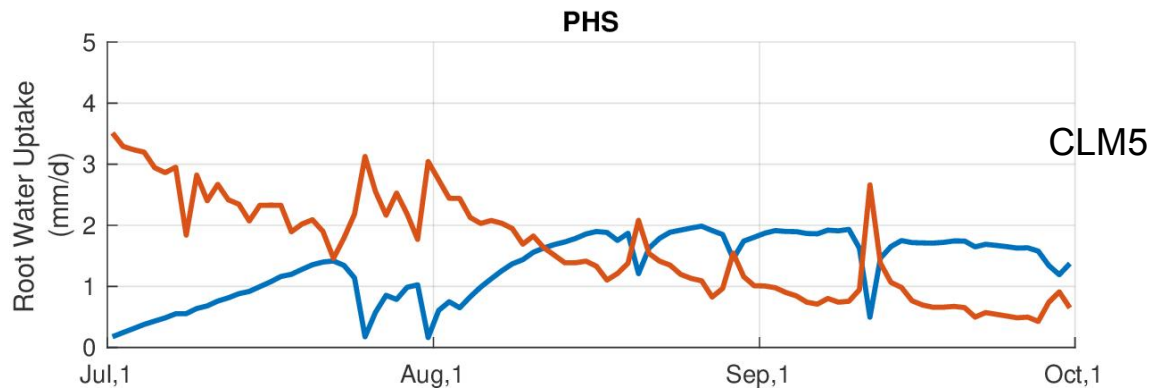
PHS implements mechanistic Root Water Uptake (RWU)

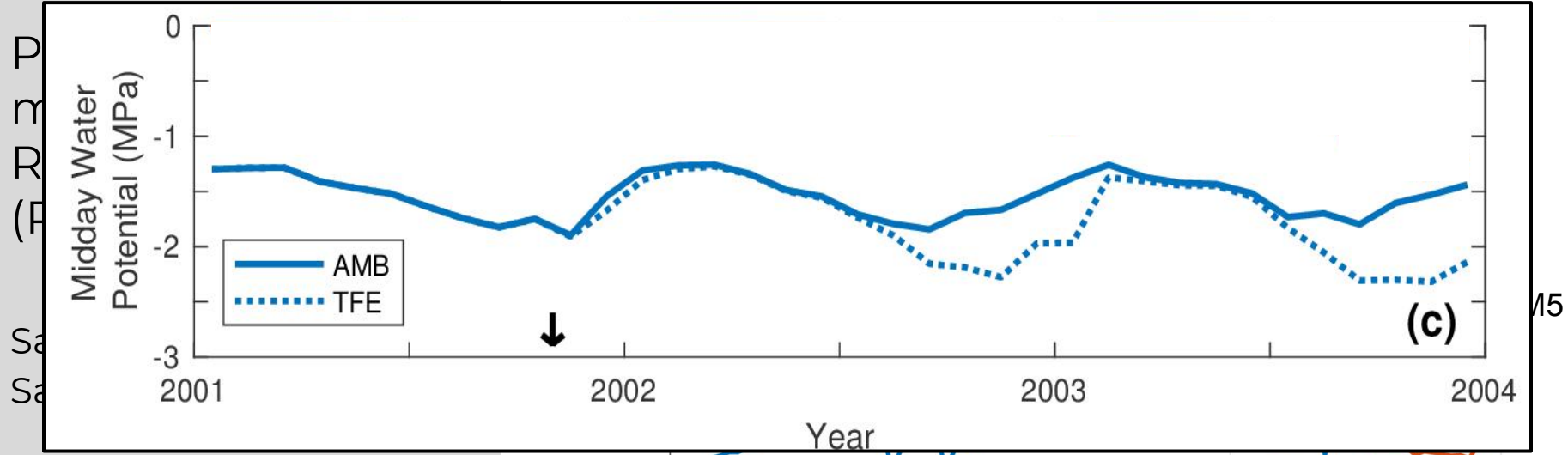
Same soil parameters
Same root distribution

Higher layers dry out
and water contribution falls off

PHS partially compensates with water from deep soil

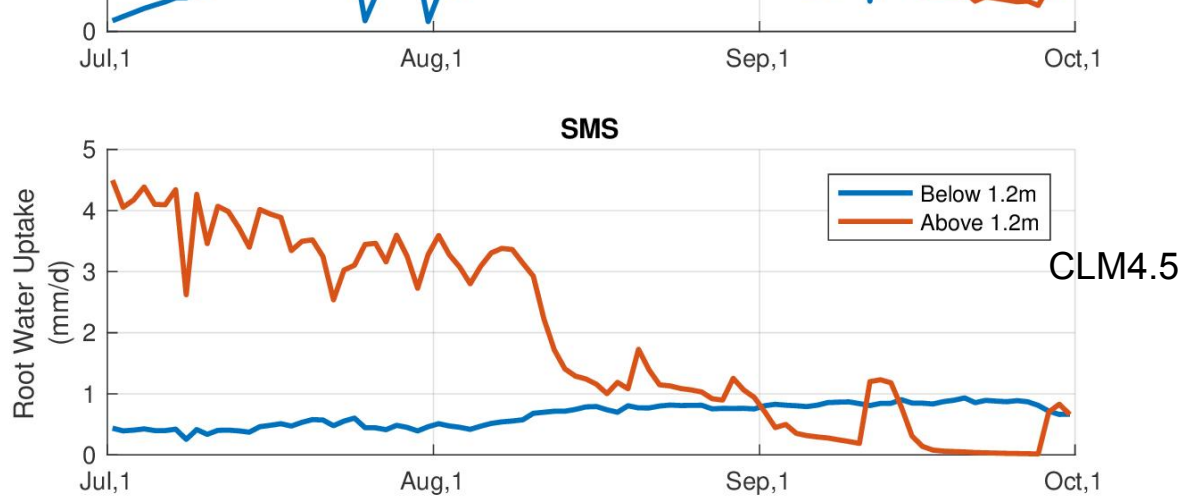
Caxiuana, 2002





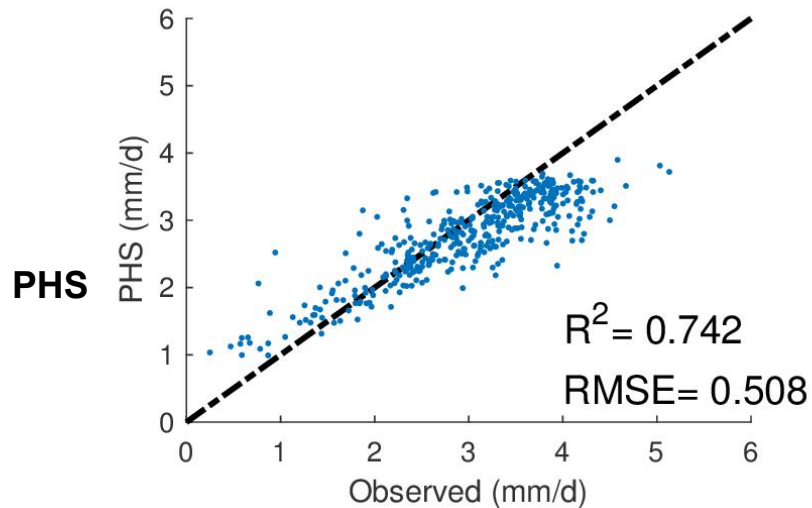
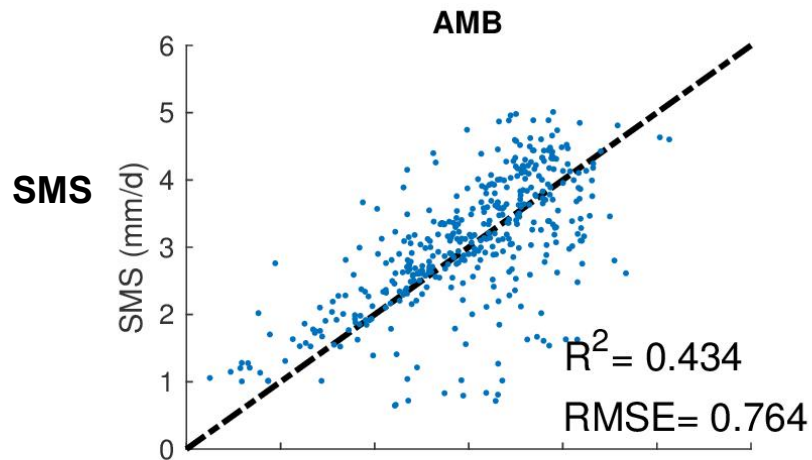
Higher layers dry out
and water contribution
falls off

PHS partially
compensates with
water from deep soil



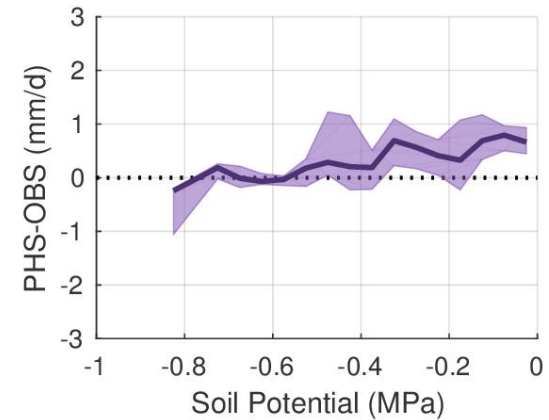
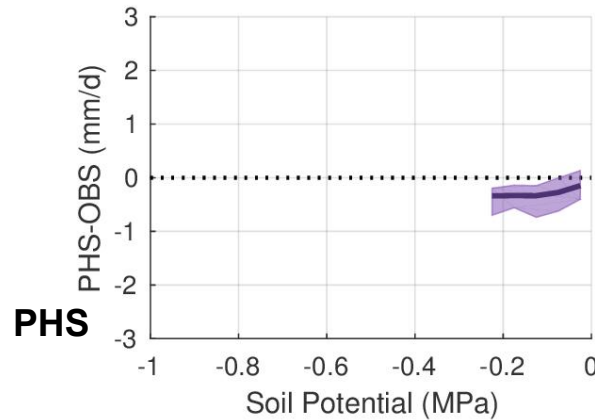
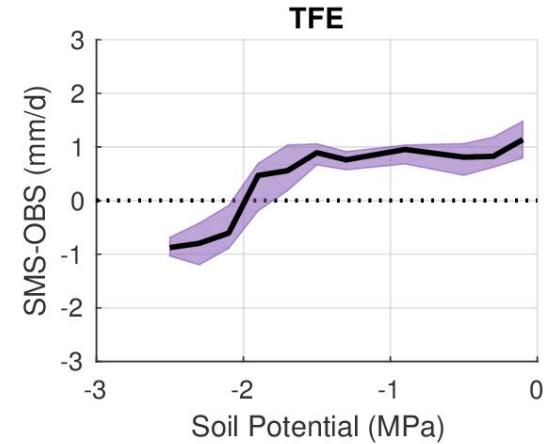
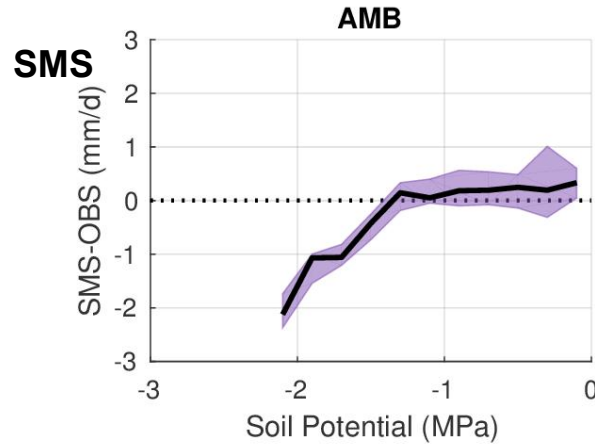
Transpiration: comparison with observations

- Improvement with PHS in RMSE and R^2
- (Single site)
 - Caxiuana, Brazil



Transpiration: comparison with observations

- Plotting transpiration:
model - observations
- Line represents median
- Shading spans
interquartile range
- Bin widths are
 - PHS, 0.05 MPa
 - SMS, 0.2 MPa
 - $n \geq 10$ days
- PHS improvements
derive from relationship
between transpiration
and soil potential



where to find more info

CLM Technical note

- Section 2.11
- cesm.ucar.edu/models/cesm2/land/

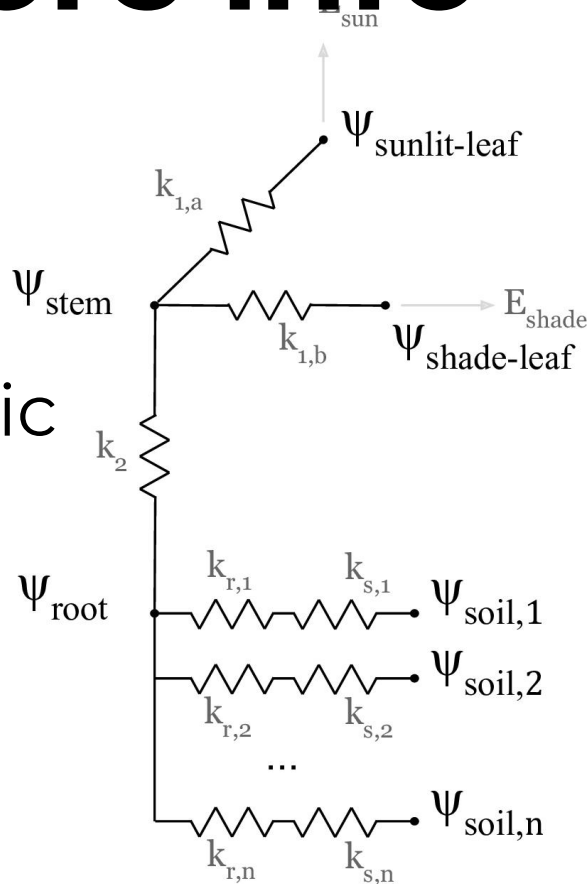
The code

- <https://github.com/ESCOMP/ctsm/tree/master/src/biogeophys>
- PhotosynthesisMod.F90
- SoilWaterPlantSinkMod.F90

The paper

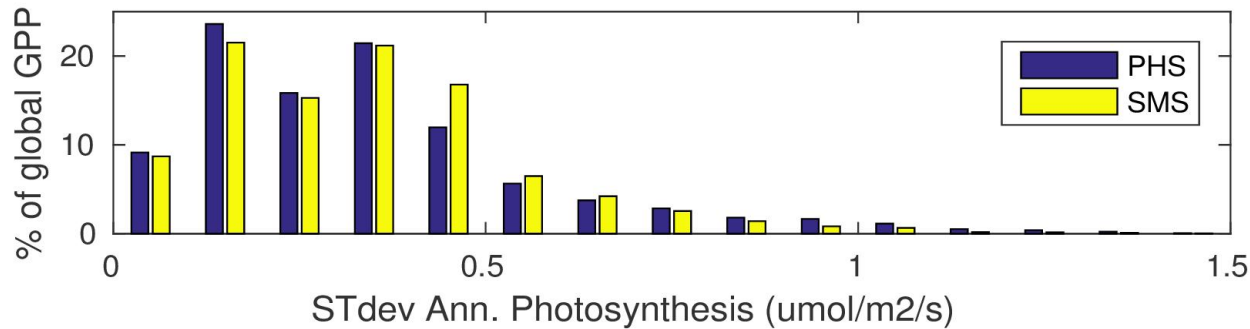
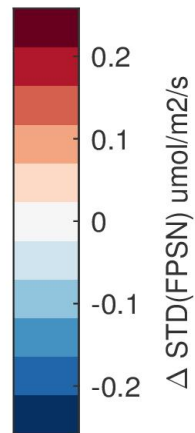
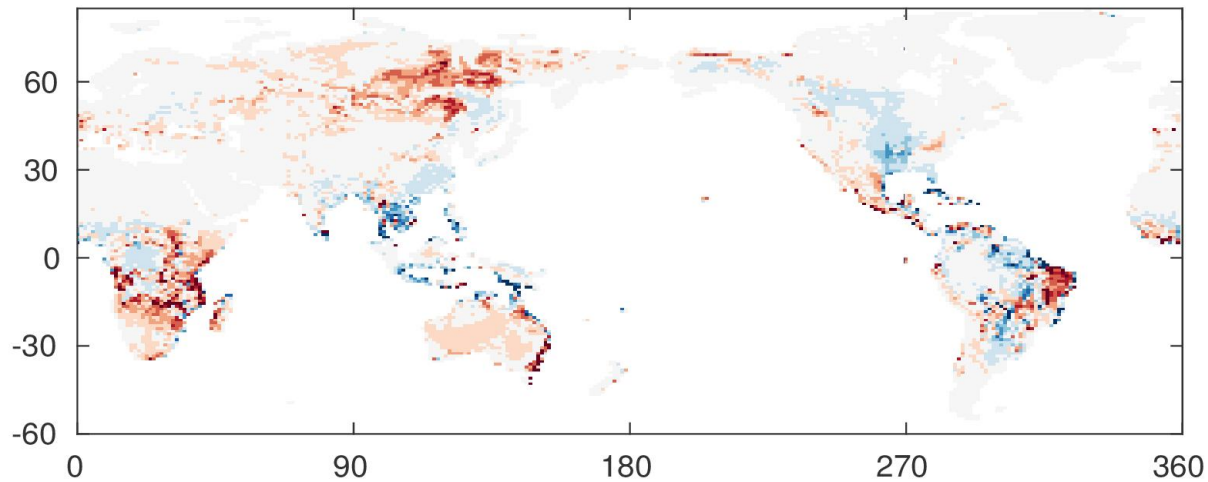
- Implementing plant hydraulics in the Community Land Model, version 5
- Kennedy et al. 2019, *JAMES*

Plant Hydraulic Stress



next week...

PHS-SMS



StDev
GPP

thank you!

Special thanks to:

- Co-authors and the NCAR LMWG
- Columbia Water Center
- NCAR CISL
- Data providers

slides online:
goo.gl/GYTKYC

