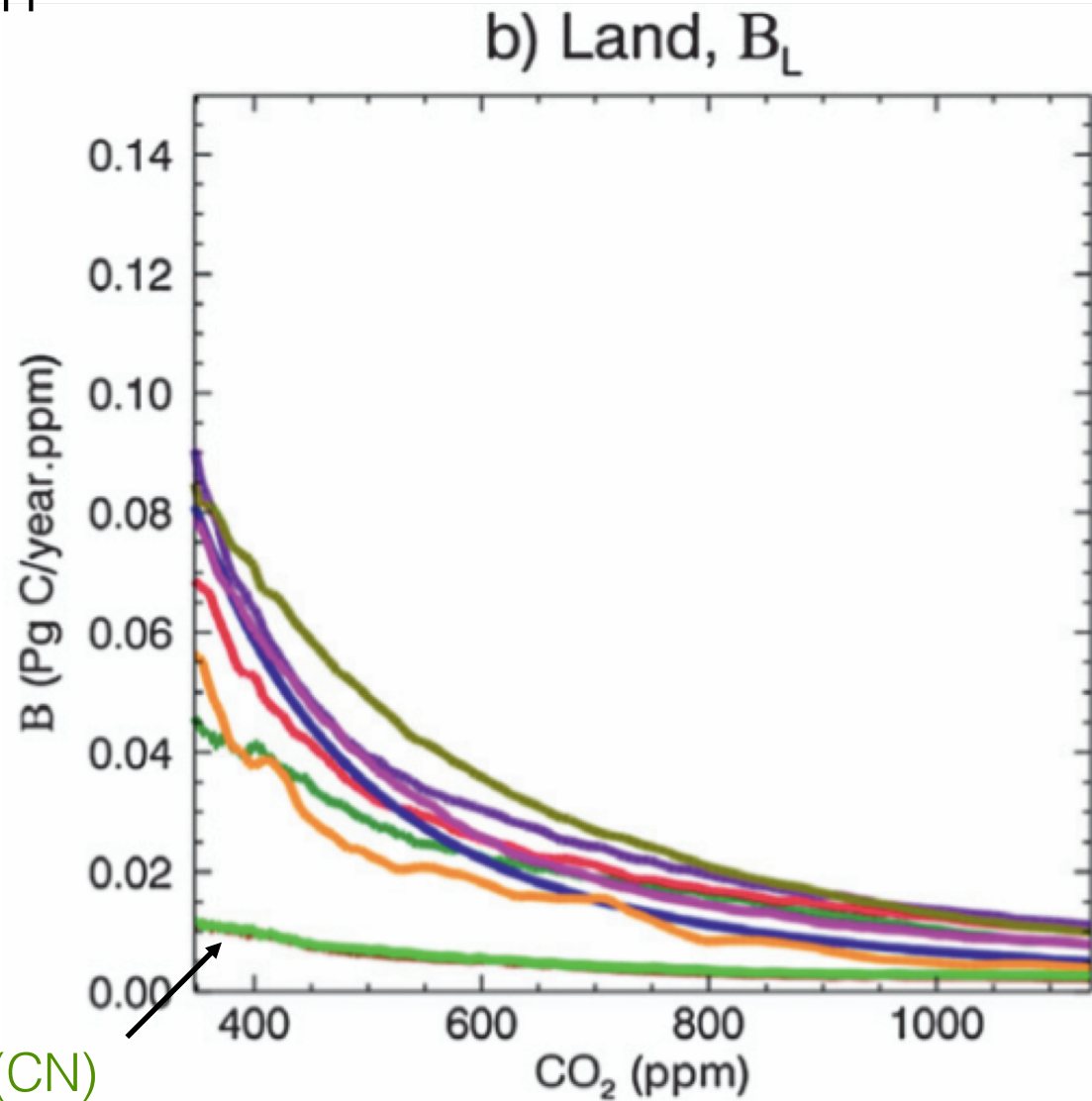


# The CLM5 Nitrogen cycle

Rosie Fisher, Dave Lawrence, Will Wieder,  
Gordon Bonan, Keith Oleson, Peter Lawrence, Sean Swenson,  
Danica Lombardozzi, Ahmed Tawfik, Justin Perket,  
Erik Kluzek, Ben Andre, Bill Sacks, Mariana Vertenstein

Charlie Koven, Bill Riley, Bardan Ghmire (LBNL)  
Anthony Walker (ORNL), Chonggang Xu, Ashehad Ali (LANL)  
Mingjie Shi & Josh Fisher (NASA-JPL)  
Eddie Brzostek (WVU), Quinn Thomas (VT),

# Impact of nitrogen on simulated carbon fertilization



NCAR-CLM4(CN)

## Carbon-Concentration and Carbon-Climate Feedbacks in CMIP5 Earth System Models

VIVEK K. ARORA,<sup>a</sup> GEORGE J. BOER,<sup>a</sup> PIERRE FRIEDLINGSTEIN,<sup>b</sup> MICHAEL EBY,<sup>c</sup> CHRIS D. JONES,<sup>d</sup> JAMES R. CHRISTIAN,<sup>a</sup> GORDON BONAN,<sup>e</sup> LAURENT BOPP,<sup>f</sup> VICTOR BROVKIN,<sup>g</sup> PATRICIA CADULE,<sup>f</sup> TOMOHIRO HAJIMA,<sup>h</sup> TATIANA ILYINA,<sup>g</sup> KEITH LINDSAY,<sup>e</sup> JERRY F. TIJPUTRA,<sup>i</sup> AND TONGWEN WU<sup>j</sup>



# the Evolution of a land model

CLM 4.0



2007

CLM4.5



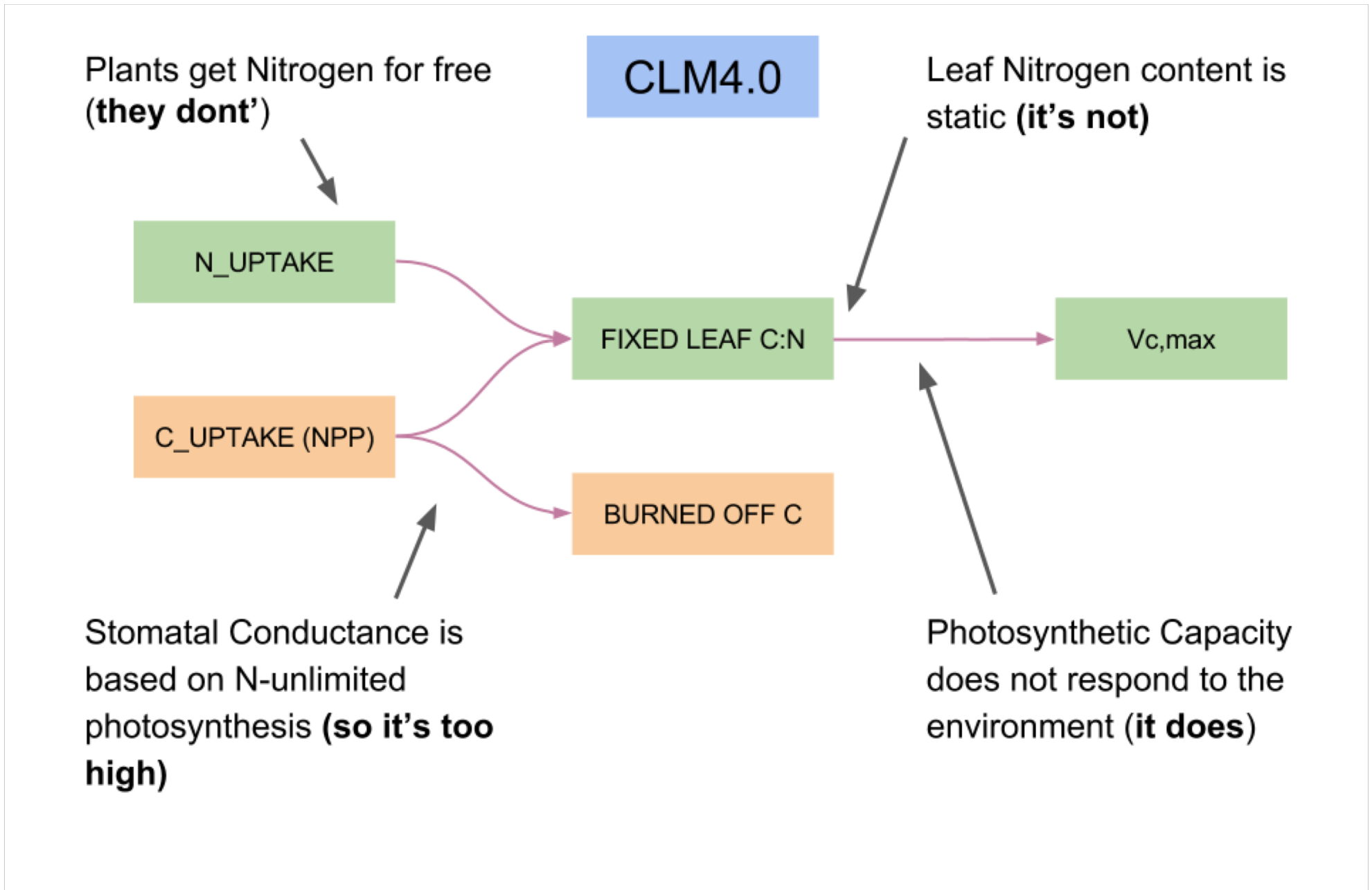
2013

CLM5.0



2018

# Issues raised with the CLM4.0(CN) & CLM4.5(BGC)





CLM5.0

Plants pay for fixed & active Nitrogen uptake (in Carbon)

Leaf Nitrogen content varies with the cost of N uptake



NEW MOVING PARTS

C\_FOR\_NUPTAKE

N\_UPTAKE

VARIABLE LEAF C:N

OPTIMIZED Vc, max

C\_UPTAKE (NPP)



Stomatal Conductance is based on N-limited photosynthesis

Photosynthetic Capacity is optimized wrt environmental drivers



## *Nitrogen assumptions in CLM4 & 4.5*

“Leaf Nitrogen content is static”

“Stomatal conductance is based on  
N-unlimited photosynthesis”

“Photosynthetic capacity does NOT  
respond to the environment”

“Plants get Nitrogen for free”



“Leaf Nitrogen content is static”

“Stomatal conductance is based on N-unlimited photosynthesis” –CLM4 & 4.5

Table 8.1. Plant functional type (PFT) photosynthetic parameters.

PFT	m	$\alpha$	$CN_L$	$F_{LNR}$	$SLA_0$	$\psi_o$	$\psi_c$	$V_{cmax25}$
NET Temperate	9	–	35	0.0509	0.010	-66000	-255000	62.5
NET Boreal	9	–	40	0.0466	0.008	-66000	-255000	62.6
NDT Boreal	9	–	25	0.0546	0.024	-66000	-255000	39.1
BET Tropical	9	–	30	0.0461	0.012	-66000	-255000	55.0
BET temperate	9	–	30	0.0515	0.012	-66000	-255000	61.5
BDT tropical	9	–	25	0.0716	0.030	-35000	-224000	41.0
BDT temperate	9	–	25	0.1007	0.030	-35000	-224000	57.7
BDT boreal	9	–	25	0.1007	0.030	-35000	-224000	57.7
BES temperate	9	–	30	0.0517	0.012	-83000	-428000	61.7

“Leaf Nitrogen content is variable”

“Stomatal conductance is based on  
N-limited photosynthesis”

```
use_flexiblecn = .true.  
[bgc only]
```



Code base:

[biogeochem/NutrientCompetitionFlexibleCNMod.F90](#)

Technical note:

[2.16: CN Pools](#)

[2.19: CN Allocation](#)



# The FlexCN Model

## Variable carbon:nitrogen ratios

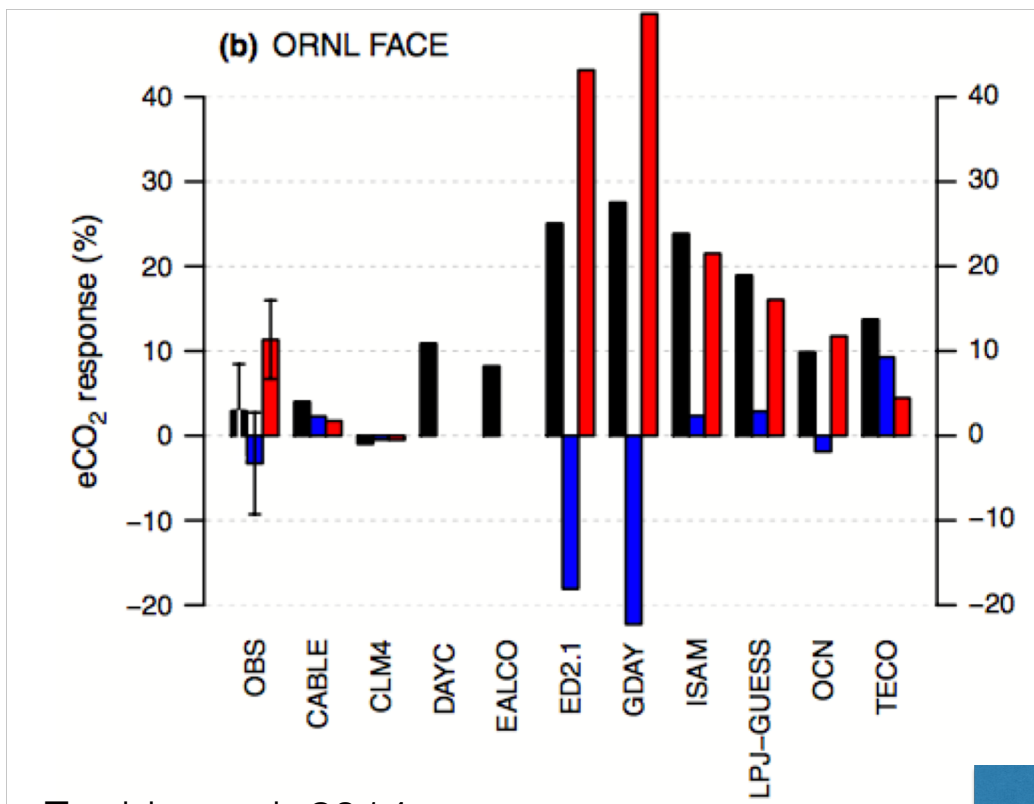
Representing leaf and root physiological traits in CLM improves global carbon and nitrogen cycling predictions

Bardan Ghimire<sup>1</sup>, William J. Riley<sup>1</sup>, Charles D. Koven<sup>1</sup>, Mingquan Mu<sup>2</sup>, and James T. Randerson<sup>2</sup>

Increase in productivity due to change C:N ratio

Increase in productivity due to increased NUE (fertilization)

Increase in productivity due to increased leaf allocation



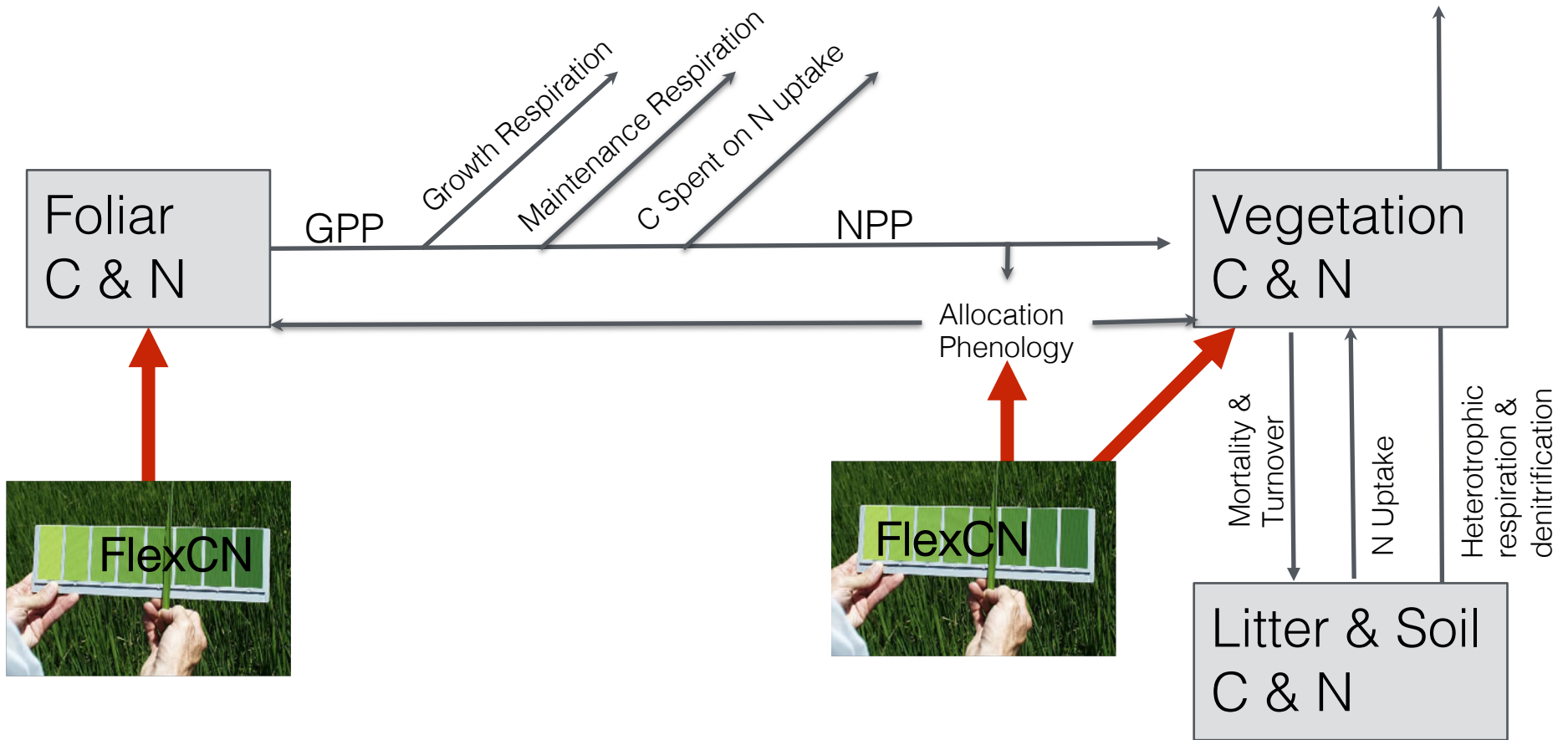
Zaehle et al. 2014

‘FlexCN’ allows for tissue-level variation in C:N ratio relative to target parameter.

Standalone FlexCN model tested in CLM4.5\* by [Ghimire et al. \(2016\) JAMES](#)

**Hypothesis:** Plants will vary their tissue Carbon:Nitrogen ratio as N availability varies in space and time

# Autotrophic Respiration



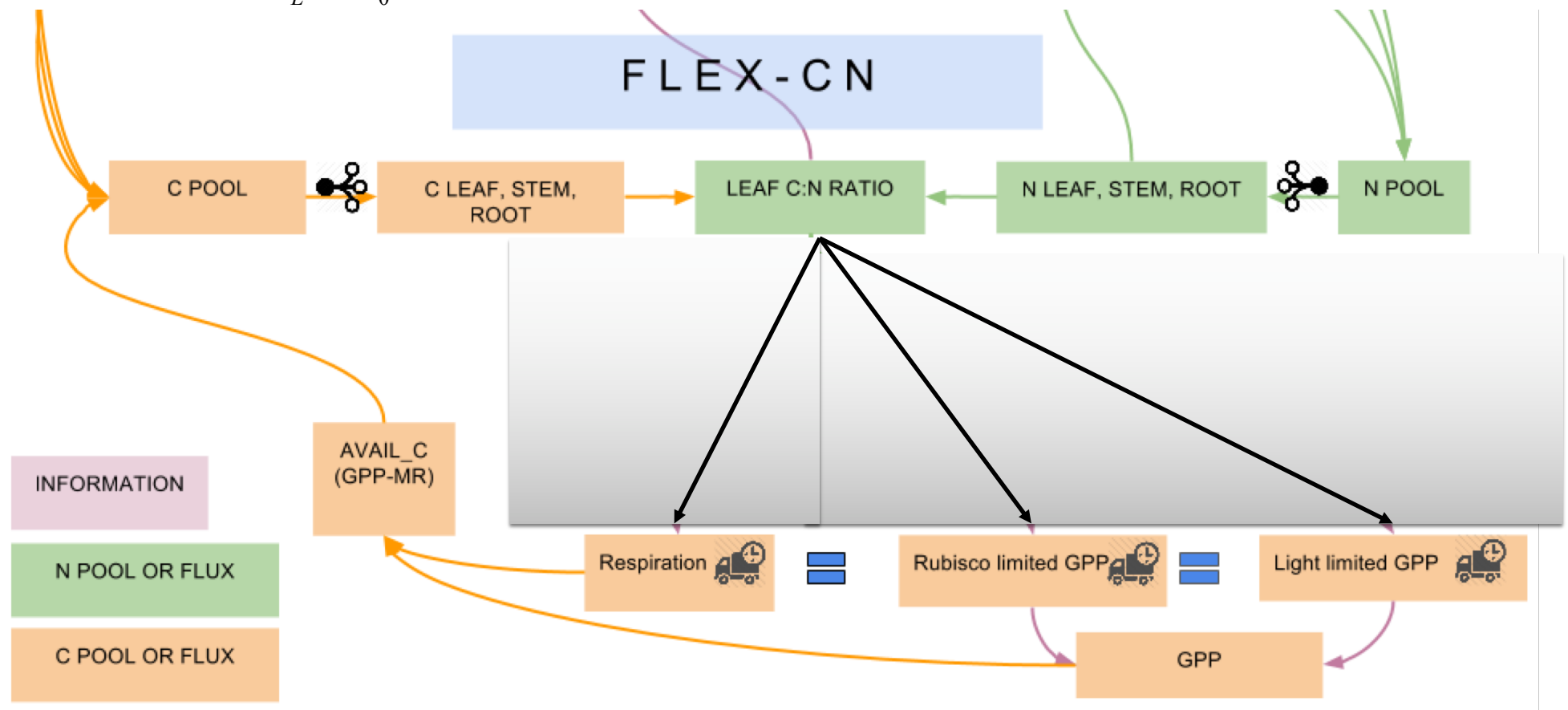
If N uptake is too low, C:N ratios will increase



If N uptake is too low,  
Foliar C:N ratios will increase  
Photosynthesis will decrease

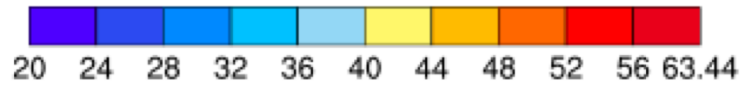
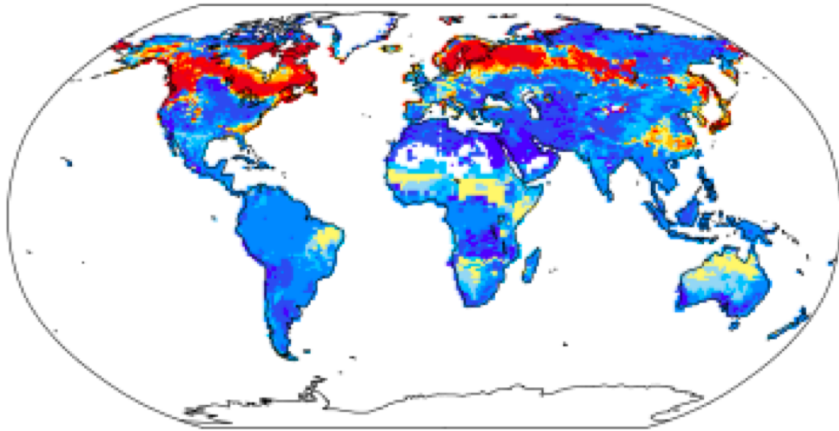
$$V_{c_{\max 25}} = N_a F_{LNR} F_{NR} a_{R25} \quad (8.17)$$

$$N_a = \frac{1}{CN_L SLA_0} \quad (8.18)$$

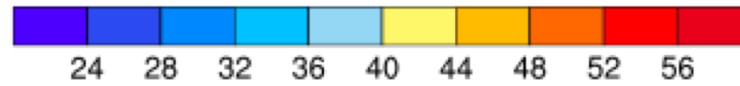
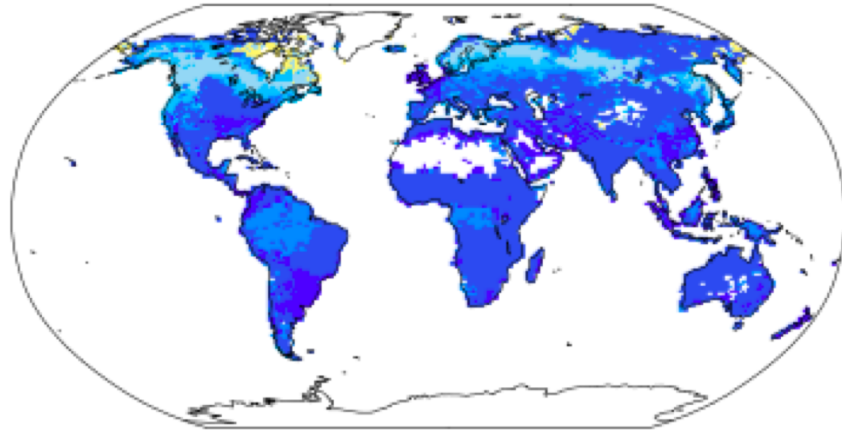


# ANN LEAFCN (gC/gN)

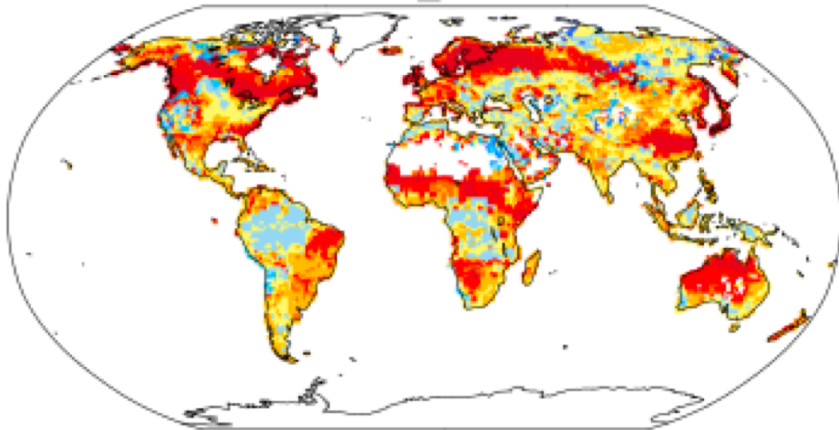
CLM5\_GSWP3  
(yrs 1995-2014)



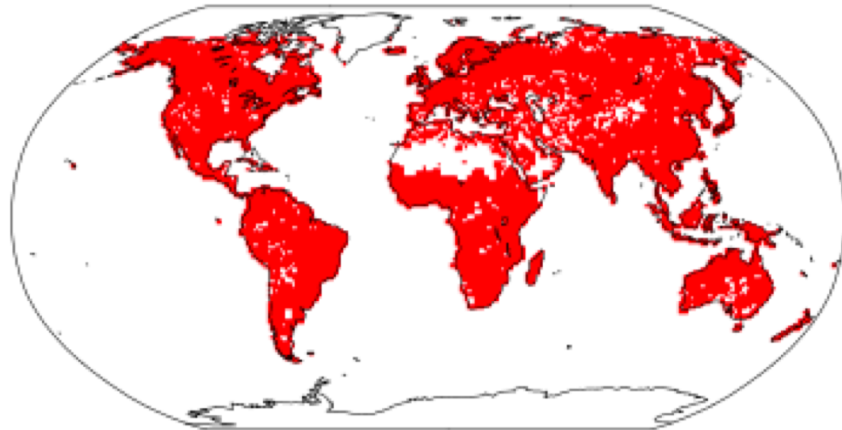
CLM45\_GSWP3  
(yrs 1995-2014)



CLM5\_GSWP3  
- CLM45\_GSWP3



T-Test of two Case means at each grid point



Cells are significant at 0.1 level

# “Photosynthetic capacity does NOT respond to the environment” –CLM4 & 4.5

$$V_{c\max25} = N_a F_{LNR} F_{NR} a_{R25} \quad (8.17)$$

Table 8.1. Plant functional type (PFT) photosynthetic parameters.

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BDT tropical	9	–	25	0.0716	0.030	-35000	-224000	41.0
BDT temperate	9	–	25	0.1007	0.030	-35000	-224000	57.7
BDT boreal	9	–	25	0.1007	0.030	-35000	-224000	57.7
BES temperate	9	–	30	0.0517	0.012	-83000	-428000	61.7

“Photosynthetic capacity DOES respond to the environment” –LUNA

```
use_luna = .true.  
[sp or bgc mode]
```



Code base: [src/biogeophys/LunaMod.F90](#) &  
[src/biogeophys/PhotosynthesisMod.F90](#)

Technical note: [2.10: Photosynthetic capacity](#)



# The LUNA\* Model

How best to use the Nitrogen you have?

\***L**eaf **U**se of **N**itrogen for **A**ssimilation

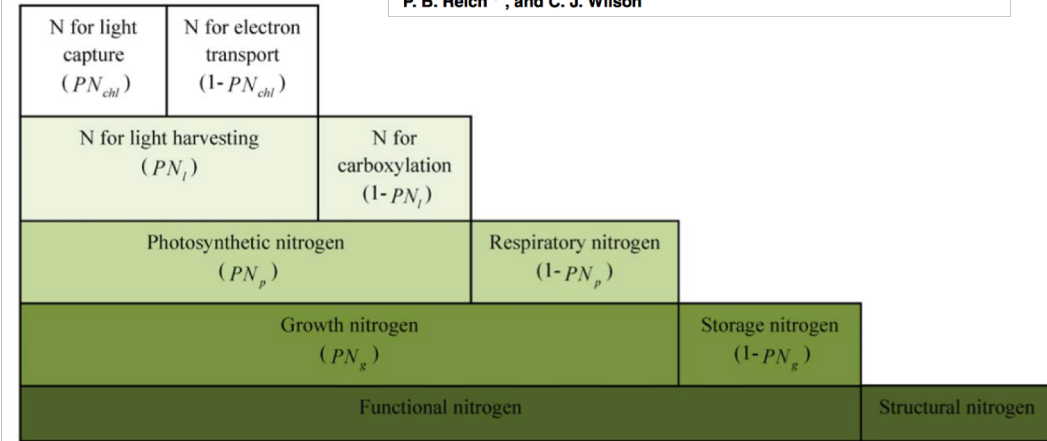
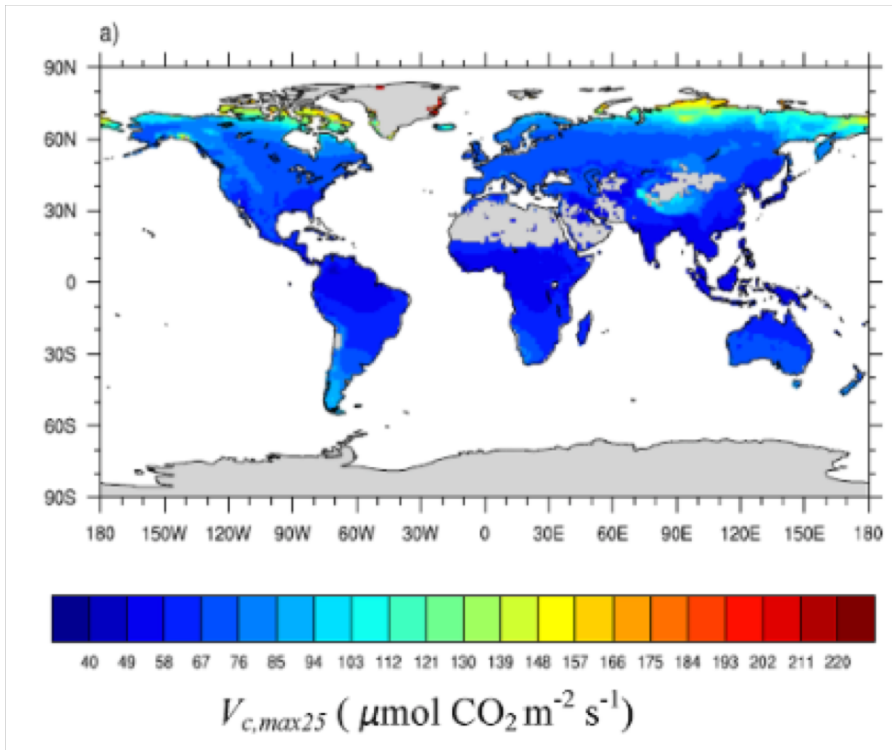
Predicted optimal photosynthetic capacity  
**Prognostic  $V_{c,max25}$  &  $J_{m,x25}$**

**Toward a Mechanistic Modeling of Nitrogen Limitation on Vegetation Dynamics**

Chonggang Xu<sup>1\*</sup>, Rosie Fisher<sup>2</sup>, Stan D. Wullschlegel<sup>3</sup>, Cathy J. Wilson<sup>1</sup>, Michael Cai<sup>4</sup>, Nate G. McDowell<sup>1</sup>

**A global scale mechanistic model of the photosynthetic capacity**

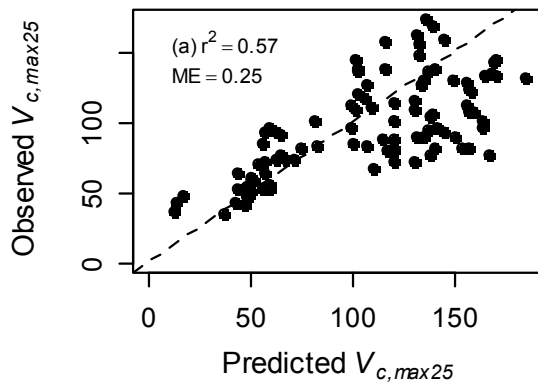
A. A. Ali<sup>1,2</sup>, C. Xu<sup>1</sup>, A. Rogers<sup>3</sup>, R. A. Fisher<sup>4</sup>, S. D. Wullschlegel<sup>5</sup>, N. G. McDowell<sup>1</sup>, E. C. Massoud<sup>2</sup>, J. A. Vrugt<sup>2,6</sup>, J. D. Muss<sup>1</sup>, J. B. Fisher<sup>7</sup>, P. B. Reich<sup>8,9</sup>, and C. J. Wilson<sup>1</sup>



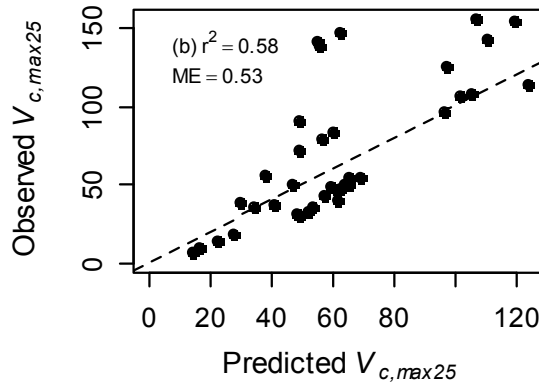
**Hypothesis:** Leaf Nitrogen is distributed so that light capture, carboxylation and respiration are co-limiting

# LUNA performance vs. observations

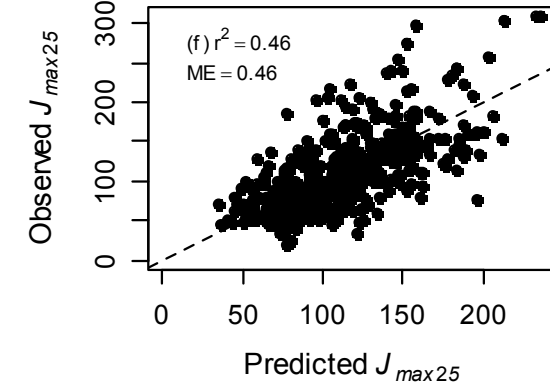
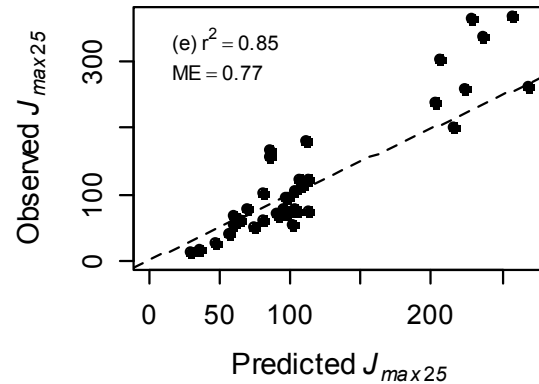
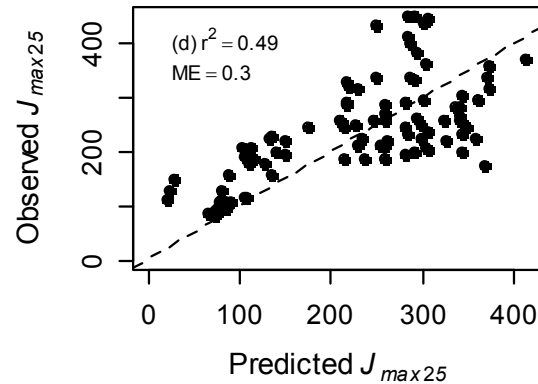
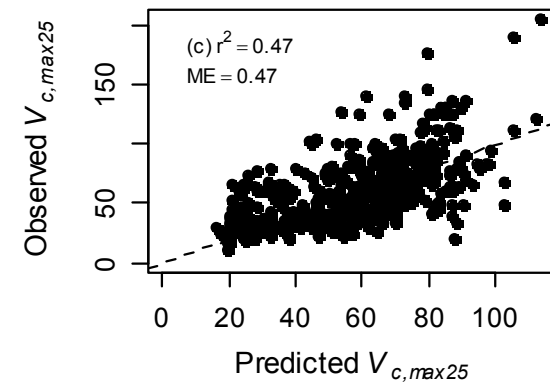
Herbs



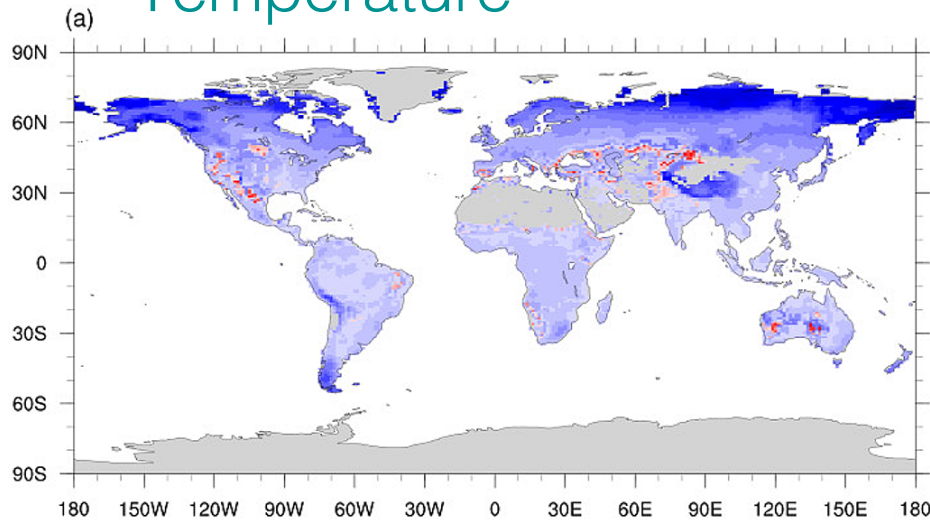
Shrubs



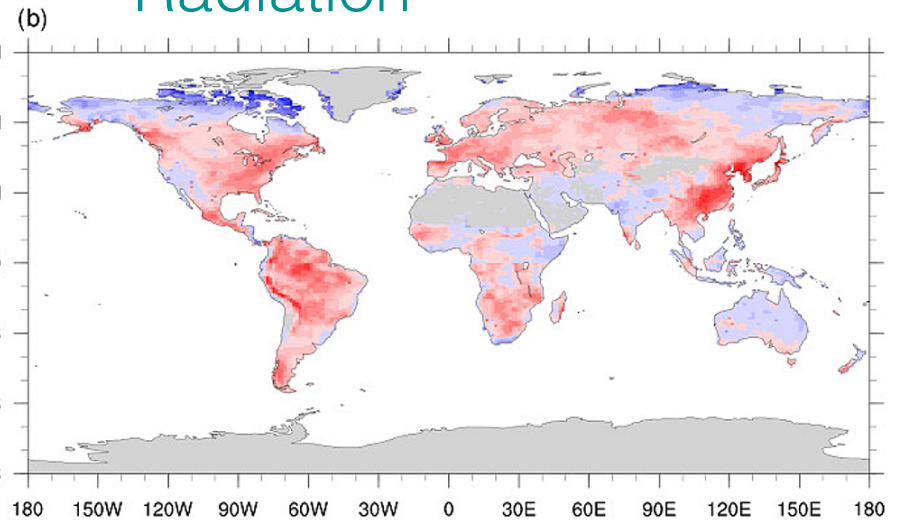
Trees



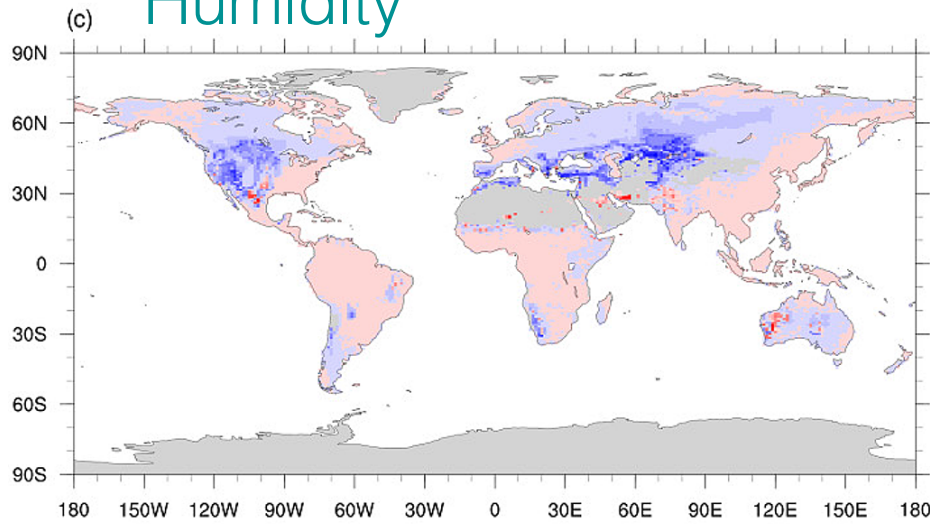
# Temperature



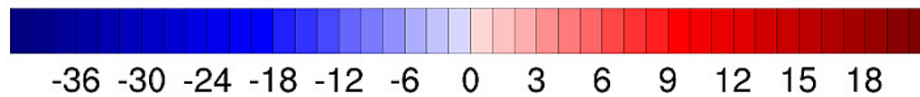
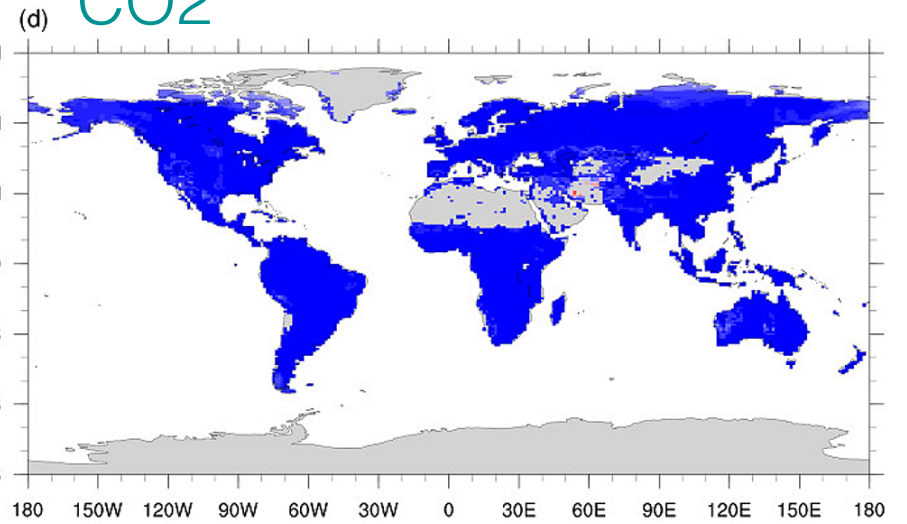
# Radiation



# Humidity

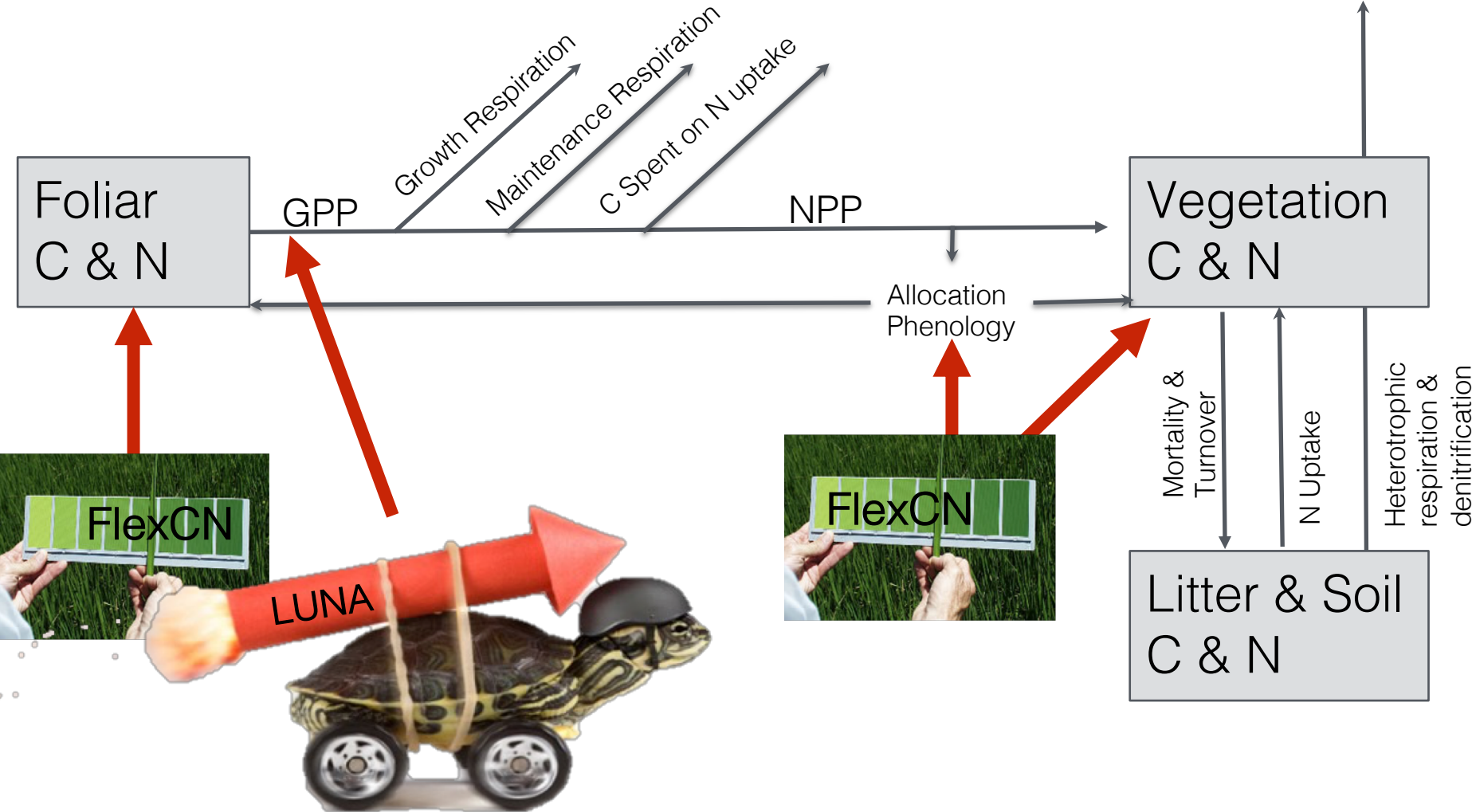


# CO2



Percentage change in  $V_{c,max25}$ (%)

# Autotrophic Respiration



# FLEX-CN

C POOL

C LEAF, STEM,  
ROOT

LEAF C:N RATIO

N LEAF, STEM, ROOT

N POOL

INFORMATION

AVAIL\_C  
(GPP-MR)

RESPIRATORY N

RUBISCO N

ELECTRON CAPTURE N

N POOL OR FLUX

Respiration

Rubisco limited GPP

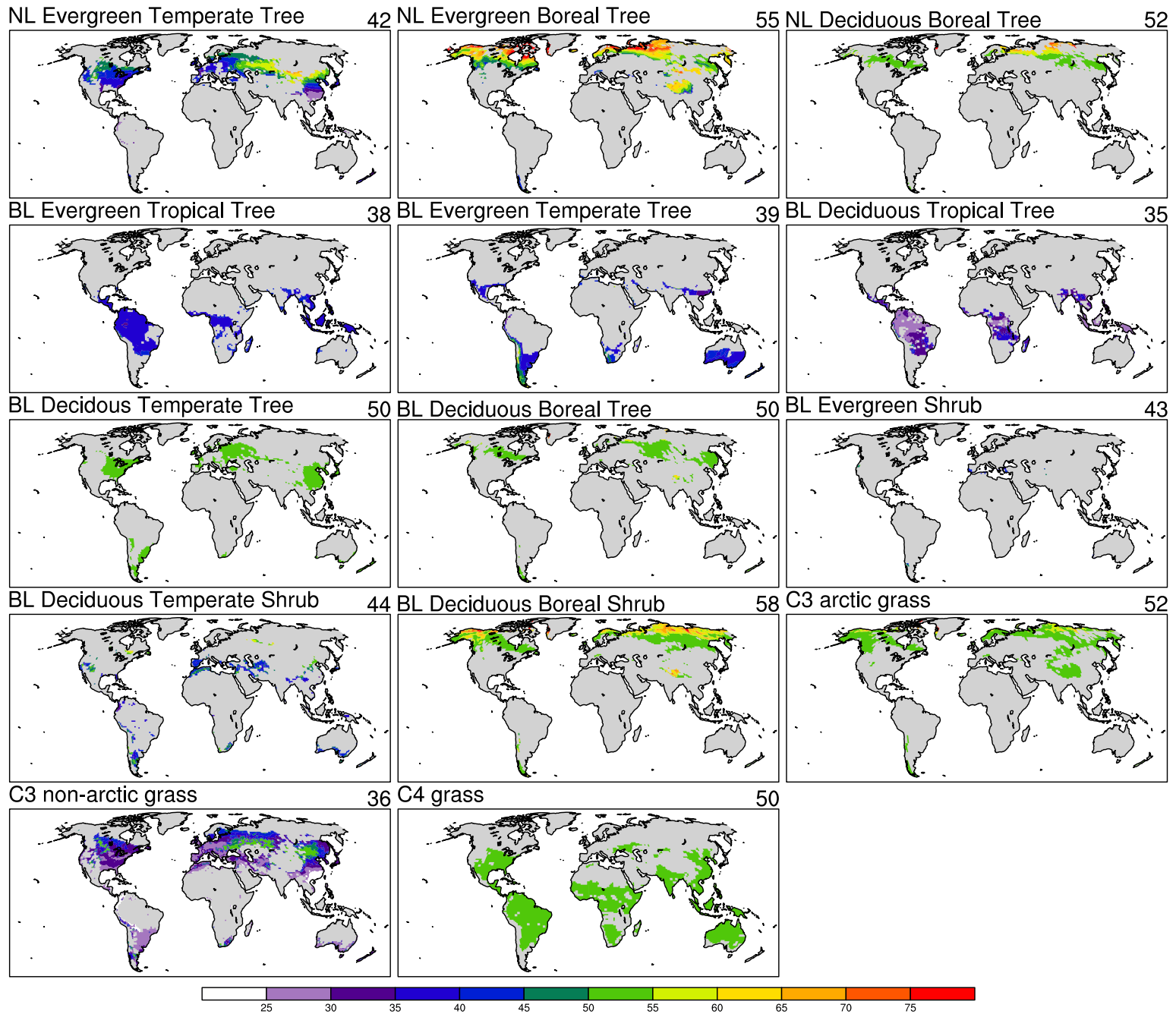
Light limited GPP

C POOL OR FLUX

GPP

# LUNA





“plants pay carbon costs for  
nitrogen uptake” -FUN

```
use_fun = .true.  
[bgc only]
```



Code base: [biogeochem/CNFUNMod.F90](#)

Technical note: [2.18: FUN](#)

# The FUN\* Model

## A marketplace for Nitrogen Uptake

\***F**ixation and **U**ptake of **N**itrogen

Standalone FUN model tested in CLM4.0 by [Shi et al. \(2016\) Global Change Biology](#)

**Carbon cost of plant nitrogen acquisition: A mechanistic, globally applicable model of plant nitrogen uptake, retranslocation, and fixation**

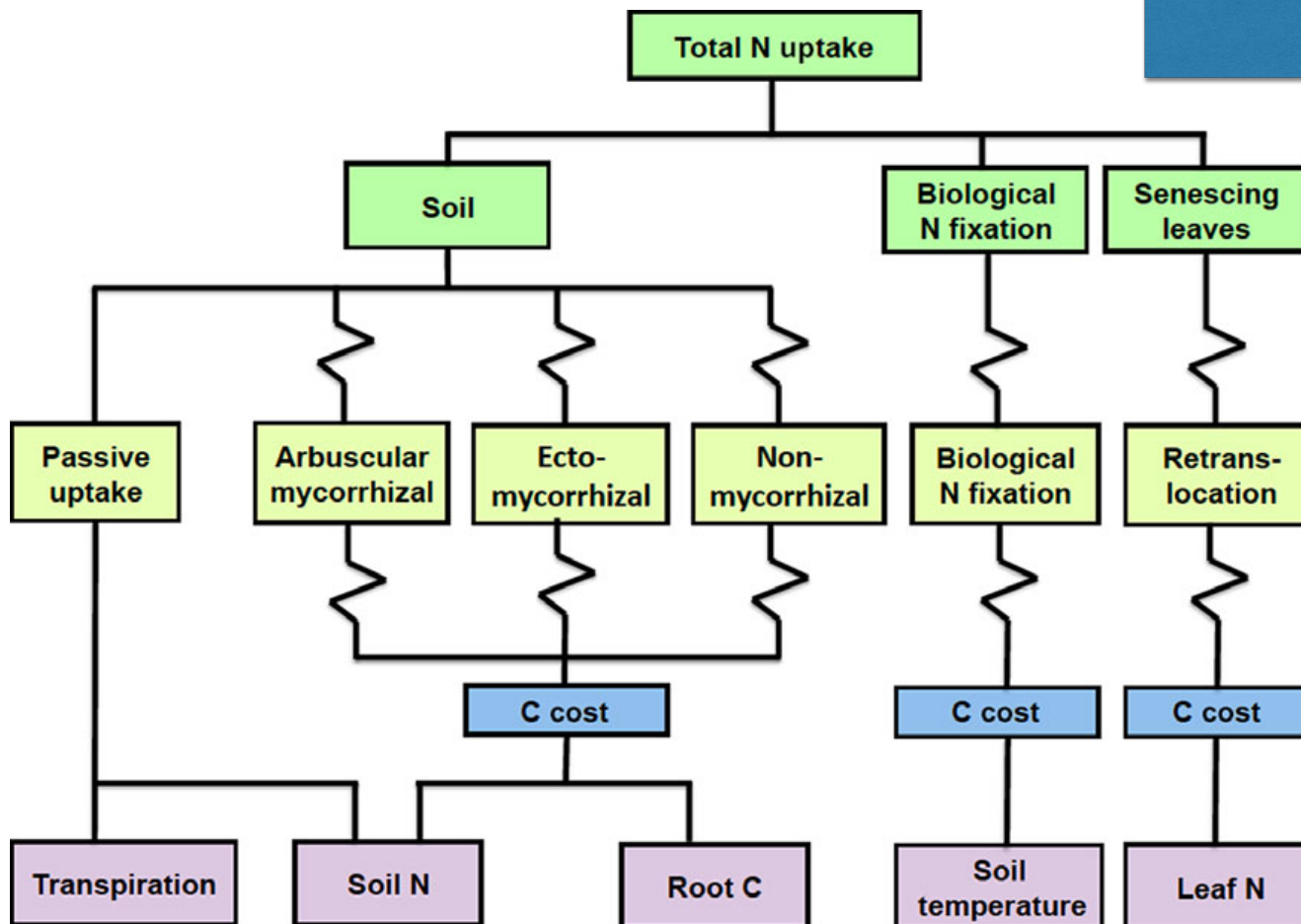
J. B. Fisher,<sup>1</sup> S. Sitch,<sup>2</sup> Y. Malhi,<sup>1</sup> R. A. Fisher,<sup>3</sup> C. Huntingford,<sup>4</sup> and S.-Y. Tan<sup>1</sup>

**Modeling the carbon cost of plant nitrogen acquisition: Mycorrhizal trade-offs and multipath resistance uptake improve predictions of retranslocation**

Edward R. Brzostek<sup>1</sup>, Joshua B. Fisher<sup>2,3</sup>, and Richard P. Phillips<sup>1</sup>

### Hypothesis:

Plants will take up N from the cheapest sources



# Solution to FUN model

$$C_{\text{nuptake}} = \frac{\text{GPP} - M_{\text{resp}}}{\text{CN}_{\text{target}} / C_{\text{Nuptake\_cost}} + 1}$$


## Solve for maximum growth

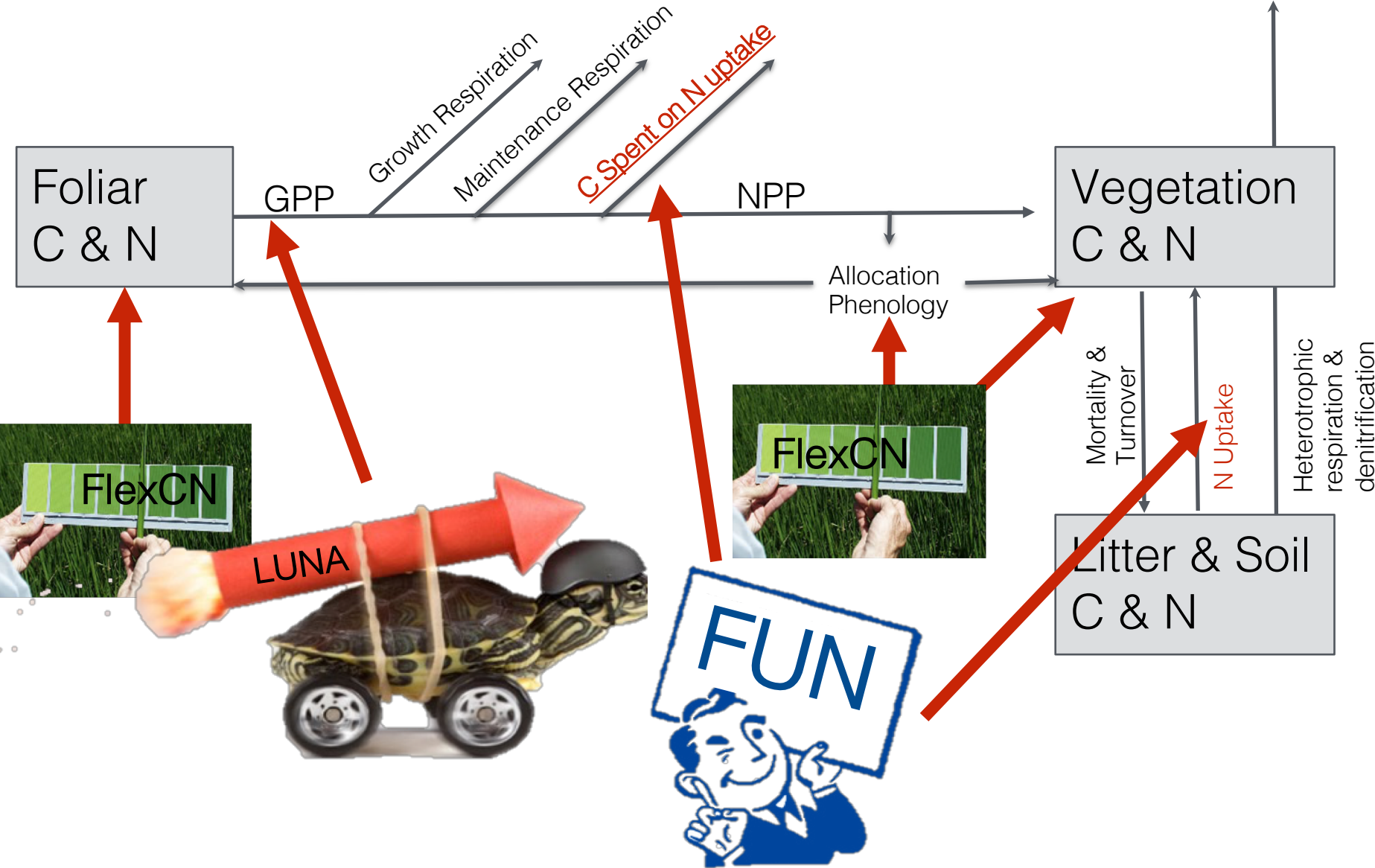
$$C_{\text{growth}} = C_{\text{npp}} - C_{\text{nuptake}}$$

$$N_{\text{growth}} = N_{\text{uptake}}$$

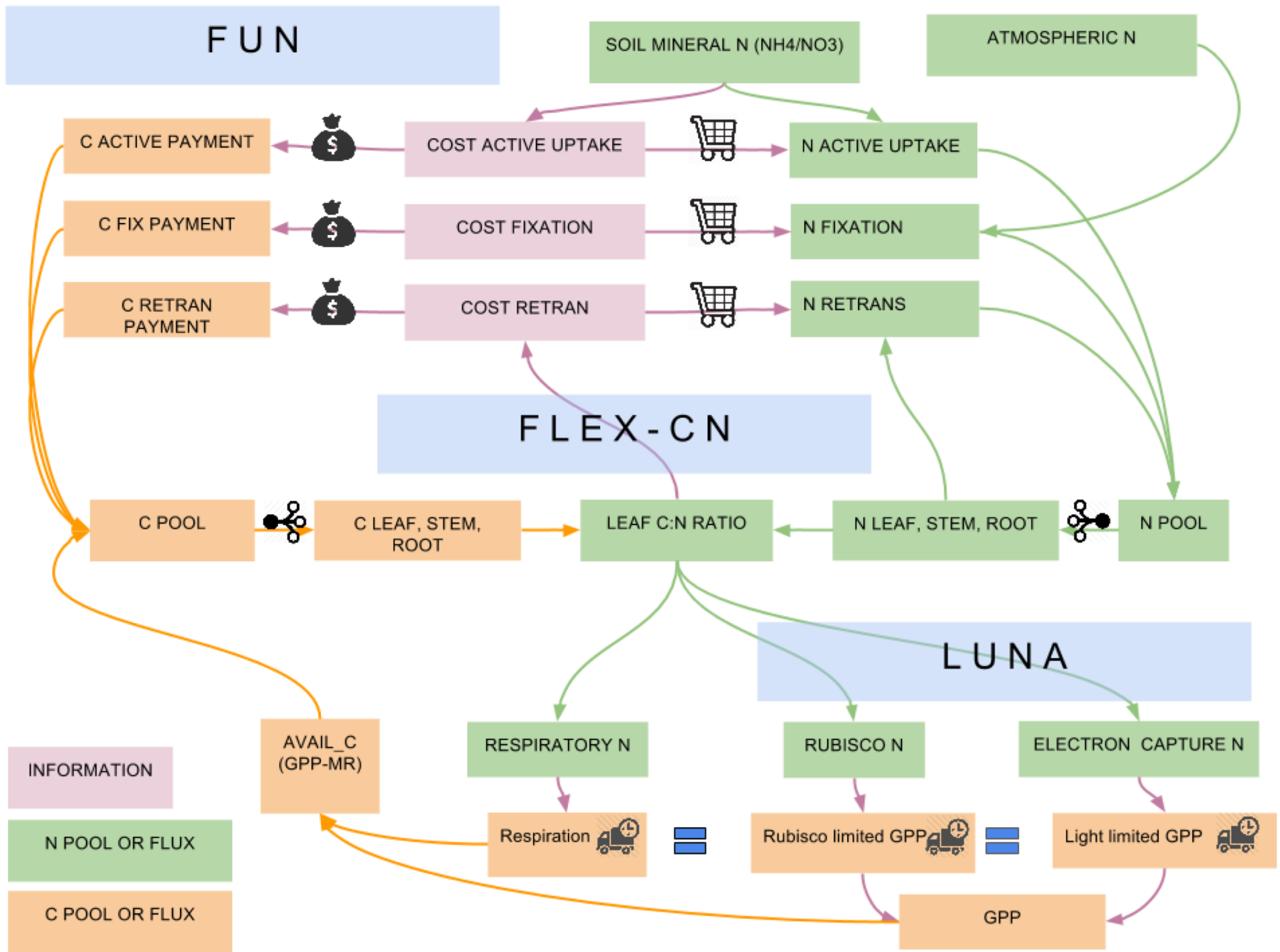
$$N_{\text{uptake}} = C_{\text{nuptake}} / C_{\text{Nuptake\_cost}}$$

$$N_{\text{growth}} = C_{\text{growth}} / \text{CN}_{\text{target}}$$

# Autotrophic Respiration



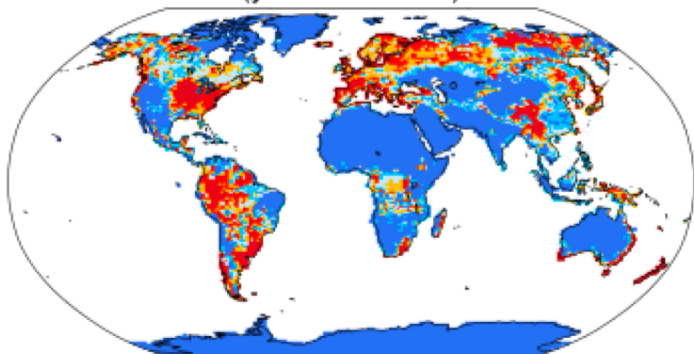




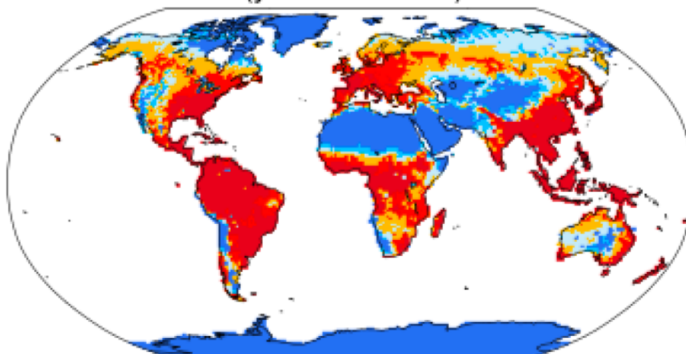
CLM5 has new diagnostic variables  
NFIX & FFIX\_TO\_SMINN

ANN NFIX\_TO\_SMINN (gN/m<sup>2</sup>/y)

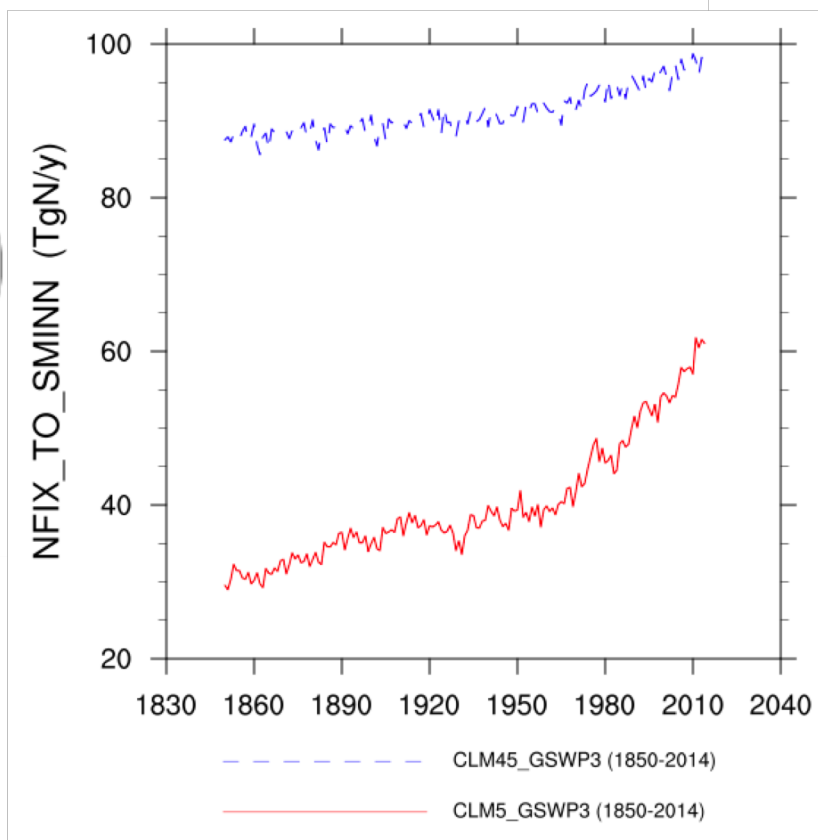
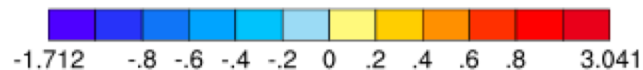
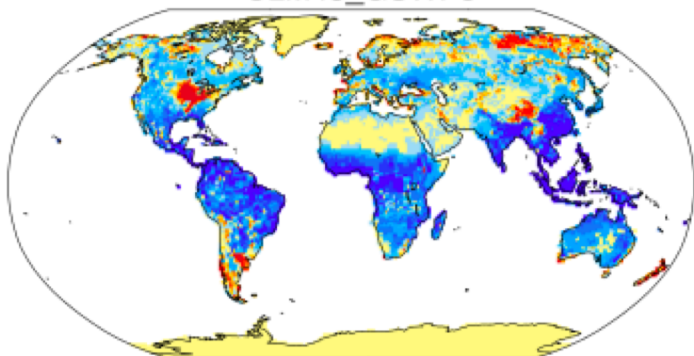
CLM5\_GSWP3  
(yrs 1995-2014)



CLM45\_GSWP3  
(yrs 1995-2014)



CLM5\_GSWP3  
- CLM45\_GSWP3



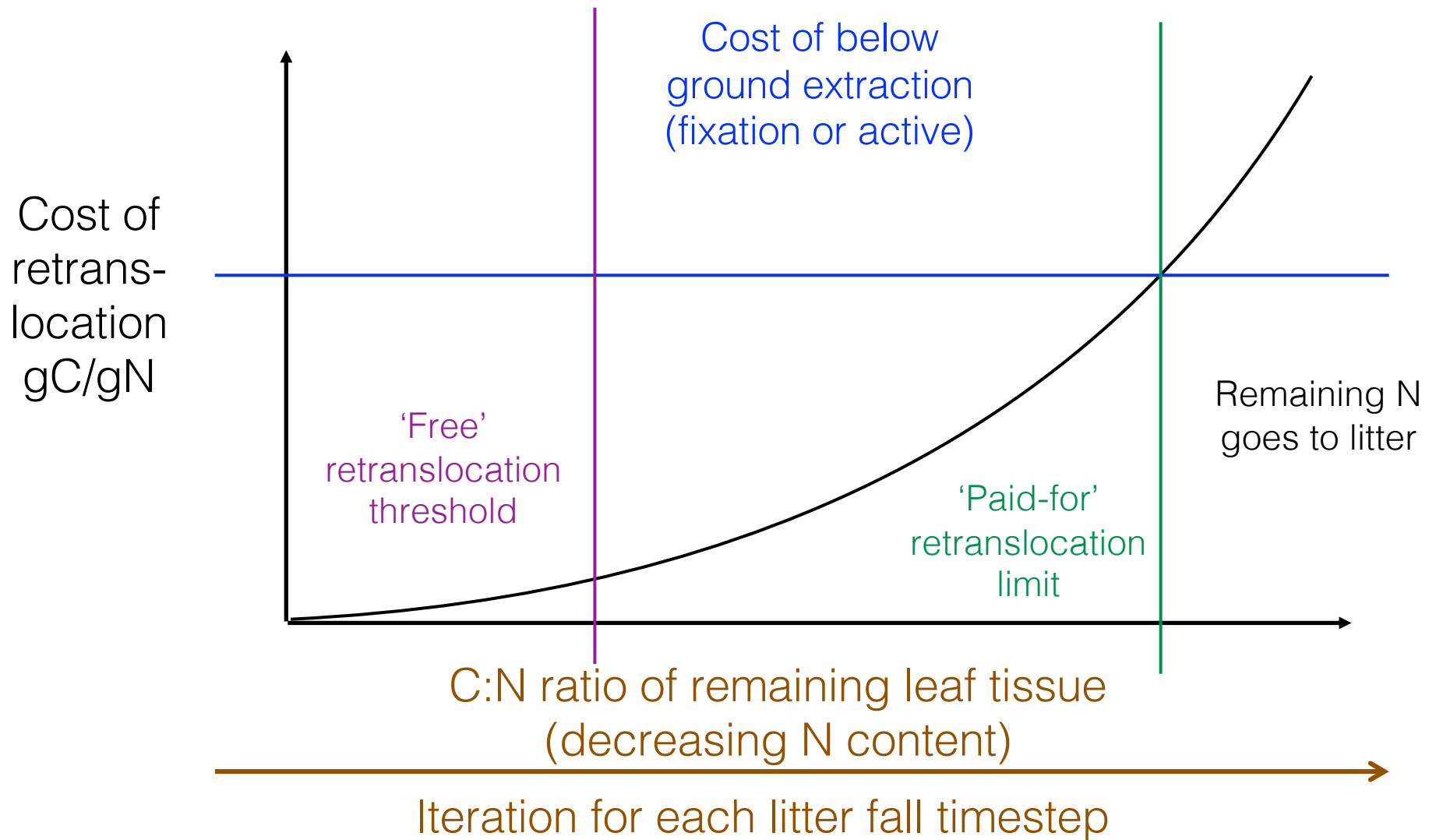




# Retranslocation



# Schematic of Retranslocation Algorithm



Note, the current CLM5 code base has a bug related to woodCN ratios and retranslocation, stay tuned to github for more.





# FUN flex-CN reconciliation



# FUN-FlexCN coupling

- The FUN model **targets** a fixed C/N ratio
- This intrinsically does **not** allow flexible CN ratio.
- We thus need to change **C<sub>nuptake</sub>** to allow for this

$$\mathbf{C_{nuptake}} = \frac{\text{GPP} - \text{M}_{\text{resp}}}{\text{CN}_{\text{target}} / \mathbf{CN_{nuptake\_cost}} + 1}$$


## Solve for maximum growth

$$C_{\text{growth}} = C_{\text{npp}} - \mathbf{C_{nuptake}}$$

$$N_{\text{growth}} = N_{\text{nuptake}}$$

$$N_{\text{nuptake}} = \mathbf{C_{nuptake}} / \mathbf{CN_{nuptake\_cost}}$$

$$N_{\text{growth}} = C_{\text{growth}} / \text{CN}_{\text{target}}$$

# C allocation to uptake responds to **CN<sub>uptake-cost</sub>** and **CN<sub>actual</sub>**

Adjustment factor

FUN equation

$$C_{\text{uptake}} = C_{\text{adj}} \times \frac{(GPP-MR)}{(CN_{\text{target}} / CN_{\text{uptake-cost}}) + 1.0}$$

$$C_{\text{adj}} = 1.0 - (CN_{\text{uptake-cost}} - P_a) / P_b$$

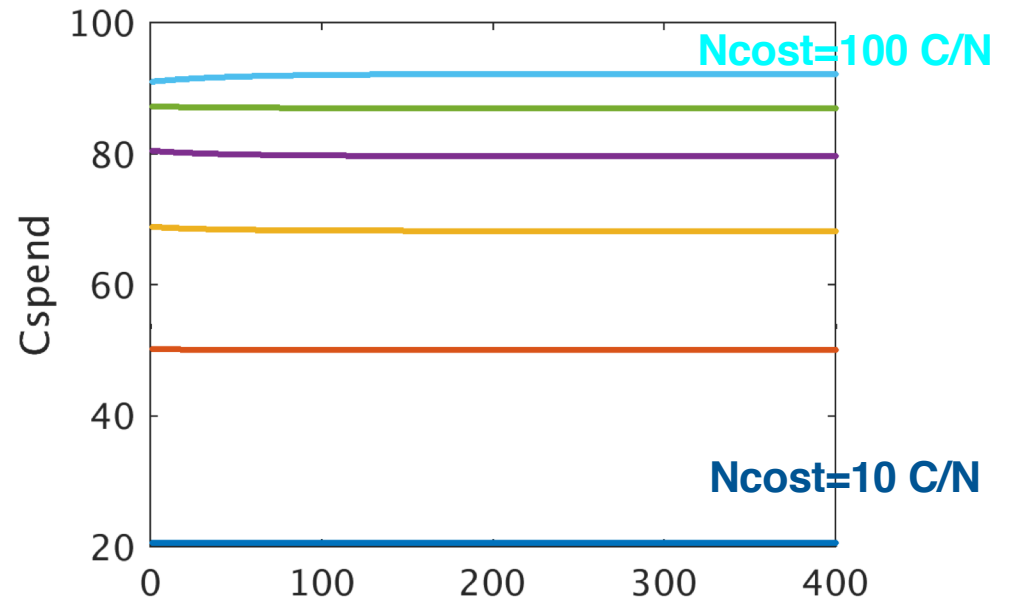
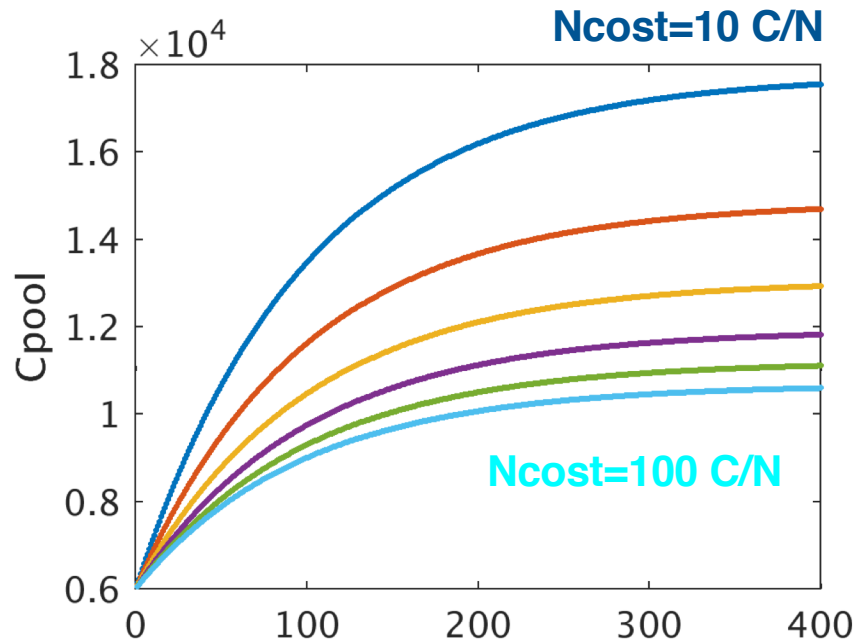
Reduce C allocation with cost

$$C_{\text{adj}} = C_{\text{adj}} + (1.0 - C_{\text{adj}}) \times (CN_{\text{actual}} - CN_{\text{target}}) / P_c$$

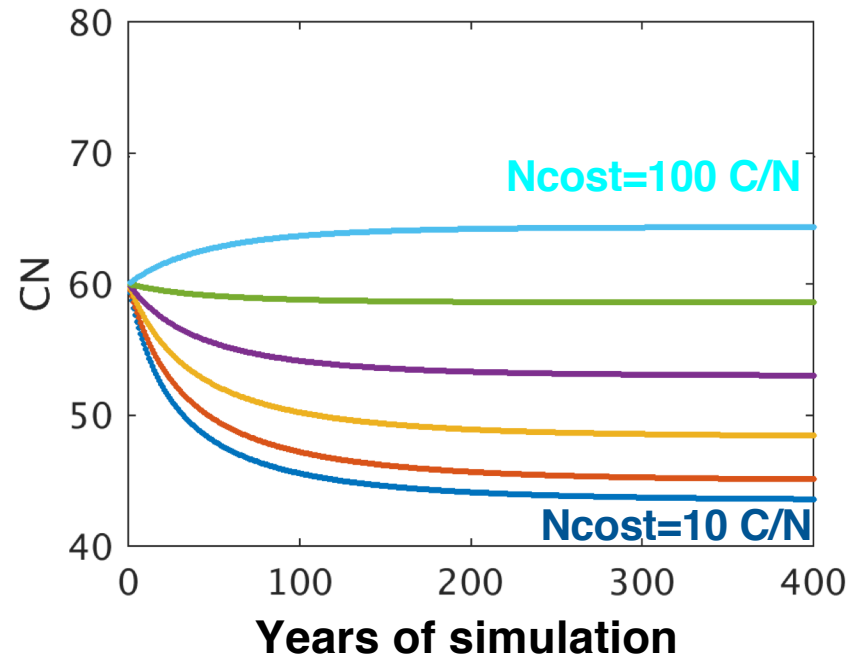
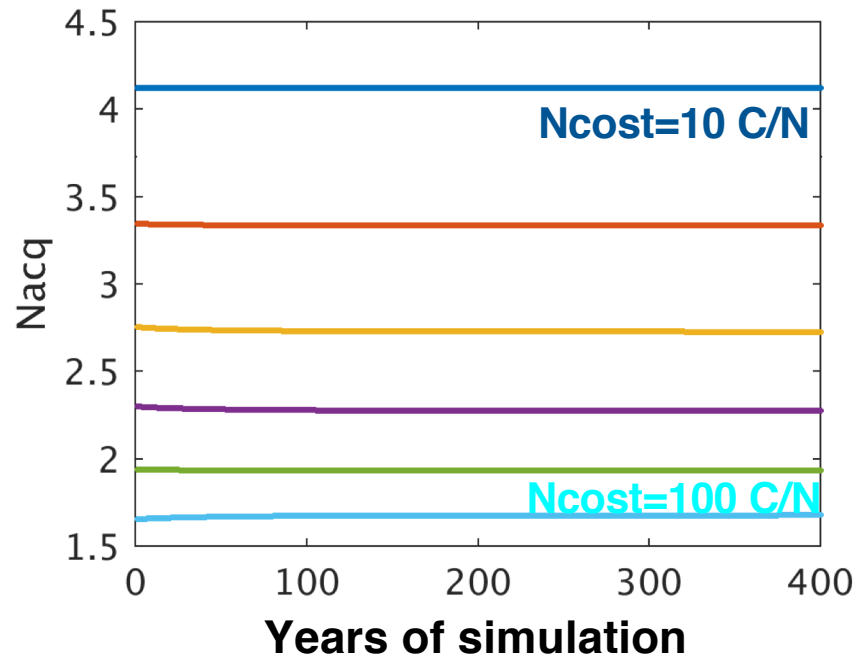
Increase C allocation with high C:N

Note, the current CLM5 code base has a bug related to this FlexCN-FUN coupling, stay tuned to github for more

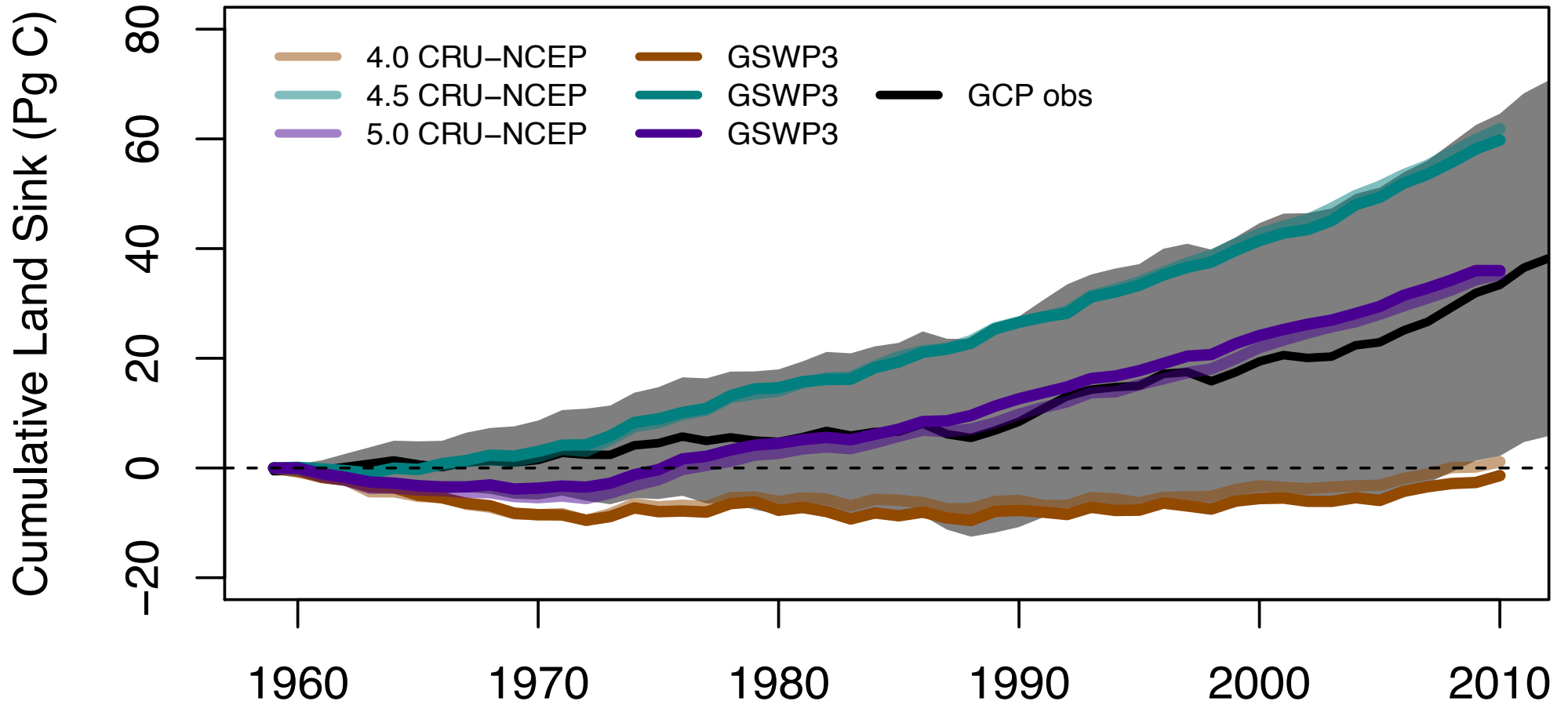
$P_a = 5 : P_b = 200 : P_c = 80$



Offline FlexCN-FUN feedback behavior



# land carbon uptake





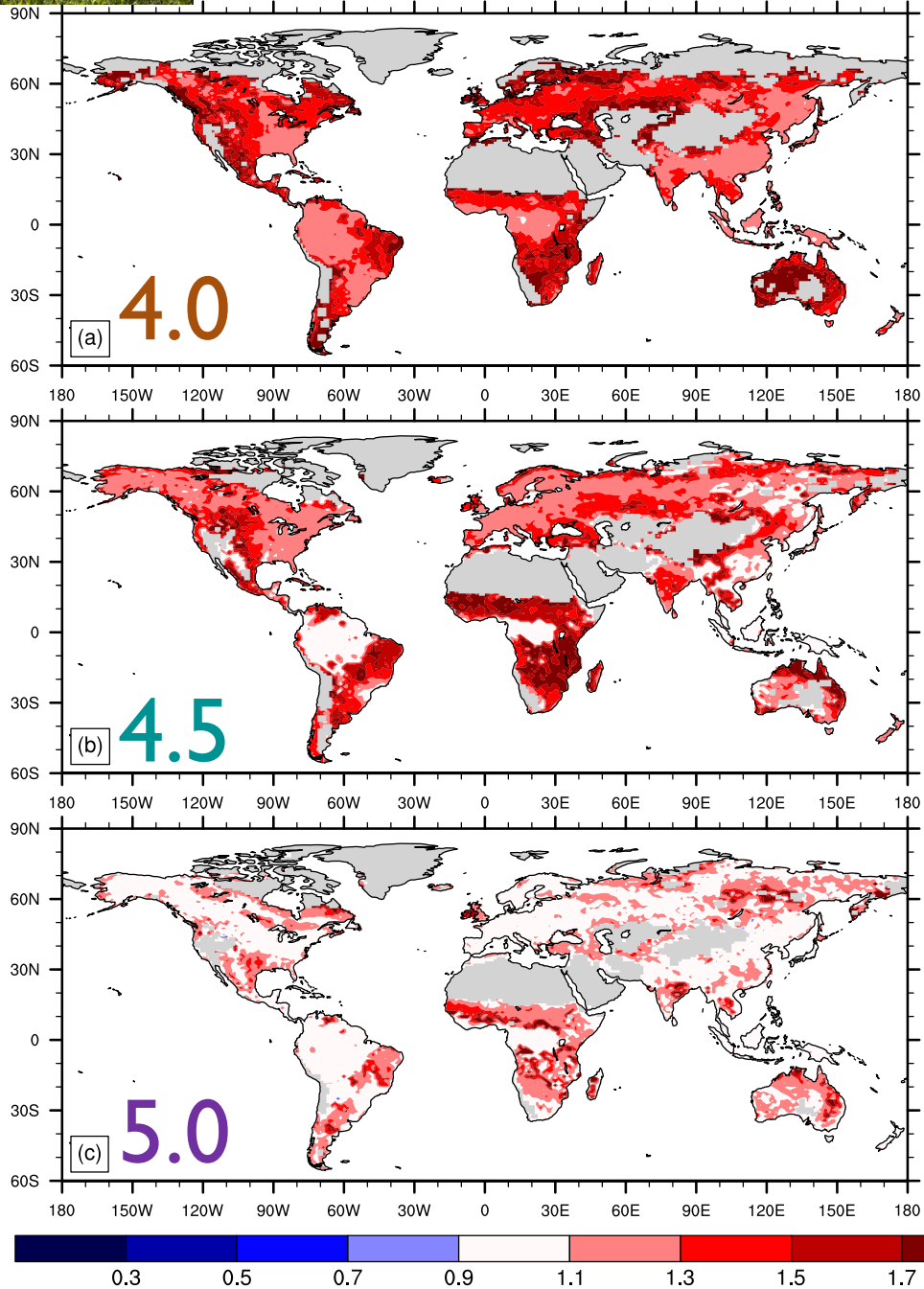


Control (GSWP3)  
+N (50 kg N ha<sup>-1</sup> y<sup>-1</sup>)  
+CO<sub>2</sub> (200 ppm)  
(treatment / control)



Medlyn et al. 2015 *Nature Clim. Change*  
Wieder et al. 2019, GBC, In review

# GPP +N (treatment / control)

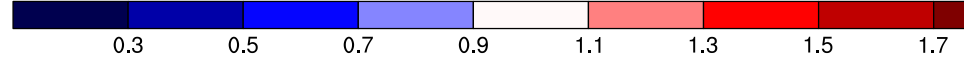
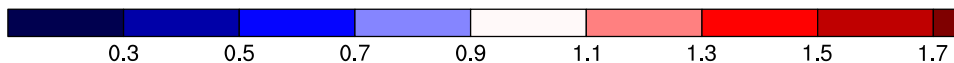
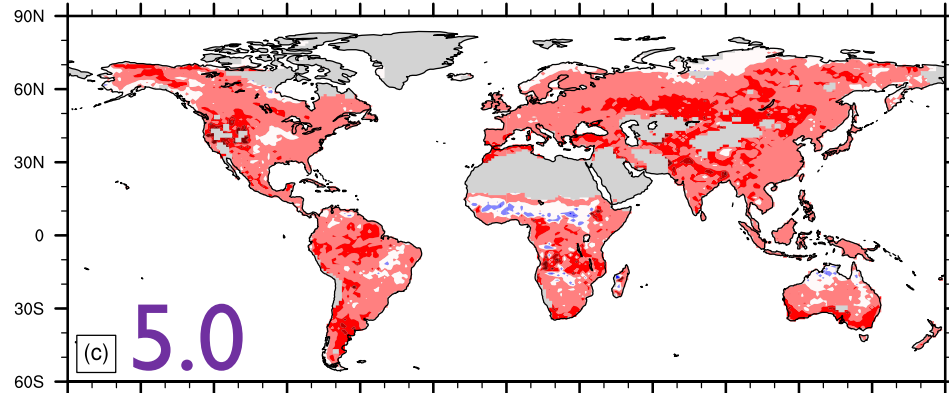
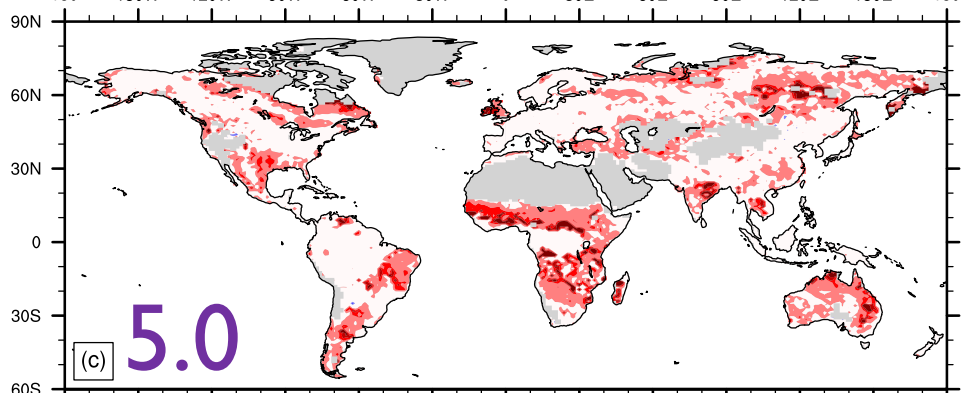
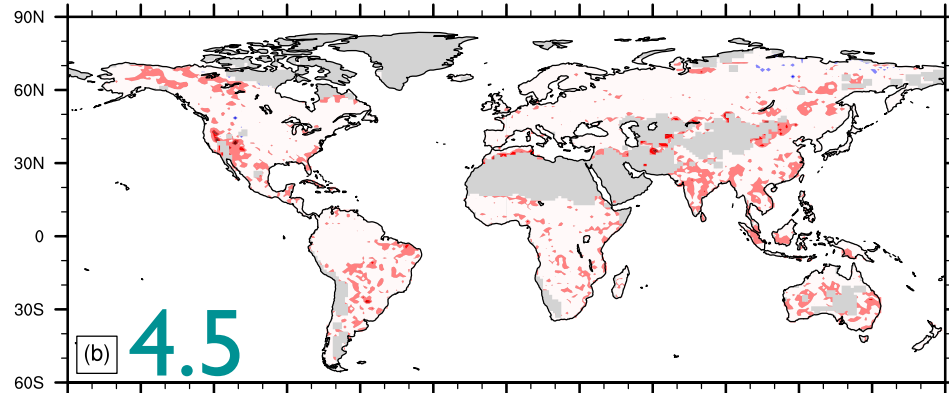
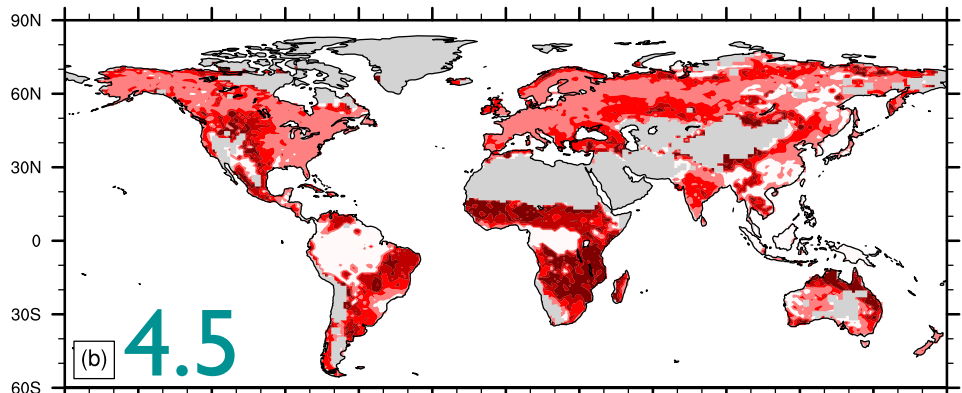
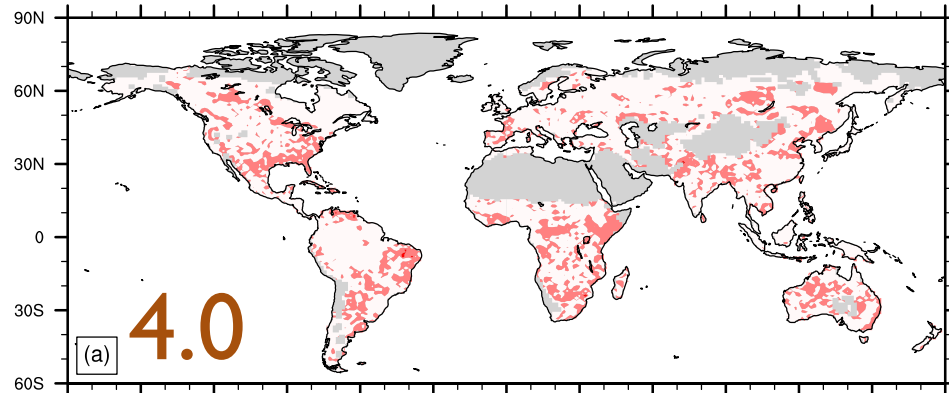
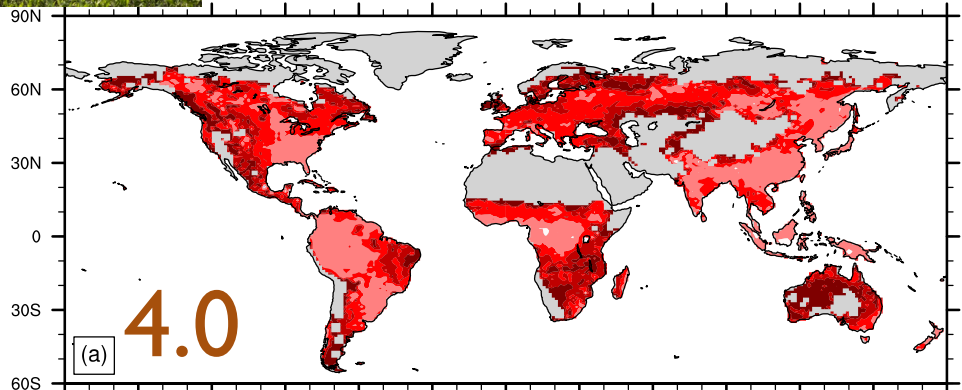




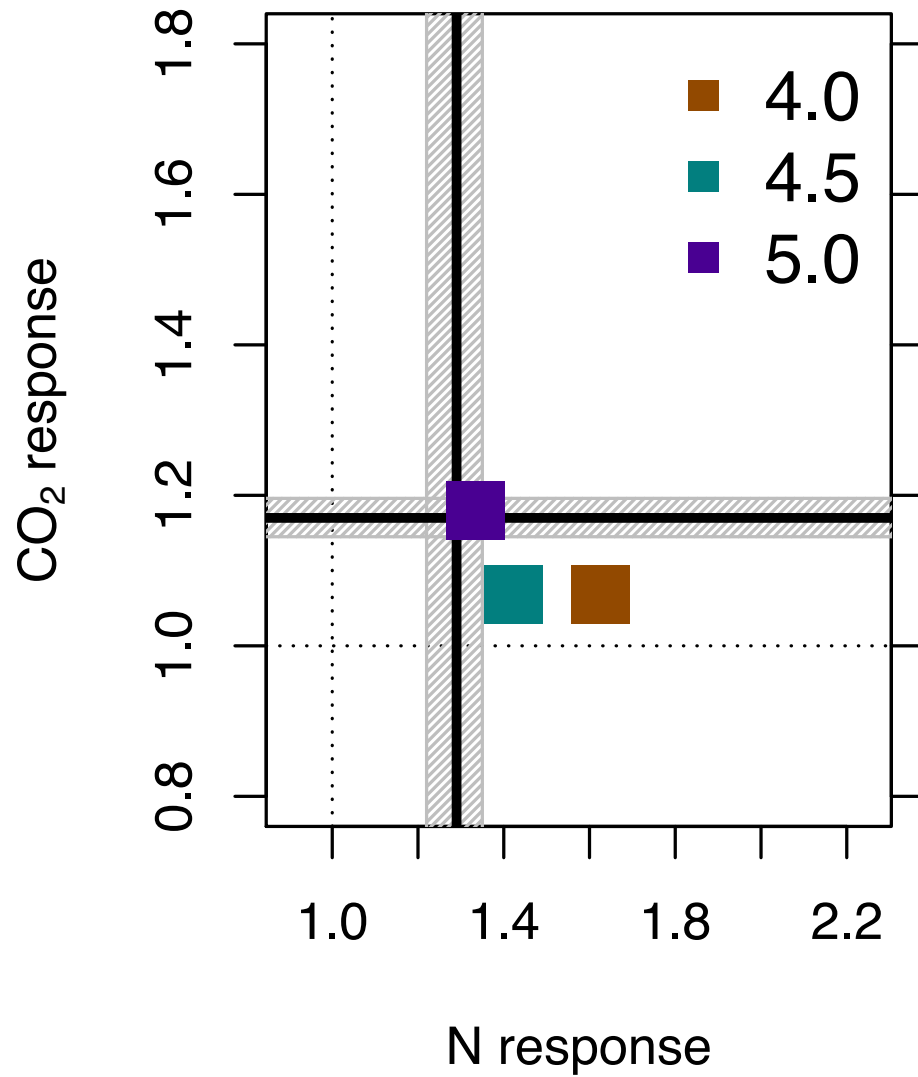
# GPP +N

(treatment / control)

# GPP +CO<sub>2</sub>

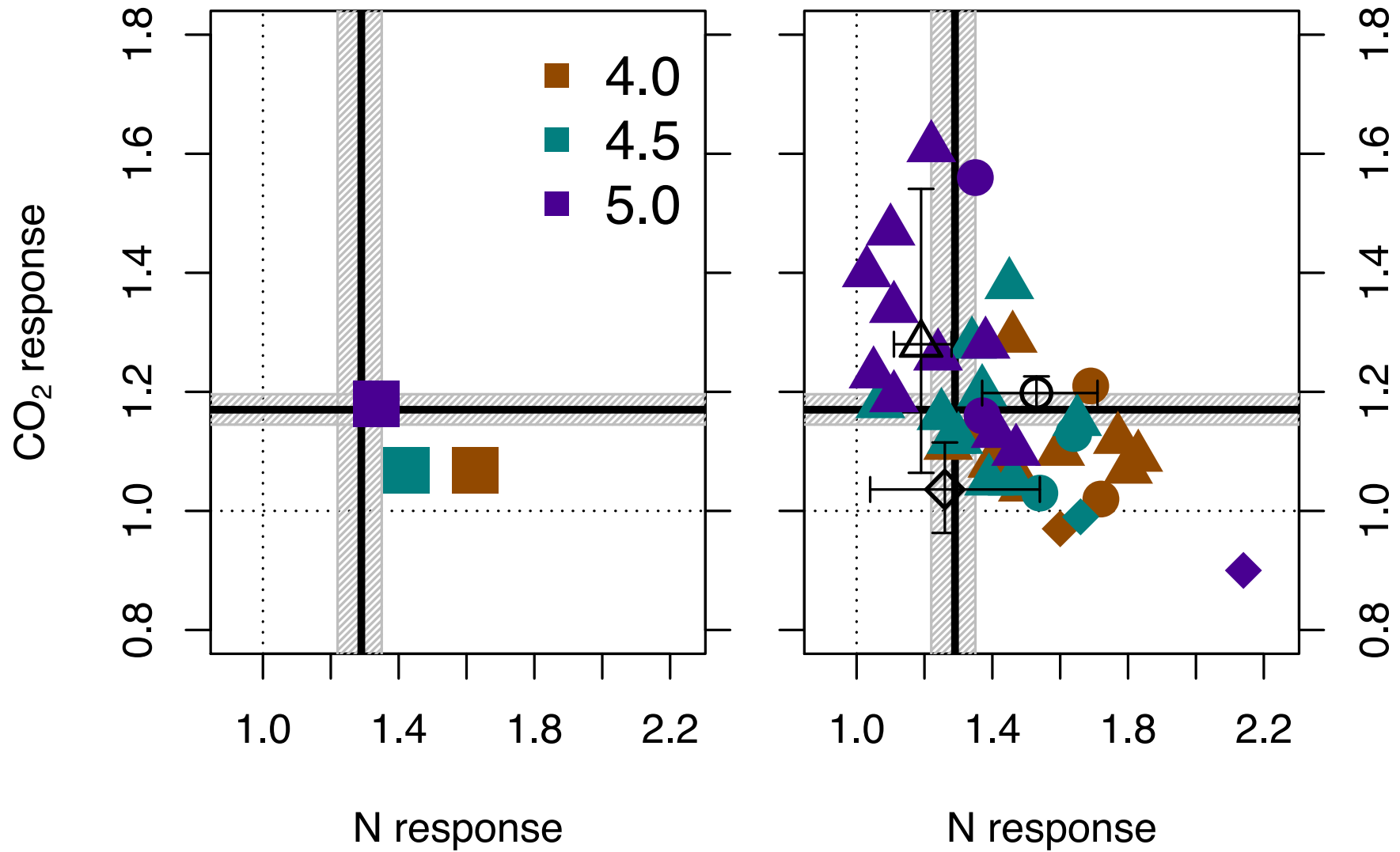


# NPP Response



LeBauer & Treseder 2008  
Ainsworth & Long 2005

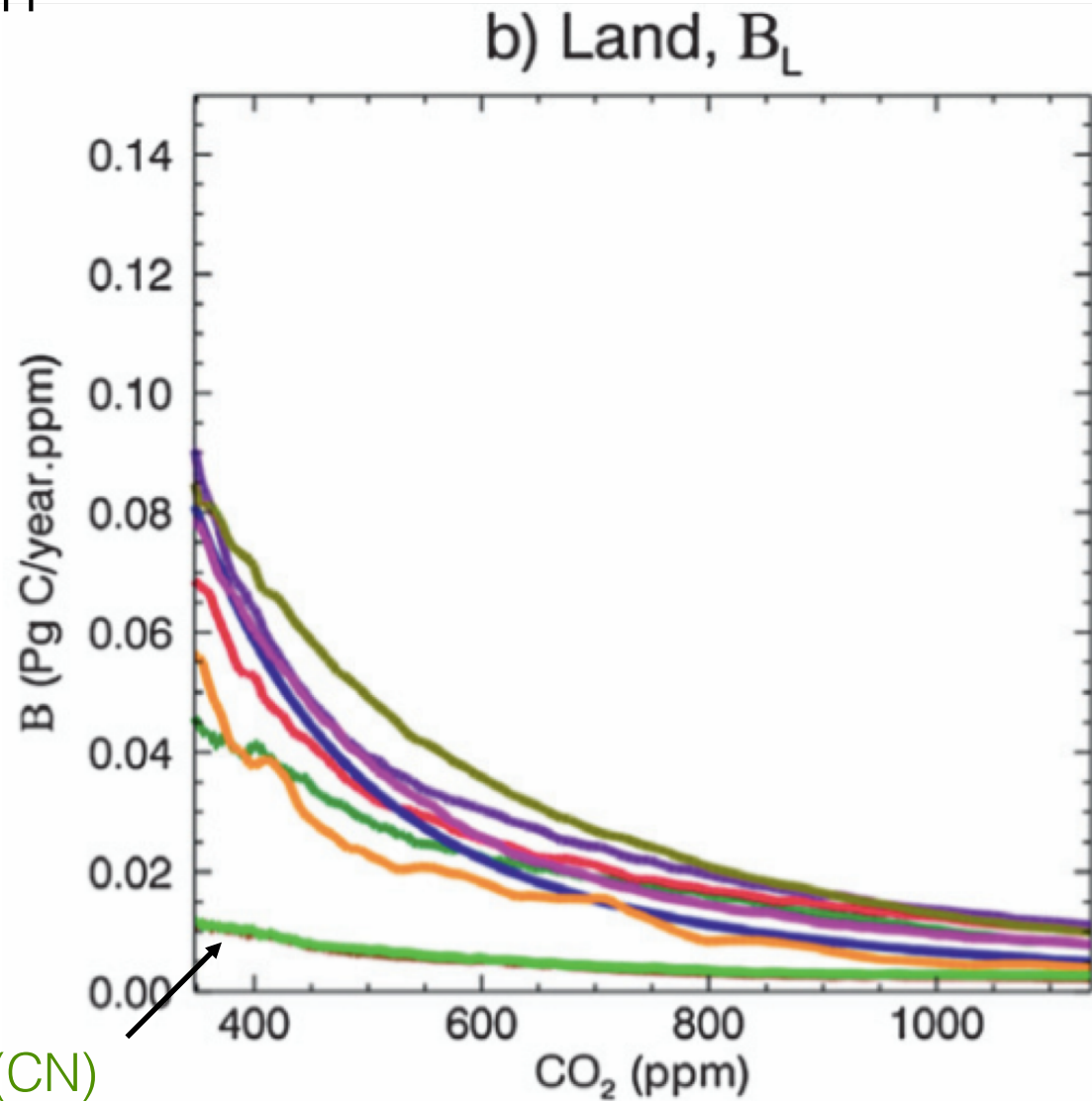
# NPP Response



LeBauer & Treseder 2008  
Ainsworth & Long 2005



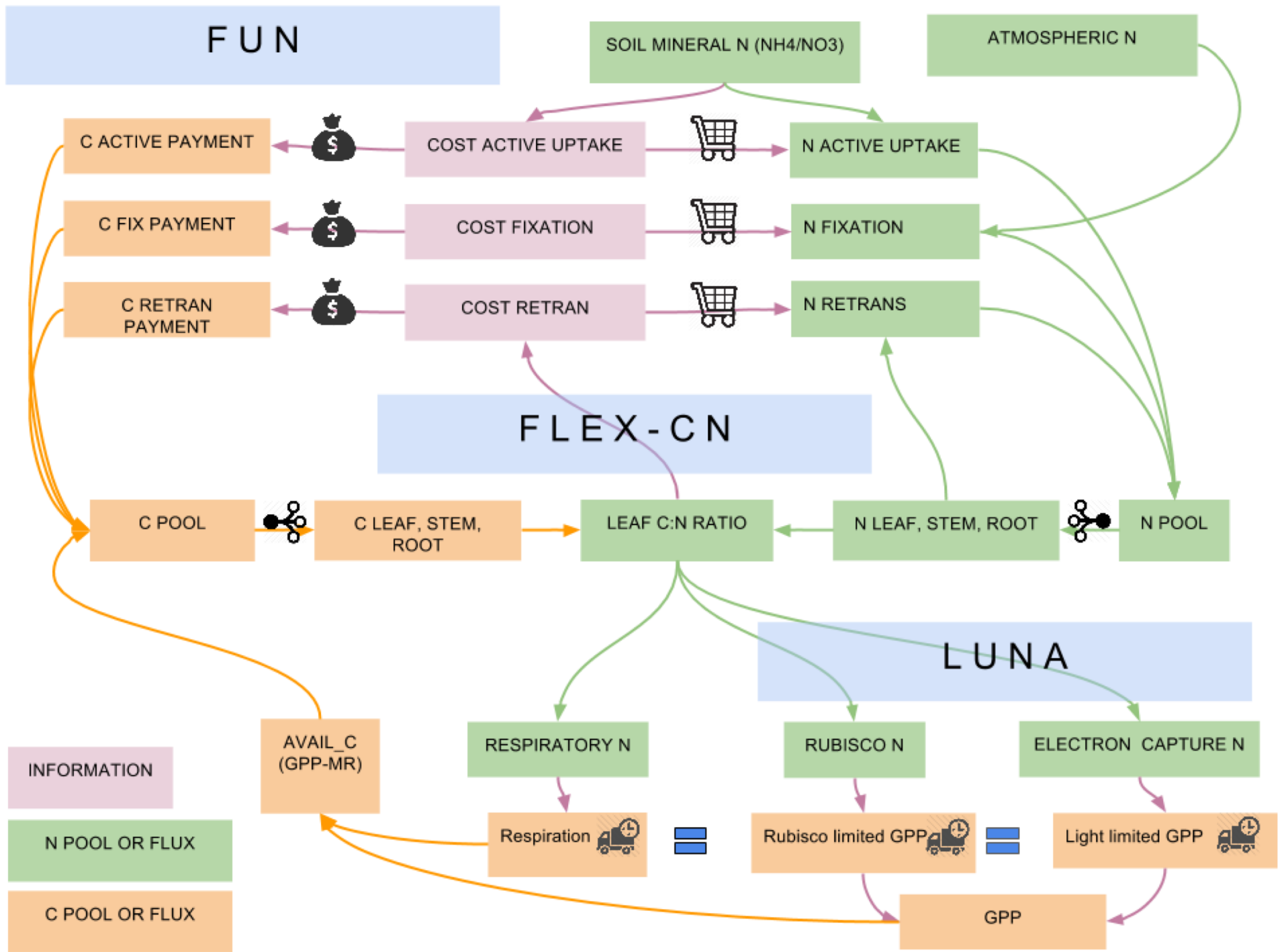
# Impact of nitrogen on simulated carbon fertilization



NCAR-CLM4(CN)

## Carbon-Concentration and Carbon-Climate Feedbacks in CMIP5 Earth System Models

VIVEK K. ARORA,<sup>a</sup> GEORGE J. BOER,<sup>a</sup> PIERRE FRIEDLINGSTEIN,<sup>b</sup> MICHAEL EBY,<sup>c</sup> CHRIS D. JONES,<sup>d</sup> JAMES R. CHRISTIAN,<sup>a</sup> GORDON BONAN,<sup>e</sup> LAURENT BOPP,<sup>f</sup> VICTOR BROVKIN,<sup>g</sup> PATRICIA CADULE,<sup>f</sup> TOMOHIRO HAJIMA,<sup>h</sup> TATIANA ILYINA,<sup>g</sup> KEITH LINDSAY,<sup>e</sup> JERRY F. TIJPUTRA,<sup>i</sup> AND TONGWEN WU<sup>j</sup>



# N limitation in CLM5

Nitrogen is not abundant, for some reason:

slow decomposition?  
high leaching or denitrification?  
low productivity & fixation rates?  
lower deposition?

N uptake becomes more expensive

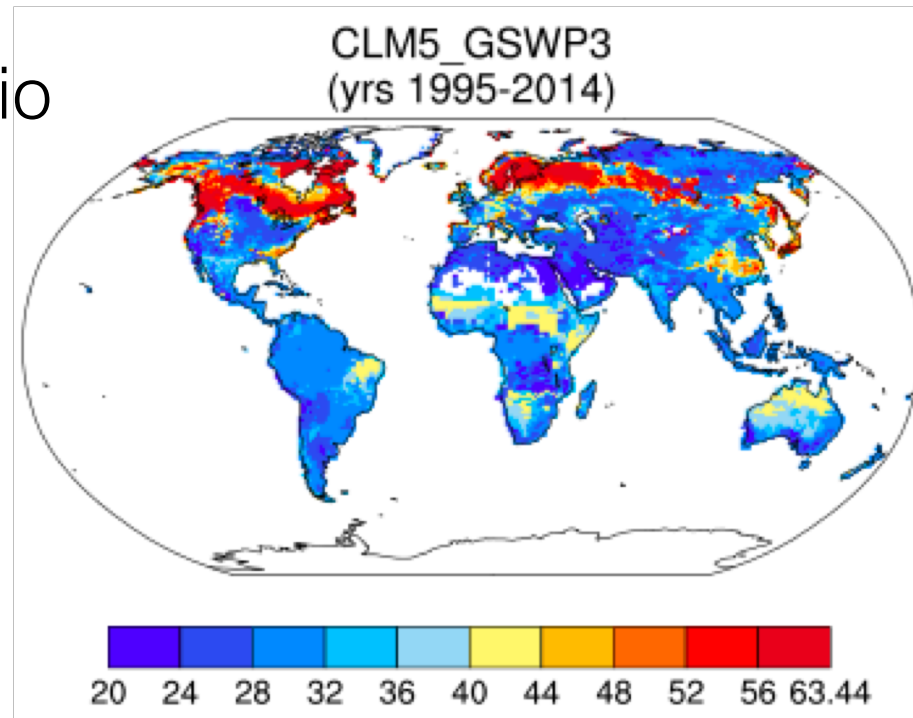
A higher fraction of NPP is spent on uptake.

Tissue C:N ratios increase

NPP for growth decreases

N available for photosynthesis declines

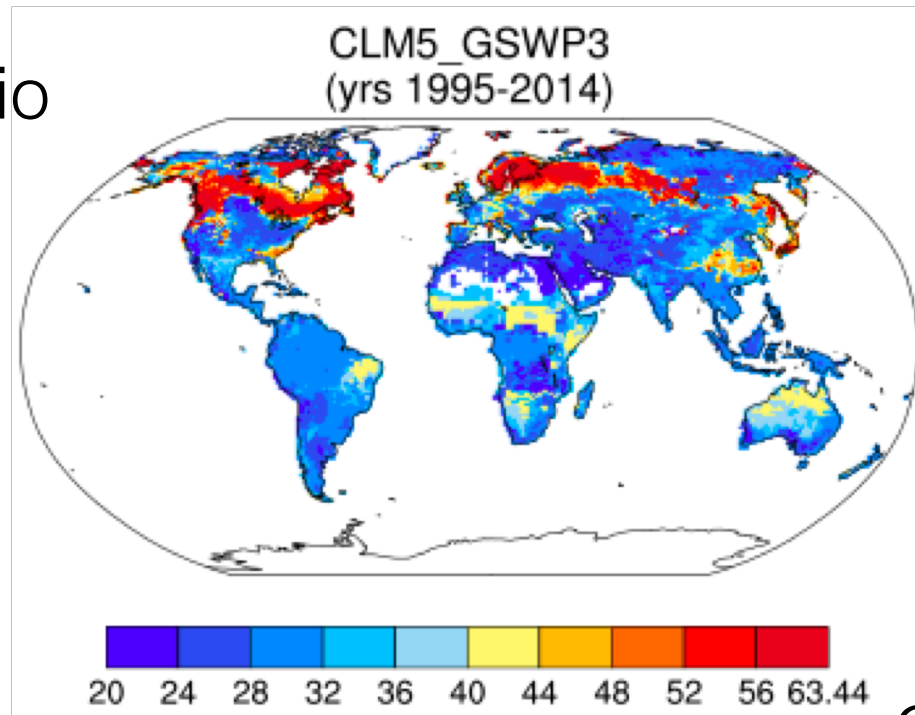
LeafC:N ratio



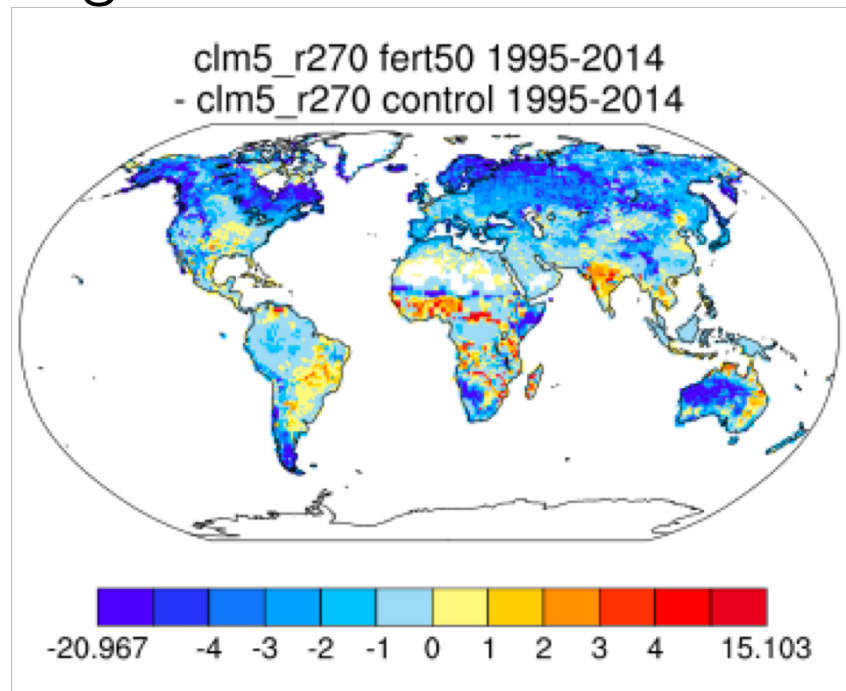
What really matters is the:

1. Change over time
2. Difference from 'target' C:N
3. Response to disturbance

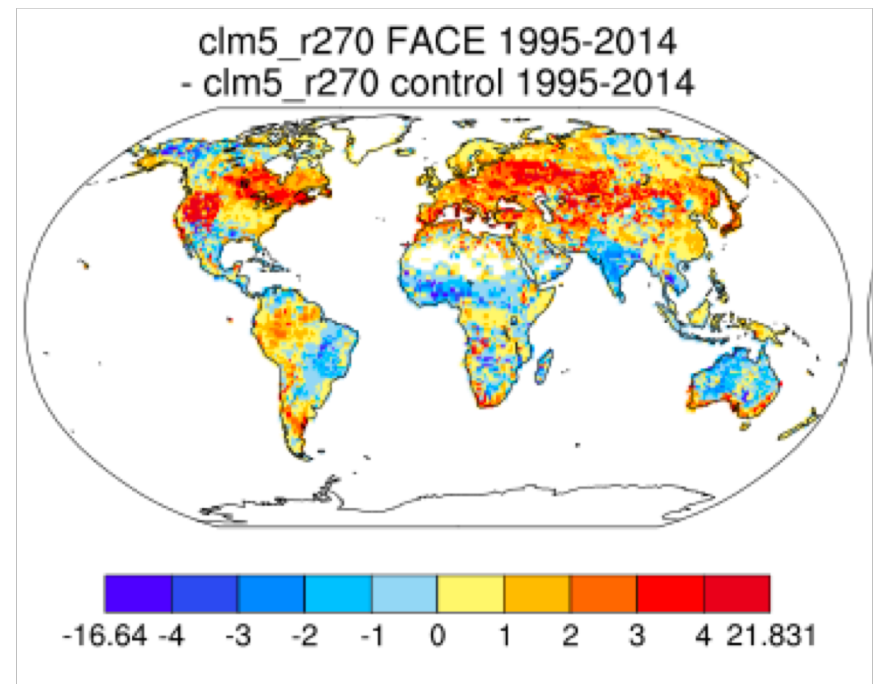
LeafC:N ratio



Change with N-Fert

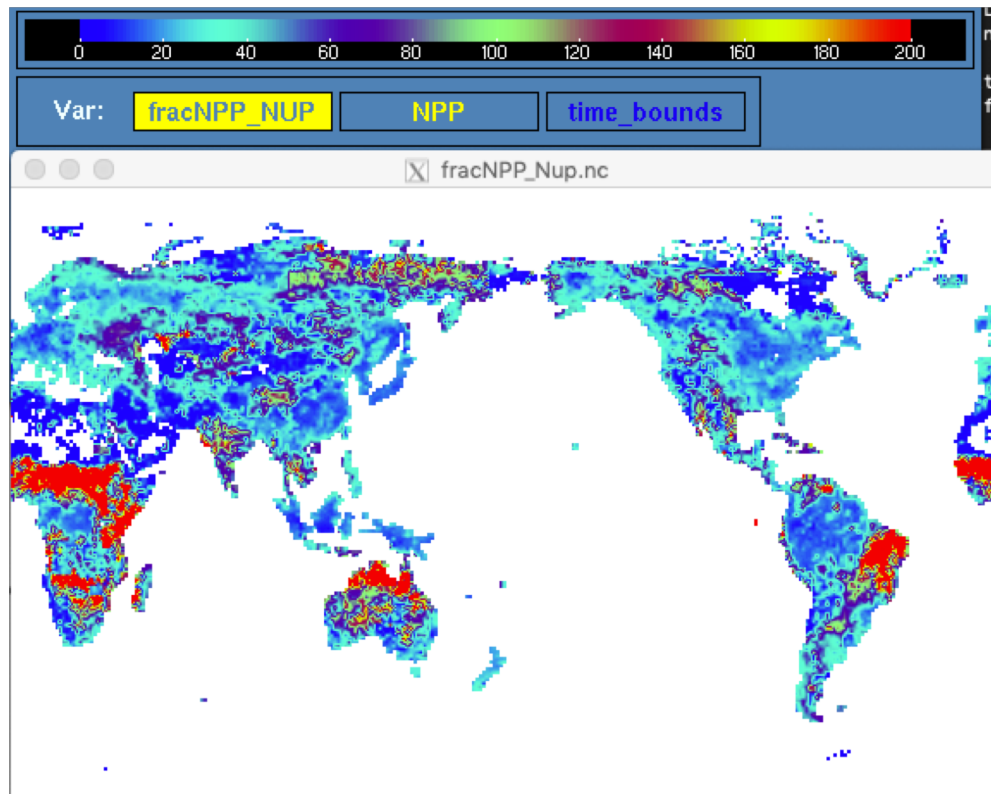


Change w/ FACE





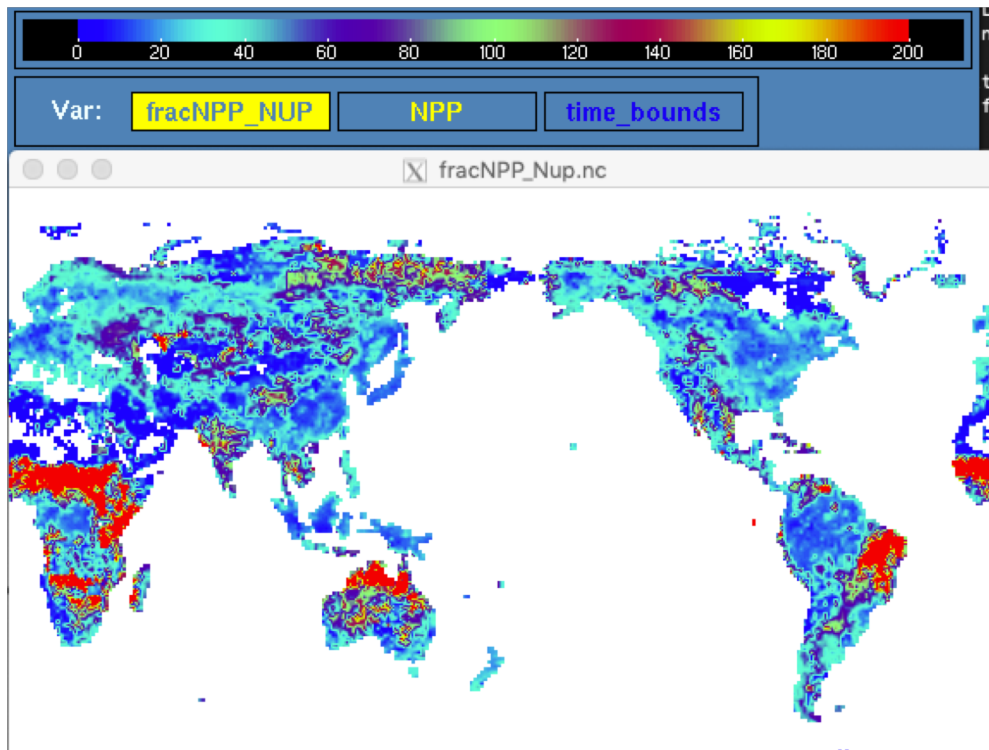
Fraction of NPP spent on N uptake  $100 * \text{NPP\_NUPTAKE} / \text{NPP}$



What really matters is the:

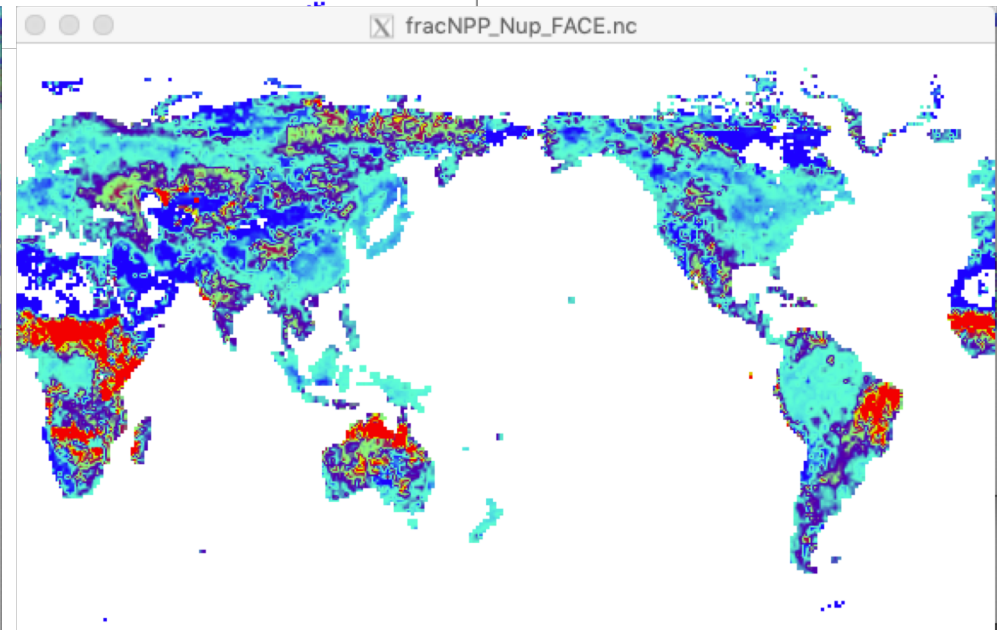
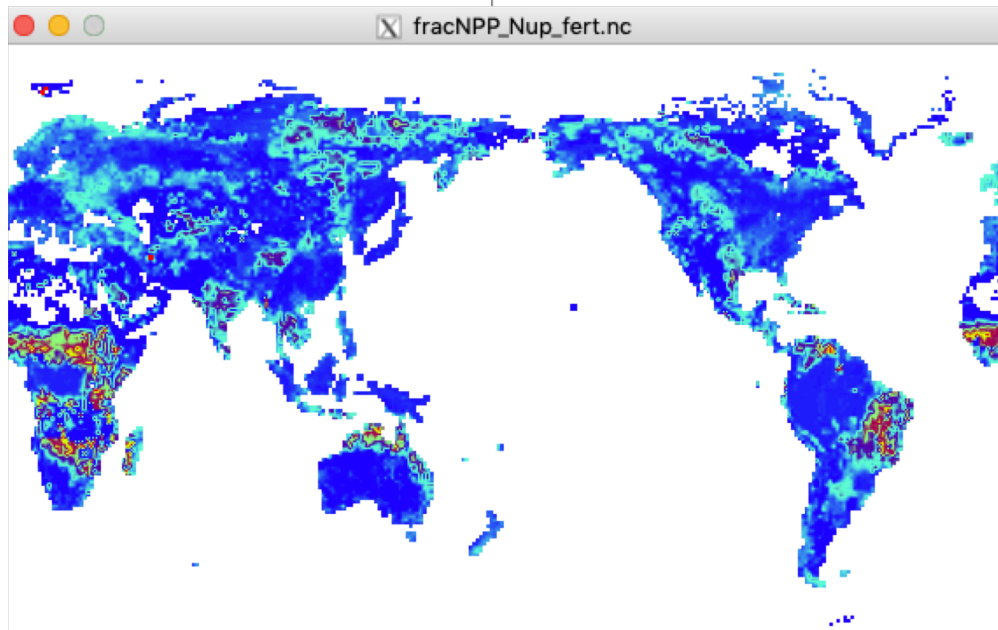
1. Change over time
2. Response to disturbance

# Fraction of NPP spent on N uptake      Control



N-Fert

FACE



# New parameters of N model

- Nitrogen cost factors
  - Fixation
  - Active uptake
  - Retranslocation
- Target leafCN ratio
- Flexible leafCN parameters
- LUNA parameters (only one is tunable)

# Conclusions

- The new CLM5 nitrogen cycle model is substantially different to the CLM4.5 and CLM4.0.
- We are making progress on understanding the behavior and interactions in the new model
- Much remains to be tested and understood
- The model allows comparisons with many new data streams (N fixation, CN ratio, Vcmax variation)
- ...and also fixes numerous theoretical problems with the existing CLM N cycle model



CLM4.0

N\_UPTAKE

FIXED LEAF C:N

V<sub>c,max</sub>

C\_UPTAKE (NPP)

BURNED OFF C

CLM5.0

NEW MOVING PARTS

C\_FOR\_NUPTAKE

N\_UPTAKE

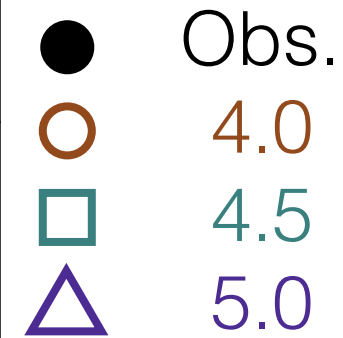
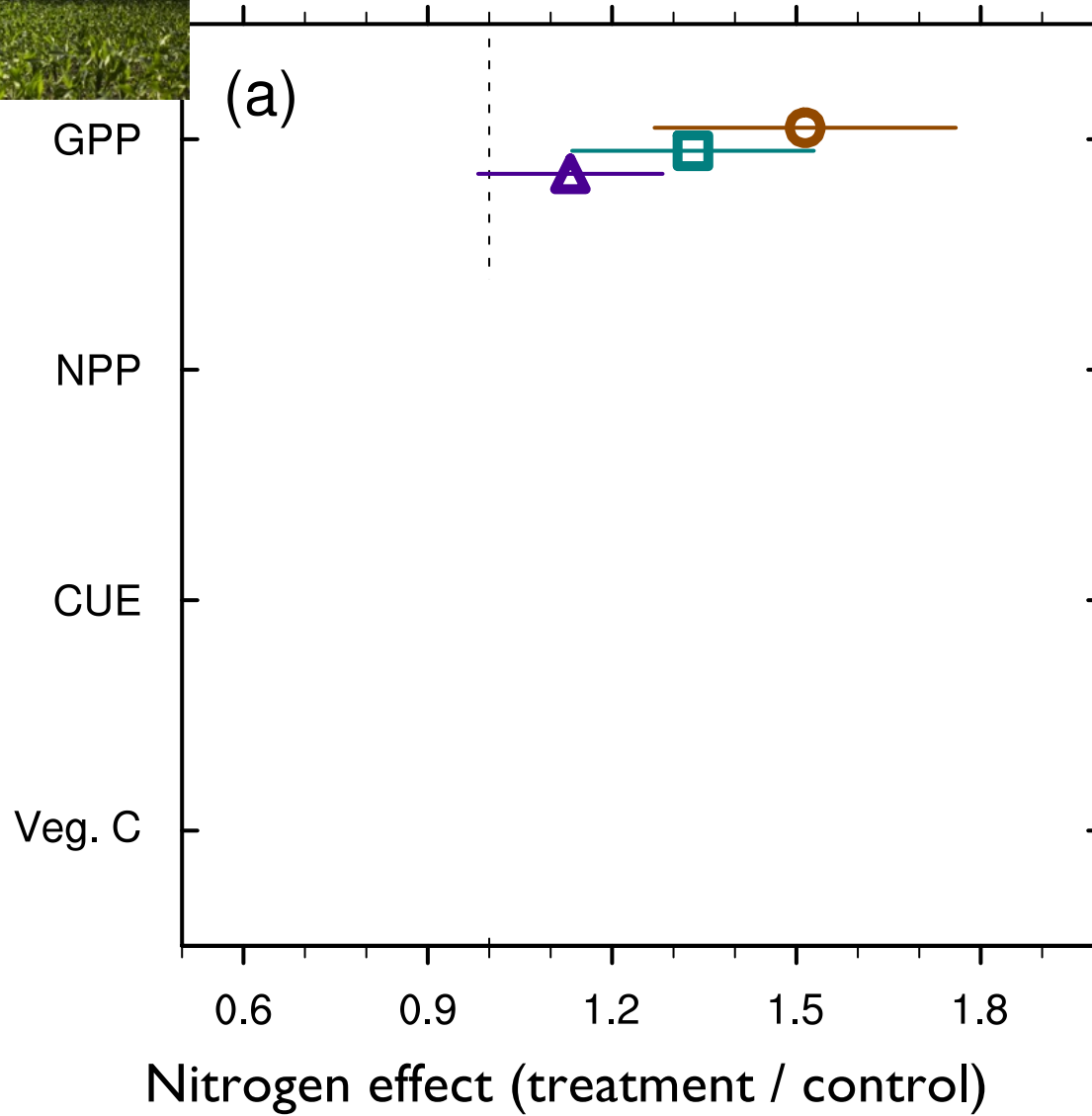
VARIABLE  
LEAF C:N

OPTIMIZED V<sub>c,max</sub>

C\_UPTAKE (NPP)

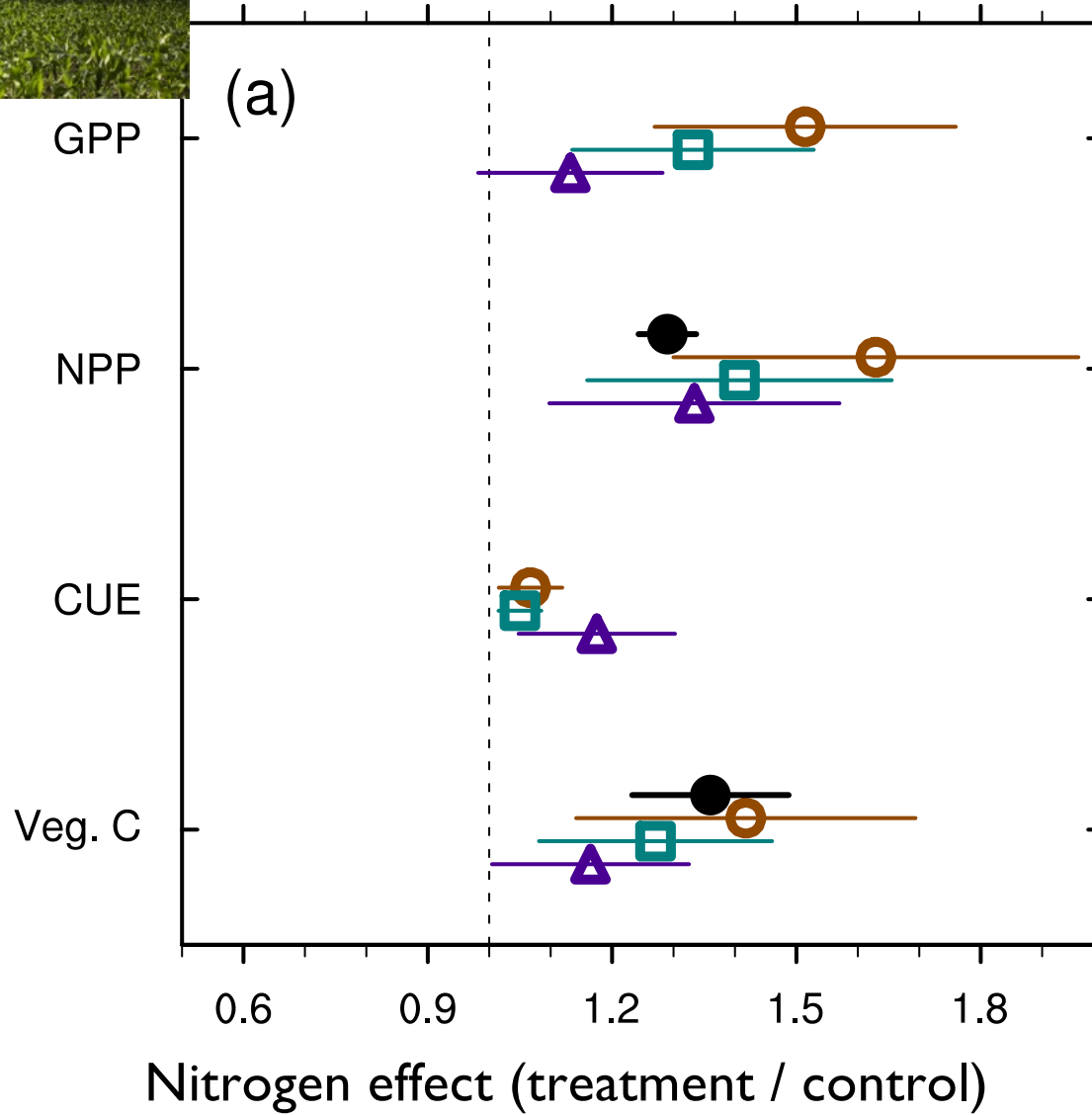


# Response to +N





# Response to +N



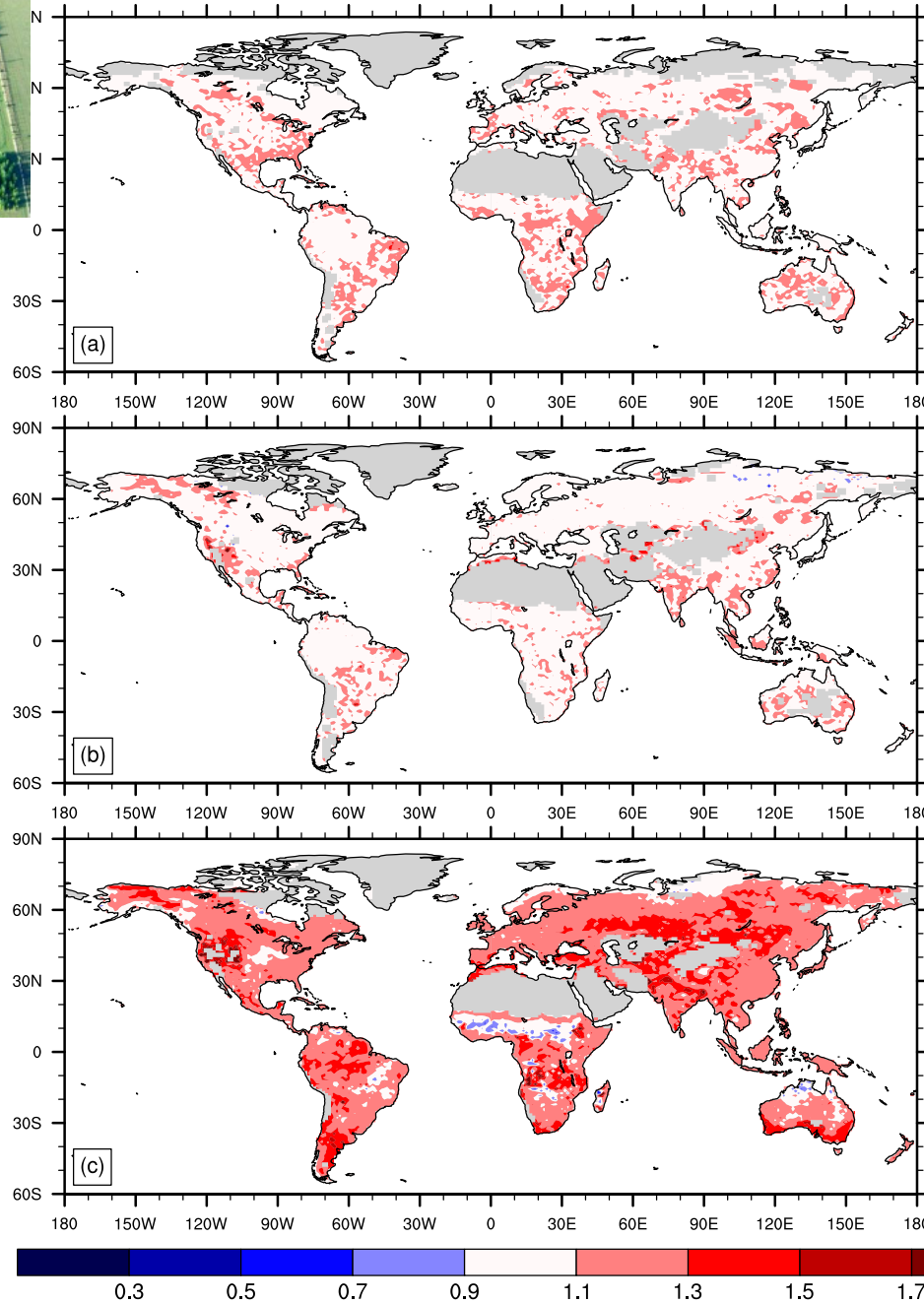
- Obs.
- 4.0
- 4.5
- △ 5.0



LeBauer & Treseder 2008  
Liu & Greaver 2010  
Lu et al. 2011



# GPP Response to +CO<sub>2</sub>(treatment / control)



CLM 4.0

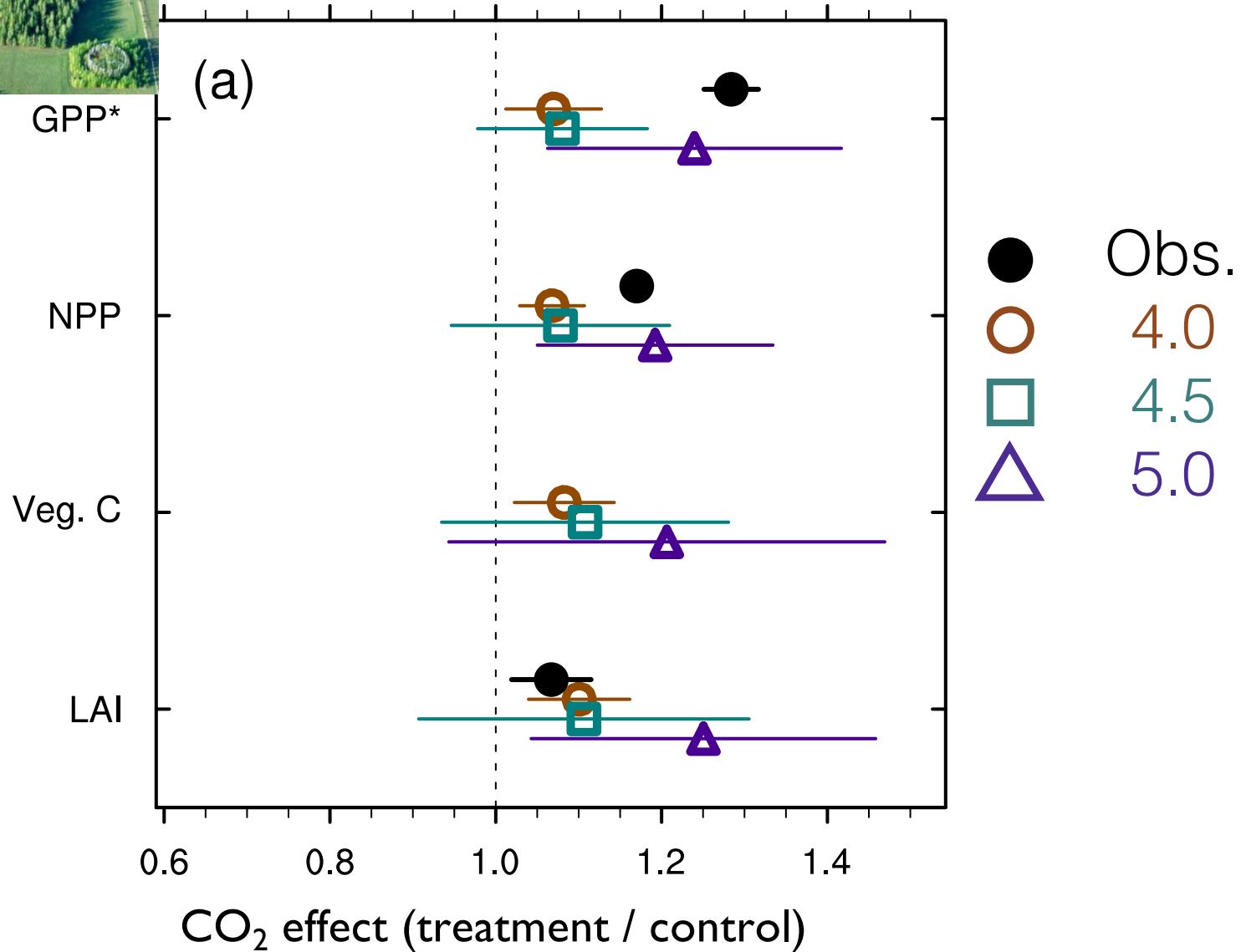
CLM 4.5

CLM 5.0





# Response to +CO<sub>2</sub>

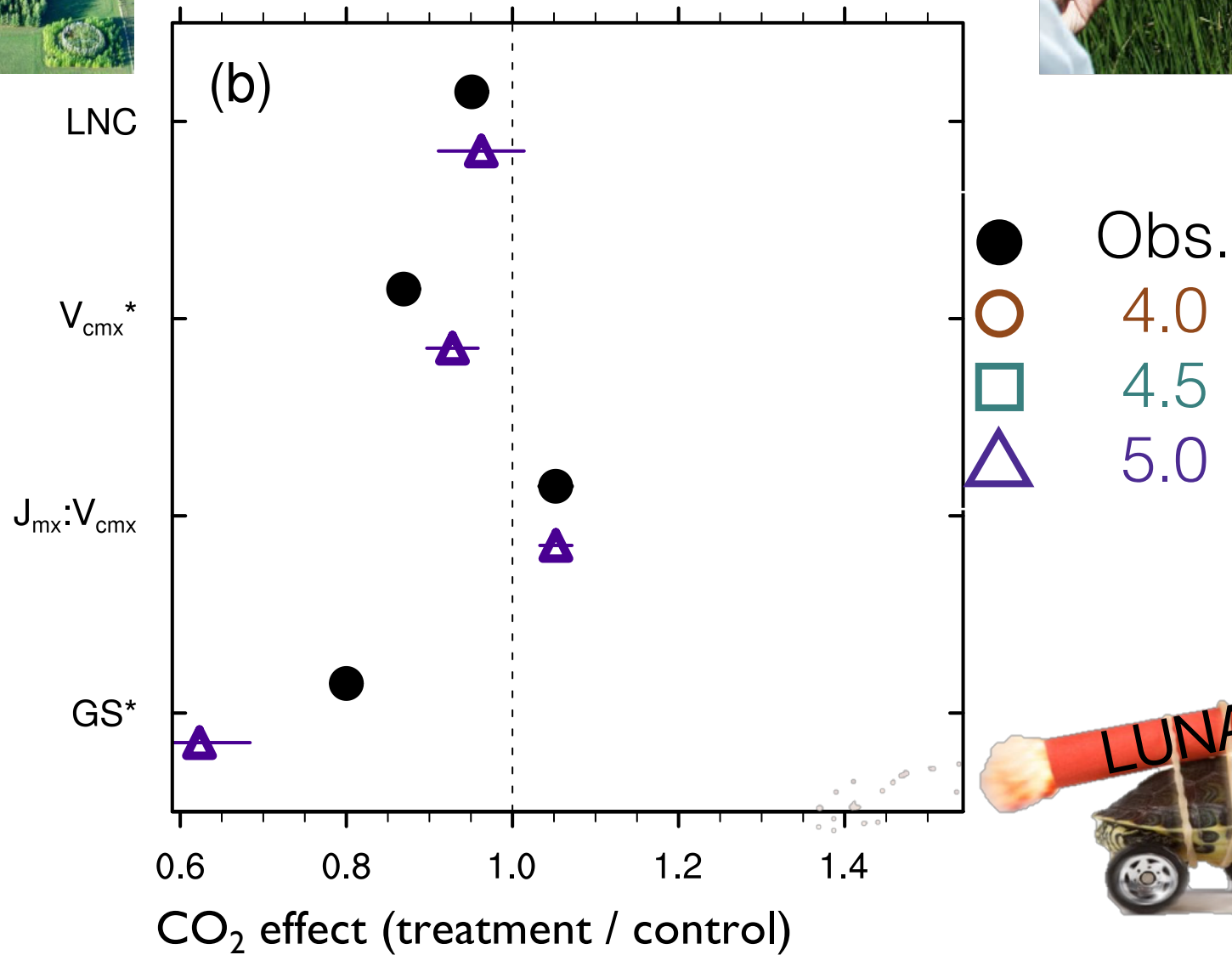


\* Monthly mean of maximum daily values

Obs. from Ainsworth & Long 2005



# Response to +CO<sub>2</sub>



\* Monthly mean of maximum daily values

Obs from Ainsworth & Long 2005